

Article

Methodology for the Study of the Envelope Airtightness of Residential Buildings in Spain: A Case Study

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Abstract: Air leakage and its impact on the energy performance of dwellings has been broadly studied in countries with cold climates in Europe, US, and Canada. However, there is a lack of knowledge in this field in Mediterranean countries. Current Spanish building regulations establish ventilation rates based on ideal airtight envelopes, causing problems of over-ventilation and substantial energy losses. The aim of this paper is to develop a methodology that allows the characterization of the envelope of the housing stock in Spain in order to adjust ventilation rates taking into consideration air leakage. A methodology that is easily applicable to other countries that consider studying the airtightness of the envelope and its energetic behaviour improvement is proposed. A statistical sampling method has been established to determine the dwellings to be tested, considering relevant variables concerning airtightness: climate zone, year of construction, and typology. The air leakage rate is determined using a standardized building pressurization technique according to European Standard EN 13829. A representative case study has been presented as an example of the implementation of the designed methodology and results are compared to preliminary values obtained from the database.

Keywords: infiltrations; airtightness of the envelope; blower door test; residential buildings

1. Introduction

In recent years, the interest in air infiltrations has significantly grown. The uncontrolled intake access through the envelope of buildings generates a series of problems that affect its occupants [1]:

higher energy consumption, lack of thermal comfort, entry of pollutants and odours, noise, inadequate functioning of ventilation systems, less protection against fire, etc.

There are numerous studies that have been carried out in Northern Europe, USA, and Canada for decades [2]. However, in Mediterranean countries there is still a lack of knowledge in this area [3]. Some field studies have been carried out to characterize the behaviour of the envelope of residential buildings concerning infiltration (Table 1):

Table 1. Examples of studies of airtightness characterization carried out in Mediterranean countries.

Country	Ref.	Year	Sample Size	Year of Construction	Typologies ¹	Testing Method ²	Leakage Detection ³
Italy	[4]	2012	20	1810–2010	M/S	BD	T/A/PT
Italy	[5]	2013	5	1970's	M	BD/S	A
Greece	[6]	2007	20	unspecified	S	BD/TG	-
Portugal	[3]	2017	4	unspecified	M/S	BD	-
Portugal	[7]	2015	49	1972–1974	M	BD/TG	-
Croatia	[8]	2014	58	different periods	M/S	BD	-
Turkey	[9]	1990	52	1980's	M	BD/TG	-
Spain	[10]	2013	>120	unspecified	M	BD	T
Spain	[11]	2014	13	1973–2012	M	BD	T
Spain	[12]	2015	6	1960–1976	M	BD	T/SD
Spain	[13]	2012	45	2003–2011	M	BD	T/SD

¹ Multi-family dwellings: M/Single-family houses: S; ² Pressurization method: BD/Tracer gas decay method: TG/Software simulation: S; ³ Thermography: T/Anemometer: A/Pitot tube: PT/Smoke detector: SD.

In the south of Italy, an experimental study [4] was carried out in 20 residential buildings of different periods (1810–2010), climatic zones, and typologies (cottages, terraced houses, apartments, and large buildings). The study focused on the impact of infiltrations on energy consumption and thermal comfort, analysing the influence of several parameters: net floor area, envelope area, internal volume, typology, type of window frames, and year of construction.

Another study in Italy [5] analysed the airtightness of a three-story building of the 1970s recently renovated with six apartments to know the influence of air leakage on energy losses and indoor air quality. Repeated tests sealing different elements revealed the influence of each component on the total infiltration rate.

Sfakianaki et al. [6] conducted a study in 20 single-family homes in the Attica area, Greece. Both the tracer gas decay and pressurization methods were used. In this way, the cases were classified into three categories according to EN ISO 13,790 [14] and the influence of different parameters on the global infiltration rate was statistically evaluated.

In Coimbra, Portugal [3], four retrofitted and original buildings of the historic centre were studied. Three of them were multi-family buildings and one was a detached house. The objective was to know the air change rate (ACH) of the chosen sample and to establish the minimum ACH to maintain good indoor air quality and acceptable thermal behaviour. Another more extensive testing campaign in Portugal [7] analysed 49 apartments in two social housing neighbourhoods in order to know the relationship between the behaviour of the users of the dwellings and their airtightness and ventilation. One of the neighbourhoods had recently been rehabilitated. Tests were also carried out in an apartment to find out the individual impact of different elements on the airtightness of the whole envelope (window frame, self-regulated air inlets, mechanical extraction in kitchens, fixed air inlets in the laundry, and mechanical fan in the bathroom).

