

Plastic debris in the open ocean

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There is a rising concern regarding the accumulation of floating plastic debris in the open ocean. However, the magnitude and the fate of this pollution are still open questions. Using data from the Malaspina 2010 circumnavigation, regional surveys, and previously published reports, we show a worldwide distribution of plastic on the surface of the open ocean, mostly accumulating in the convergence zones of each of the five subtropical gyres with comparable density. However, the global load of plastic on the open ocean surface was estimated to be on the order of tens of thousands of tons, far less than expected. Our observations of the size distribution of floating plastic debris point at important size-selective sinks removing millimeter-sized fragments of floating plastic on a large scale. This sink may involve a combination of fast nano-fragmentation of the microplastic into particles of microns or smaller, their transference to the ocean interior by food webs and ballasting processes, and processes yet to be discovered. Resolving the fate of the missing plastic debris is of fundamental importance to determine the nature and significance of the impacts of plastic pollution in the ocean.

The current period of human history has been referred as the Plastic Age (1). The light weight and durability of plastic materials make them suitable for a very wide range of products. However, the intense consumption and rapid disposal of plastic products is leading to a visible accumulation of plastic debris (2). Plastic pollution reaches the most remote areas of the planet, including the surface waters of the open ocean. Indeed, high concentrations of floating plastic debris have been reported in central areas of the North Atlantic (3) and Pacific Oceans (4, 5), but oceanic circulation models suggest possible accumulation regions in all five subtropical ocean gyres (6, 7). The models predict that these large-scale vortices act as conveyor belts, collecting the floating plastic debris released from the continents and accumulating it into central convergence zones.

Plastic pollution found on the ocean surface is dominated by particles smaller than 1 cm in diameter (8), commonly referred to as microplastics. Exposure of plastic objects on the surface waters to solar radiation results in their photodegradation, embrittlement, and fragmentation by wave action (9). However, plastic fragments are considered to be quite stable and highly durable, potentially lasting hundreds to thousands of years (2). Persistent nano-scale particles may be generated during the weathering of plastic debris, although their abundance has not been quantified in ocean waters (9).

As the size of the plastic fragments declines, they can be ingested by a wider range of organisms. Plastic ingestion has been documented from small fish to large mammals (10–12). The most evident effects of plastic ingestion are mechanical [e.g., gastrointestinal obstruction in seabirds (13)], but plastic fragments contain contaminants added during plastic manufacture or

acquired from seawater through sorption processes [e.g., hydrophobic chemicals (14, 15)]. Recent studies provide evidence that these contaminants can accumulate in the receiving organisms during digestion (14).

Our awareness of the significance of plastic pollution in the ocean is relatively recent, and basic questions remain unresolved. Indeed, the quantity of plastic floating in the ocean and its final destination are still unknown (16). Historical time series of surface plastic concentration in fixed ocean regions show no significant increasing trend since the 1980s, despite an increase in production and disposal (3, 16, 17). These studies suggest that surface waters are not the final destination for buoyant plastic debris in the ocean. Nano-fragmentation, predation, biofouling, or shore deposition have been proposed as possible mechanisms of removal from the surface (3, 9, 16).

On the basis of samples collected on a circumnavigation cruise (Malaspina 2010 expedition), on five regional cruises, and available data from recent studies (3–5, 17–19), we aim to provide a first-order approximation of the load of plastic debris in surface waters of the open ocean. We also examine the size distribution of floating plastic debris collected along the circumnavigation to provide insight into the nature of possible losses of floating plastic from the open ocean surface.

Significance

High concentrations of floating plastic debris have been reported in remote areas of the ocean, increasing concern about the accumulation of plastic litter on the ocean surface. Since the introduction of plastic materials in the 1950s, the global production of plastic has increased rapidly and will continue in the coming decades. However, the abundance and the distribution of plastic debris in the open ocean are still unknown, despite evidence of affects on organisms ranging from small invertebrates to whales. In this work, we synthesize data collected across the world to provide a global map and a first-order approximation of the magnitude of the plastic pollution in surface waters of the open ocean.