A study in Osijek, Croatia, [8] obtained a database with airtightness test results in 58 residential units. The sample was considered representative in terms of year of construction after finding a relationship between the elements of the sample and the statistical data of residential buildings in Croatia. The purpose was to establish a method for predicting the airtightness of the envelope applying neural networks, which has been subsequently validated [15,16].

In Turkey [9], another study was carried out after the detection of problems in dwellings such as inadequate air conditioning and ventilation or condensation. Airtightness tests were conducted

in 52 newly built unoccupied homes to analyse the behaviour of the envelope and to proceed with a possible revision of the regulations.

In the case of Spain, only partial studies have been carried out so far to characterize the airtightness of the envelope of the housing stock. None of them has covered the entire geography of the country. The study with the largest number of tests fulfilled was conducted in recently built dwellings in northern Spain [10] to determine the level of infiltration in residential buildings and to establish the determining factors. Also, in northern and central Spain, Meiss and Feijó-Muñoz [11] developed a procedure to evaluate the excess energy consumption caused by air infiltration, analysing 13 homes in residential buildings. The lack of airtightness in Spain was estimated to represent between 10.5% and 25.4% of the energy demand in winter in buildings built under current regulations [17].

Several social housing units in Madrid and Seville were analysed to determine the degree of airtightness and indoor air quality [12]. The sample was chosen paying attention to the representativeness of the architectural and construction typologies used in the period 1940–1980 and presented different degrees of retrofitting of the envelope. The airtightness of the envelope in residential buildings at the beginning of the 21st century in the south of Spain has also been characterized [13]. The selection of cases to be analysed in each building was made with a stratified probabilistic design.

The objective of this work is to establish a rigorous and simple methodology in order to carry out a characterization of the envelope of residential buildings in Spain in terms of airtightness, through coordinated testing campaigns. A broad database of 411 cases that collects characterization information and results is being created. In a later phase, the analysis of the data under a unified procedure and criteria will be guaranteed. In this sense, it will be possible to know the impact that different factors have on airtightness, as well as the infiltration rates corresponding to the most widespread constructive solutions in Spain.

This methodology has been conceived in such a way that it is easily applicable to other countries that intend to evaluate the energy impact of air leakage of existing buildings.

Regulations in Spain

The European Directive on the energy performance of buildings [18] is committed to the generalization of nearly-zero energy buildings (nZEB) by 2020. In response to it, many countries have been incorporating limitations in their regulations regarding the airtightness of the envelope [19] since the beginning of the 2000s.

However, in Mediterranean countries with a temperate climate, where ventilation has traditionally been done in a natural and manually controlled way, infiltrations have been part of the air supply and have compensated the lack of regulation of specific controlled ventilation systems [13].

In Spain, after the oil energy crisis in the 70s, the building regulations NBE-CT-79 [20], relating to thermal conditions of buildings, tended to increase thermal insulation, achieving an improvement in the energy performance of buildings. NBE-CT-79 also affected indoor air quality as a consequence of the lack of ventilation. To give an answer to this problem, in 2006 regulations of the Technical Building Code (CTE) DB HS3 [21] were approved. This document implemented specific ventilation requirements and proceedings to ensure adequate indoor air quality, establishing minimum ventilation flows.

The current version of the CTE [21], in spite of not contemplating a minimum requirement of global airtightness of the envelope, gives the option to consider the equivalent infiltration area as part of the ventilation opening area. In this way, using technical specifications tables or minimum values of airtightness established for each type of window (Table 2) it is possible to adjust the required flow rates. However, the obtaining of a precise value for the entire enclosure considering not only the airtightness of its elements separately, but also the encounters of each solution, would be necessary. In any case, the lack of knowledge of airtightness values results in the oversizing of the ventilation systems.

Table 2. DB HE1: Limitation of the energy demand [22]: maximum air permeability values of the windows of the thermal envelope by winter climate zone ¹.

Parameter	Zone α	Zone A	Zone B	Zone C	Zone D	Zone E
Window air permeability ² ($\text{m}^3/\text{h}\cdot\text{m}^2$)	≤ 50 ³	≤ 50	≤ 50	≤ 27	≤ 27	≤ 27

¹ Zones A, B, C, D and E refer to Continental Spain. Zone α refers to Canary Islands. ² Airtightness measured with 100 Pa overpressure. ³ The values correspond to the window classification established in the EN 12207 [23]: class 1 ($\leq 50 \text{ m}^3/\text{h}\cdot\text{m}^2$) and class 2 ($\leq 27 \text{ m}^3/\text{h}\cdot\text{m}^2$).

2. Methodology

2.1. Sampling

Given the impossibility of testing and characterizing each home in the Spanish residential stock, sampling has been used, selecting a set of elements (sample), from which to extrapolate the results and establish statements. In this sense, the maximum representativeness of the sample has been ensured in order to characterize the existing housing stock in Spanish geography in the most reliable way.

In the theoretical framework, the best way to estimate a relevant statistical distribution would be to analyse cases in a random sample of buildings in Spain that would ensure the representativeness of the sample [24]. However, taking into account the objectives and characteristics of the present study, a more realistic and efficient approach has been considered, proposing a non-probabilistic quota sampling scheme. The sample reproduces the population on a smaller scale, ensuring the heterogeneity and proportionality of the selected cases. The problem of this methodology lies mainly in the possibility of bias in the sample when the cases are selected in a very specific environment. The appropriate measures to avoid it have been taken. It is also necessary to indicate that sampling errors cannot be estimated because the probability of each case being selected is unknown [25].

2.1.1. Parameters

The Spanish residential stock has been stratified into subgroups (strata) according to a series of control variables. In order to define relevant control variables, a review of previous studies that have established predictive airtightness models in residential buildings [26] has been used. It is deduced that one of the variables with greater relevance is the year of construction [27–30]. Also, characteristics of the building such as typology [31], type of construction [30], type of window/glazing [15] and window frame [6,32], foundation [28], construction system [33], materials [15,24,32,34], floor area [28], total height [28], number of storeys [33], ventilation system [35,36], type of insulation [30] and sealing devices [34], and management type [31] should be considered. In addition, the execution also seems to be a relevant factor in the airtightness of the envelope [36,37]. Several authors have also considered that the climatic zone is a variable to consider [28,38].

There are many factors that have an impact on airtightness. However, in order to be considered as control variables for sampling, its distribution must be known. Finally, the year of construction, typology, and climatic zone have been taken as control variables for the sampling, given their relevance. The impact on the infiltration rate generated by the other parameters will be evaluated afterwards, during the data analysis phase.

The year of construction is relevant for several aspects. First, materials and joints deteriorate over time [39]. A 10–15% increase in the infiltration rate has been estimated every 10 years [40,41]. Second, construction systems are evolving and improving, although in countries with temperate climates where there are no regulations that limit the infiltration rate, the most recent buildings are not necessarily the most airtight [2]. Third, the year of construction is linked to the regulations in force at each moment, which establishes the requirements and conditions of the construction systems. A total of 10 groups have been determined by periods, based on statistical sources [42].

Regarding the typology, a distinction has been made between single-family and multi-family dwellings, which represent more than 70% of the residential stock in Spain [40].

The climatic zone also has a significant impact on airtightness. Buildings located in cold climates tend to be more airtight than others located in temperate climates [41]. Climatic zones do not only affect weather conditions, but they can also establish differences in regulatory requirements or different construction systems [28]. A simplification of the climatic zones in Spain has been made, obtaining a total of four zones: Continental, Oceanic, Mediterranean, and Canary Islands (Figure 1).

The number of cases belonging to each stratum has been made proportionally, according to the statistical distribution of the housing stock in Spain [42]. This way, a stratified representation of the population is obtained.