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Results and Discussion

The dataset assembled here included 3,070 total samples collected around the world (*SI Appendix, Table S1*). The frequency of occurrence of plastic debris in the surface samples of the open ocean was considerably high (88%; Fig. 1). Nevertheless, the concentration of plastic ranged broadly, spanning over four orders of magnitude across the open ocean. The distribution pattern agreed with those predicted from ocean surface circulation models (6, 7), confirming the accumulation of plastic debris in the convergence zone of each of the five large subtropical gyres. Using the high and low ranges of spatial concentrations measured within 15 major convergence/divergence zones in the global ocean (Fig. 2), we estimate the amount of plastic in the open-ocean surface between 7,000 and 35,000 tons (Table 1). The plastic concentrations per surface area were comparable across each of the five accumulation zones, although the North Pacific Ocean contributed importantly to the global plastic load (between 33 and 35%), mainly owing to the size of this gyre. The plastic load in the North Pacific Ocean could be related to the high human population on the eastern coast of the Asian continent, the most densely populated coast in the world, with one-third of the global coastal population (20). Indeed, the surface plastic concentrations measured in the Kuroshio Current, the western arm of the North Pacific Gyre, can become exceptionally high, including the highest reported for nonaccumulation regions (21, 22).

Continental plastic litter enters the ocean largely through storm-water runoff, flowing into watercourses or directly discharged into coastal waters. Estimating the plastic input to the ocean is a complex task. In the 1970s, the US National Academy of Sciences estimated that the flux of plastic to the world oceans was 45,000 tons per year (23), equivalent to 0.1% of the global production of plastic (24). Since then, the annual production of plastic has quintupled (265 million tons per year in 2010). Around 50% of the produced plastic is buoyant (24), and 60–64% of the terrestrial load of floating plastic to the sea is estimated to be exported from coastal to open-ocean waters (7). Despite the possible inaccuracies of these numbers, a conservative first-order estimate of the floating plastic released into the open ocean from

the 1970s (10^6 tons) is 100-fold larger than our estimate of the current load of plastic stored in the ocean.

Examination of the size distribution of plastic debris on the ocean surface shows a peak in abundance of fragments around 2 mm and a pronounced gap below 1 mm (Fig. 3A). Similar patterns are found when the data are analyzed separately by ocean basin (*SI Appendix, Fig. S6*). The predominance of fragments in an intermediate interval (1–5 mm) of the plastic size spectra is also a general feature for the oceanic size distributions reported in the past (5, 8). However, experiments on the fragmentation of plastic materials show that the size distribution of fragments generated by a plastic object conforms to a fractal process, spreading over several orders of magnitude and below the size range in our study (25, 26). Cracking patterns of photodegraded plastics are observed at multiple scales, from centimeters to few microns (9). Therefore, the progressive fragmentation of the plastic objects into more and smaller pieces should lead to a gradual increase of fragments toward small sizes. In steady state, the abundance–size distribution should follow a power law, with a scaling exponent equal to the spatial dimension of the plastic objects (i.e., 3, *SI Appendix, Fig. S8*). Likewise, a stable input and fragmentation of large plastic objects should result in a steady volume–size distribution. A model based on fragmentation, without additional losses, gave an abundance–size distribution similar to that sampled, which showed a power exponent of 2.93 ± 0.08 , similar to the expected value, but only for size classes larger than 5 mm. Below 5 mm, the observed size distribution diverged from that expected from the model (Fig. 3B and C). Because plastic input is progressively transferred toward small-size classes by fragmentation, this divergence results from the gradual accumulation of plastic losses. An assessment of progressive departures of the observed distribution from a conservative distribution indicates that losses are concentrated around sizes of 2.2 mm (Fig. 3C). Hence, the paucity of fragments in the lowest part of the size distribution would be explained by the interruption of the downward transfer of plastic at the millimeter scale, unless there is an abrupt nano-fragmentation of the millimeter-sized particles directly into pieces of

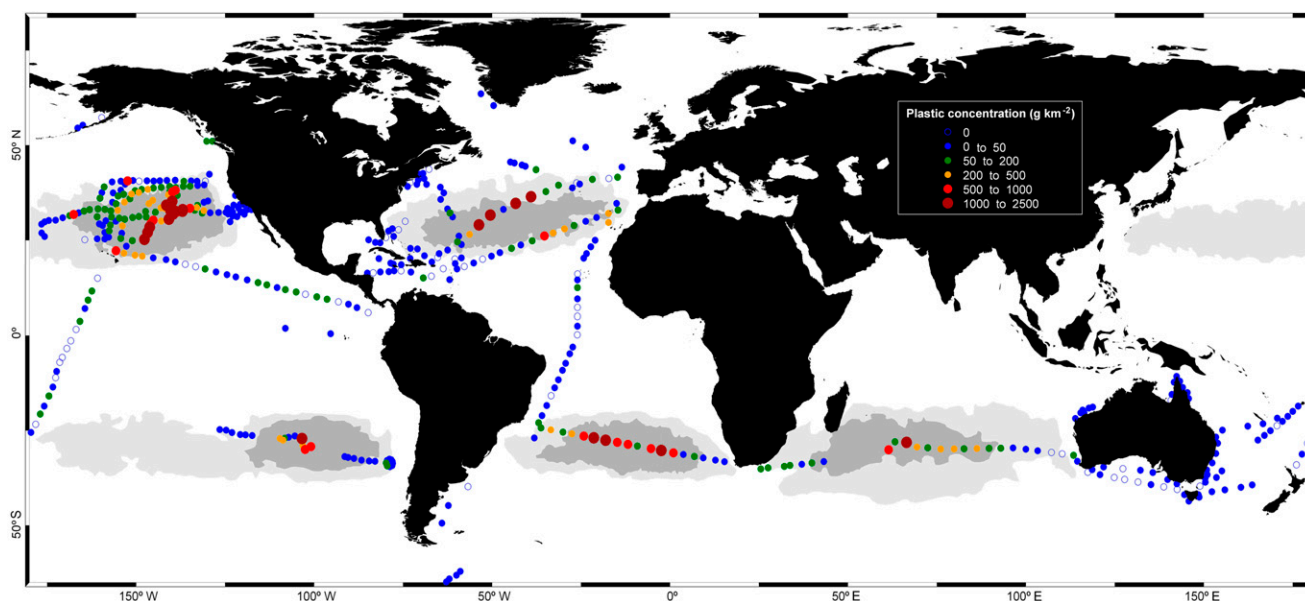


Fig. 1. Concentrations of plastic debris in surface waters of the global ocean. Colored circles indicate mass concentrations (legend on top right). The map shows average concentrations in 442 sites (1,127 surface net tows). Gray areas indicate the accumulation zones predicted by a global surface circulation model (6). Dark and light gray represent inner and outer accumulation zones, respectively; white areas are predicted as nonaccumulation zones. Data sources are described in *SI Appendix, Table S1*. Plastic concentrations along the Malaspina circumnavigation and a latitudinal gradient are graphed in *SI Appendix, Figs. S4 and S5*.

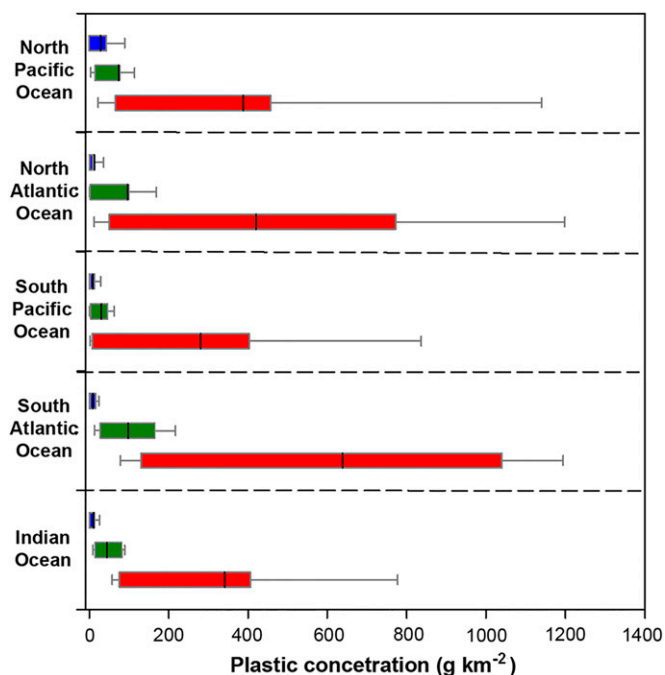


Fig. 2. Ranges of surface plastic concentrations by ocean. Nonaccumulation zone (blue boxes), outer accumulation zone (green boxes), and inner accumulation zone (red boxes). The boundaries of the boxes indicate the 25th and 75th percentiles, the black lines within the box mark the mean, and the whiskers above and below the boxes indicate the 90th and 10th percentiles. Data used in this graph are mapped in Fig. 1. An equivalent analysis for a dataset of plastic concentrations not corrected by wind effects is graphed in *SI Appendix, Fig. S3*.

few microns or smaller, allowing passage through the 200- μ m mesh net used (*SI Appendix, Fig. S9*). A sampling bias causing the apparent loss in small sizes can be rejected because the size distribution of nonplastic particles in the same samples followed the characteristic power distribution, with increasing abundances toward smaller sizes (*SI Appendix, Fig. S12*).

Our study reports an important gap in the size distribution of floating plastic debris as well as a global surface load of plastic well below that expected from production and input rates. Together with the lack of observed increasing temporal trends in surface plastic concentration (3, 16, 17), these findings provide strong support to the hypothesis of substantial losses of plastic from the ocean surface. A central question arising from this conclusion is how floating plastic is being removed. Four main possible sinks have been proposed: shore deposition, nano-fragmentation, biofouling, and ingestion (3, 9). Although a rigorous attribution of losses to each of these mechanisms is not yet possible, our study provides some insights as to their plausibility. To counterbalance the increase in input rates over the past decades, the removal rate of the presumed sink would also have needed to increase (3). Alternatively, the lack of increasing trends in surface plastic pollution could also be explained from

a removal rate much faster than the input into the ocean, with the reduced global load of surface plastic resulting from a delay between input and removal. Another requirement is that the sink must lead to a degradation or permanent sequestration of plastic. Finally, the size distribution of floating plastic debris is evidence for a size-selective loss process or processes.

A selective washing ashore of the millimeter-sized fragments trapped in central areas of the open ocean is unlikely. Likewise, there is no reason to assume that the rate of solar-induced fragmentation increased since the 1980s (3). However, the gap in the plastic size distribution below 1 mm could indicate a fast breaking down of the plastic fragments from millimeter scale to micrometer scale. Recent scanning electron micrographs of the surface of microplastic particles showed indications that oceanic bacterial populations may be contributing to their degradation, potentially intervening in the fragmentation dynamics (27). The scarce knowledge of the biological and physical processes driving the plastic fragmentation leaves room for the possibility of a two-phase fragmentation, with an accelerated breakdown of the photodegraded fragments with dimension of few millimeters.

A preferential submersion of small-sized plastic, with high surface:volume ratio, by ballasting owing to epiphytic growth could also be possible. Once biofouled fragments reach seawater density, they enter the water column as neutrally drifting or slowly sinking particles. Biofouled fragments probably are often incorporated into the sediment in shallow and, particularly, nutrient-rich areas (28), but this may be a less effective mechanism in the deep, open ocean (9, 29). Because the seawater density gradually increases with depth, the slowly sinking plastic, marginally exceeding the surface seawater density, should remain suspended at a depth where its density is equal to that of the medium. Field experiments have shown that biofouled plastic debris undergoes a rapid defouling when submerged, causing the plastic to return to the surface (29). Defouling in deep water could occur, for example, from adverse conditions for the epiphytic organisms (e.g., decreasing irradiance) or the dissolution of carbonates and opal owing to acidic conditions.

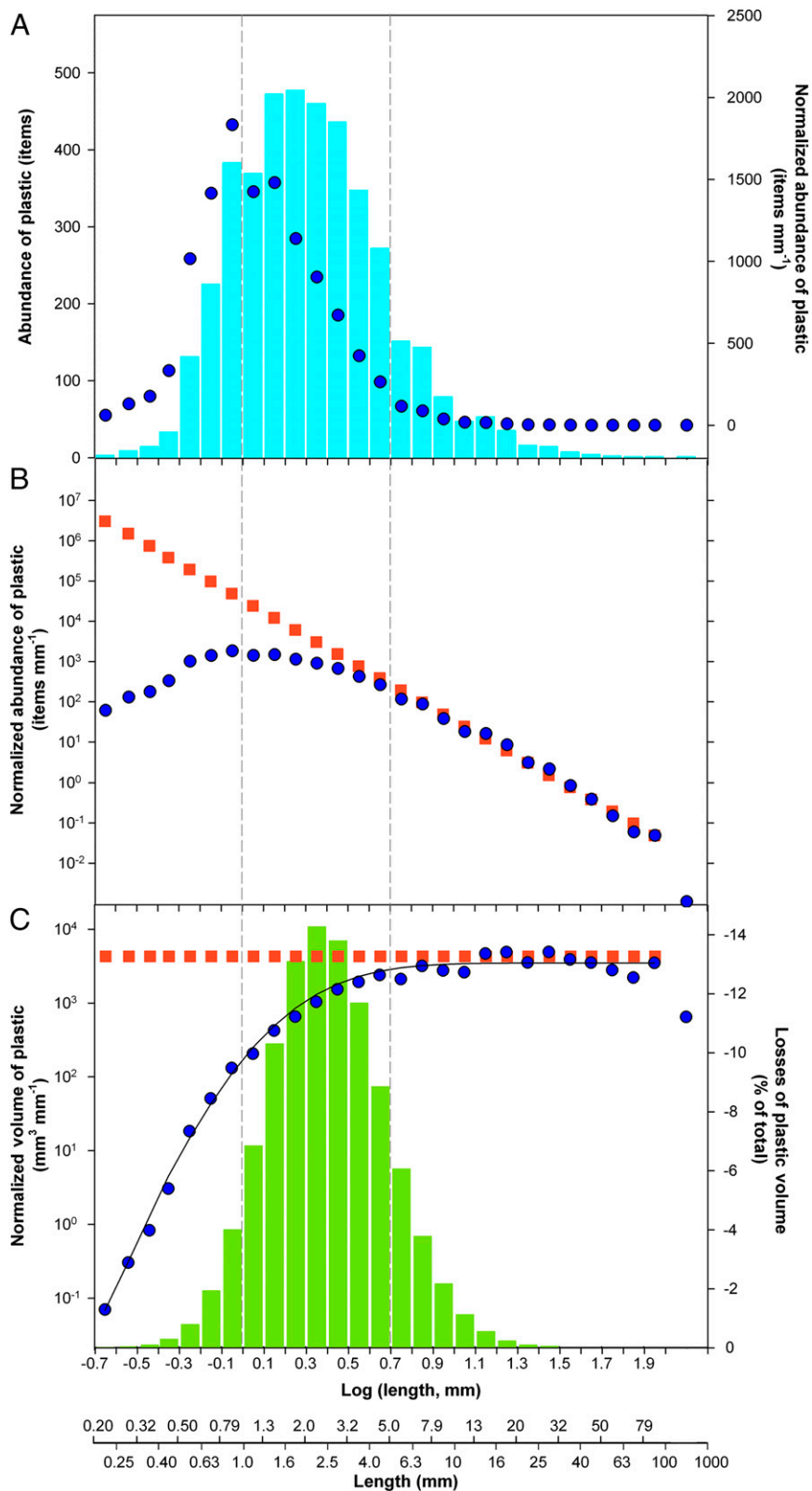
The fourth possible sink is ingestion by marine organisms. The size interval accumulating most of plastic losses corresponds to that of zooplankton (mainly copepods and euphausiids). Zooplanktivorous predators represent an abundant trophic guild in the ocean, and it is known that accidental ingestion of plastic occurs during their feeding activity. The reported incidence of plastic in stomachs of epipelagic zooplanktivorous fish ranges from 1 to 29% (30, 31), and in stomachs of small mesopelagic fish from 9 to 35% (10, 32). The most frequent plastic size ingested by fish in all these studies was between 0.5 and 5 mm, matching the predominant size of plastic debris where global losses occur in our assessment. Also, these plastic sizes are commonly found in predators of zooplanktivorous fish (30, 31, 33).

Although diverse zooplanktivorous predators must contribute to the plastic capture at millimeter scale, the small mesopelagic fish likely play a relevant role. They constitute the most abundant and ubiquitous zooplanktivorous assemblage in the open ocean, with densities close to one individual per square meter also in the oligotrophic subtropical gyres (34, 35). Mesopelagic fish live in the middle layer (200–1,000 m deep) of the ocean but migrate to

Table 1. Range of the global load of plastic debris in surface waters of the open ocean

Plastic debris, kilotons	North Pacific Ocean	North Atlantic Ocean	Indian Ocean	South Atlantic Ocean	South Pacific Ocean	Total
Low estimate	2.3	1.0	0.8	1.7	0.8	6.6
Mid estimate	4.8	2.7	2.2	2.6	2.1	14.4
High estimate	12.4	6.7	5.1	5.4	5.6	35.2

Loads by ocean were estimated from the low, mid, and high ranges of plastic concentration measured within major regions in relation to the degree of surface convergence (nonaccumulation zone, outer accumulation zone, and inner accumulation zone). The ranges of plastic concentration by zones are shown in Fig. 2.



viscous feces that assume spheroid shapes while sinking at high velocities (around $1,000 \text{ m} \cdot \text{d}^{-1}$) (36). Hence, microplastic fragments could also reach the bottom via defecation, a proposition that requires further quantitative testing.

Surface losses of large plastic objects by sinking are unaccounted for in our fragmentation model (Fig. 3). However, these large objects, included those in the uppermost part of our plastic size spectrum, are commonly observed on the seafloor (37) and likely contribute significantly to reduce the global load at the surface. Large plastic objects undergo particular biofouling because they can host a wide size range of organisms and often show large cavities (e.g., bags, bottles) that facilitate their ballasting and subsequent sinking.

In the present study, we confirm the gathering of floating plastic debris, mainly microplastics, in all subtropical gyres. The current plastic load in surface waters of the open ocean was estimated in the order of tens of thousands of tons (10,000–40,000). This estimate could be greatly improved through joining sampling efforts particularly in semiclosed seas (e.g., Mediterranean) and the southern hemisphere, where existing data are scarce. Nevertheless, even our high estimate of plastic load, based on the 90th percentile of the regional concentrations, is considerably lower than expected, by orders of magnitude. Our observations also show that large loads of plastic fragments with sizes from microns to some millimeters are unaccounted for in the surface loads. The pathway and ultimate fate of the missing plastic are as yet unknown. We cannot rule out either of the proposed sink processes or the operation of sink processes yet to be identified. Indeed, the losses inferred from our assessment likely involve a combination of multiple sinks. Missing microplastic may derive from nano-fragmentation processes, rendering the very small pieces undetectable to convectional sampling nets, and/or may be transferred to the ocean interior. The abundance of nano-scale plastic particles has still not been quantified in the ocean (9), and the measurements of microplastic in deep ocean are very scarce, although available observations point to a significant abundance of microplastic particles in deep sediments (38), which invokes a mechanism for the vertical transport of plastic particles, such as biofouling or ingestion. Because plastic inputs into the ocean will probably continue, and even increase, resolving the ultimate pathways and fate of these debris is a matter of urgency.

Materials and Methods

From December 2010 to July 2011 the Spanish circumnavigation expedition Malaspina 2010 sampled surface plastic pollution at 141 sites across the oceans. Floating plastic was collected with a neuston net (1.0 × 0.5-m mouth, 200-μm mesh) towed at 2–3 knots for periods 10–15 min (total tows 225). Tow areas were calculated from the readings of a flowmeter in the mouth of the net. Wind speed and water surface density were measured during each tow to estimate average friction velocity in water (u_*) (39).

The material collected by the net was mixed with 0.2-mm-filtered seawater. Subsequently, floating plastic debris was carefully picked out from the water surface with the aid of a dissecting microscope. This examination was repeated at least twice to ensure the detection of all of the smallest plastic particles. To confirm the plastic nature of the material collected in the examinations, Raman spectroscopy was applied to a random subset of particles ($n = 67$). The analysis confirmed the identity of all plastic particles, and polyethylene was found to be the most common polymer type. The vast majority of the plastic items consisted of fragments of larger objects, and industrial resin pellets represented only a small fraction (<2%) of all encountered items. Textile fibers were found only occasionally and were excluded from the analysis because they could be airborne contamination from clothing during the sampling or processing (31).

Plastics extracted from the seawater samples were washed with deionized water and dried at room temperature. The total dry weight of the plastics collected in each tow was recorded. The maximum linear length (l) of the plastic items was measured by high-resolution scanning (SI Appendix, Fig. S11) and the image processing Zooimage software (www.sciviews.org). Alternatively, excessively large plastic objects were measured with a ruler.

Overall, 7,359 plastic items were measured and separated in 28 size classes to build a size distribution. Size limits of the bins followed a 0.1-log series of l . The width of the uppermost bin extended from 10 cm to the length of the net mouth (100 cm) to account for all sizes that could be collected by the net. The trapping efficiency of fine particles by the mesh was tested from the analysis of the size distribution of nonplastic particles in six tows evenly distributed along the circumnavigation (SI Appendix, Fig. S12). Once the plastic particles were picked out from the samples, the size distribution of nonplastic particles was measured by the same methods.

Wind stress can extend the vertical distribution of floating plastic debris into the surface mixing layer, resulting in underestimation of the plastic concentrations measured by the surface tows (0.25 m deep). Thus, the integrated plastic abundance from the surface to the base of the wind-mixed layer (generally <25 m) was estimated with a model dependent on u_* and the numerical concentrations measured in the surface tows (39). Wind-corrected abundances were converted to mass concentrations using a correlation based on simultaneous measurements of total mass and abundance of plastic in 570 worldwide tows (SI Appendix, Fig. S13).

Size-Distribution Analysis. A theoretical size distribution of plastic derived from fragmentation was modeled by assuming steady state (large-objects input = small-fragments output, below 0.2 mm). Given that the plastic abundance in a given size class depends on the fragmentation of larger plastic objects already present, we selected a size class with relatively large plastic (reference bin) and projected the plastic amount measured in this bin toward smaller and larger size classes (onward and backward in time). Therefore, the normalized abundance (divided by the width of the size-class interval) of the size class i derived from steady fragmentation was modeled as

$$A_i^f = \frac{A_{ref}^f \cdot \alpha \cdot l_{ref}^3}{\alpha \cdot l_i^3} = \frac{A_{ref}^f \cdot l_{ref}^3}{l_i^3}.$$

We used a standard shape for the plastic fragments having the three principal axes proportional to l . Thus, $\alpha \cdot l_i^3$ accounts for the mean volume of the fragments of i , with α being a shape factor and l_i the nominal length for the class i , set at the bin midpoint. A_{ref}^f is the normalized abundance measured in the reference bin ($i = ref$). The 20- to 25-mm class was selected as reference, although similar results were obtained by selecting other large-size classes.

The normalized volume in each size class derived from fragmentation was modeled as $V_i^f = A_i^f \cdot \alpha \cdot l_i^3 = A_{ref}^f \cdot \alpha \cdot l_{ref}^3$, being $\alpha = 0.1$, a value corresponding to flat-shaped volume. Because the steady fragmentation of the large-plastic input results in an even volume-size distribution, deviations of the observed size distribution from a conservative distribution can be related to changes in the fragmentation dynamics, inputs of small plastics, or losses (SI Appendix, Fig. S9). Estimating volumes from observed abundances ($V_i^* = A_i^* \cdot \alpha \cdot l_i^3$), and after smoothing the resulting volume-size distribution to remove small irregularities, the deviations from a conservative distribution (Δ_i , expressed as percentage of total) were calculated as

$$\Delta_i = \frac{V_{i-1}^* - V_i^*}{\sum_{j=1}^n |V_{j-1}^* - V_j^*|} = \frac{(A_{i-1}^* \cdot l_{i-1}^3) - (A_i^* \cdot l_i^3)}{\sum_{j=1}^n |(A_{j-1}^* \cdot l_{j-1}^3) - (A_j^* \cdot l_j^3)|},$$

where $i = 1, 2, \dots, n$, with n being the lowest size class (0.2–0.25 mm). The denominator accounts for the total deviations accumulated across the entire size range studied. Negative values of Δ_i are related to net plastic losses and positive values to plastic accumulations. Note that Δ_i is independent of the standard plastic shape (α value) used in the computations. Possible variations of α with size were unable to induce changes in the volume-size distribution enough to explain the gap found in small sizes, owing to the extreme scarceness of plastic below 1 mm and the geometrical constrain for α , getting the maximum at 0.52 (spherical shape). Observed plastic abundance in the lowest part of the size spectrum was four orders of magnitude lower than expected from fragmentation (Fig. 3).

The size-distribution analysis is a useful tool to constrain the possible dynamics of marine plastic pollution. Nevertheless, the mechanisms leading to the observed plastic size distributions still are not entirely understood and deserve further attention, resolving the size dependence of the sink/sources processes, as well as testing the framework proposed here (SI Appendix, Fig. S9) to identify additional processes.

Spatial Analysis. To analyze the global distribution of floating plastic, data from the Malaspina circumnavigation were combined with additional regional surveys and recent (from 2006 to date) measurements reported by other researchers after data standardization (SI Appendix, Table S1).

Concentrations of plastic per surface-water volume were converted to concentrations per surface area from the tow depth, determined according to net type and mouth dimensions (one-half mouth height for neuston nets, three-fourths mouth height for manta nets). Plastic concentrations measured with mesh sizes larger than 0.2 mm were multiplied by a correction factor derived from the plastic size distribution measured in the Malaspina circumnavigation. For 0.3-, 0.5-, and 1.0-mm mesh sizes, numerical underestimation was estimated at 0.4, 2.7, and 21.3%, and mass underestimation at 0.0, 0.4, and 5.0%, respectively. Data reported in numerical concentrations were converted to mass concentrations by using the global relationship found between total mass and abundance (*SI Appendix, Fig. S13*). For data reported without wind correction (3–5, 18), we use satellite winds from the CCMP database (<http://podaac.jpl.nasa.gov>) to discard samples collected with winds speeds larger than 5 m s^{-1} ($u_* \sim 0.6 \text{ cm s}^{-1}$), the threshold above which the effects of wind stress can be significant (39).

The range of the global plastic load in the surface ocean was estimated from the concentration ranges measured over 15 major zones in relation to the degree of surface convergence and by using two different sets of measurements, a wind-corrected dataset and a noncorrected dataset. Using a global circulation model (6), nonaccumulation, outer accumulation, and inner accumulation zones were delimited in each ocean basin to reduce the inaccuracies derived from an uneven distribution of measurements. In addition, plastic measurements were spatially averaged over grid cells of 2° in both latitude and longitude to avoid overweight of areas with high

sampling frequency. Overall, 442 grid cells (1,127 net tows) were included in the wind-corrected dataset (Fig. 1 and *SI Appendix, Table S1*). Midrange regional concentrations were calculated from the averaging of the wind-corrected plastic concentrations within each major zone. High-range regional concentrations were calculated from the 90th percentile. We used a wide confidence interval for the plastic load estimate to address variability and possible inaccuracies in the spatial concentrations of plastic. Low-range concentrations were calculated from the averaging of the direct measurements of surface concentrations, without wind correction or discards by high wind mixing (noncorrected dataset: 851 grid cells, 3,070 net tows; *SI Appendix, Figs. S2 and S3*). Global plastic loads in the open-ocean surface were estimated from high, mid, and low regional concentrations and surface areas.

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