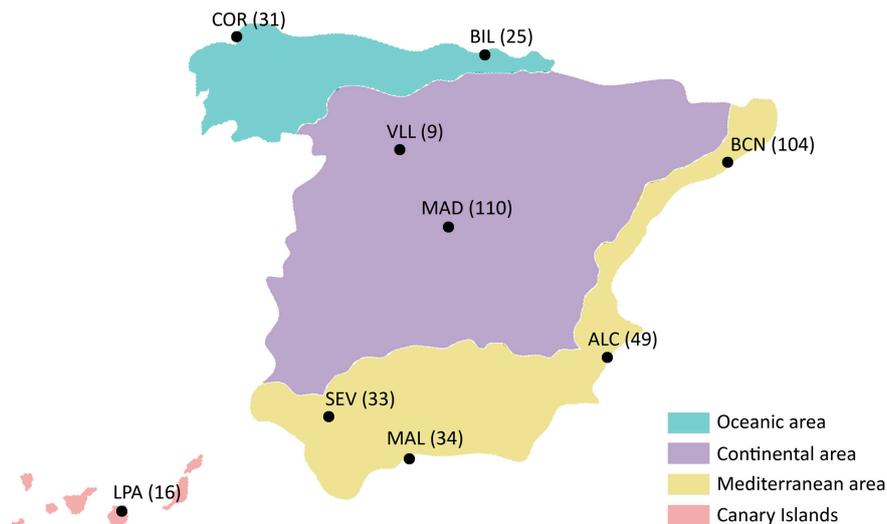


Figure 1. Considered climate zones in Spain, location of the participant Universities, and size of the sample of each of them.

2.1.2. Size and Distribution of the Sample

Once the control variables were defined, the number of cases to be analysed and the stratification of the population is carried out. The size of the sample depends on three variables: the level of confidence, the variance, and the margin of error that is considered acceptable [43]. Statistically, the Spanish residential stock is considered an infinite population. This means that the size of the sample will be determined by the following Equation (1):

$$n_0 = \frac{Z^2 \sigma^2}{e^2} \quad (1)$$

where:

- n_0 : sample size (number of dwellings to be studied)
- Z : critical value, depending on the confidence level
- σ : population standard deviation (unknown value in Spain)
- e : margin of error

The value of Z is given by the Gaussian distribution, depending on the level of confidence accepted. The most frequent values are $Z = 1.645$, $Z = 1.96$ and $Z = 2.575$, for confidence levels of 90%, 95% and 99% respectively.

The value of the variance in terms of the airtightness of the envelope in Spain is an unknown value, since there is still no representative data in this regard. Values obtained in a study carried out in Italy were taken as reference, by proximity, constructive, and climatic analogy [44]. The study carried out analysed 20 cases of several typologies and year of construction, resulting from the air change rates values at 50 Pa (n_{50}) a variance of 17.584.

Taking into account that a higher level of confidence or a minor admissible error significantly increases the sample size and that the number of cases to be analysed is conditioned by the purpose of the study, the availability of resources, and the time limitation, a confidence level of 95% and an error of ± 0.4 have been considered acceptable, resulting in a sample size of 423 cases. This figure has finally been reduced to 411 due to numerical rounding in the stratification process. The distribution of the samples and their location are detailed in Table 3 and in Figure 1.

Table 3. Distribution of the sample.

Period	Typology ¹	Climate Zone								
		Oceanic		Continental		Mediterranean			Canary Islands	
		COR	BIL	VLL	MAD	BCN	ALC	MAL	SEV	LPA
<1900	S	1	-	-	-	1	1	-	-	-
	M	-	1	-	2	3	-	-	-	-
1900–1920	S	1	-	-	-	1	-	-	-	-
	M	-	1	-	2	3	-	-	-	-
1921–1940	S	1	-	-	-	1	-	-	-	-
	M	-	1	-	3	4	-	-	-	-
1941–1950	S	1	-	-	-	1	1	-	1	-
	M	-	1	-	3	3	1	-	1	-
1951–1960	S	1	-	-	1	1	1	1	1	-
	M	1	3	-	8	7	1	1	1	1
1961–1970	S	1	-	-	1	2	1	1	2	1
	M	3	7	2	19	19	5	3	4	2
1971–1980	S	1	-	-	3	3	2	2	2	1
	M	5	5	2	23	22	8	6	5	2
1981–1990	S	1	-	-	3	3	3	2	2	1
	M	2	1	1	9	6	5	5	2	2
1991–2001	S	1	-	1	4	3	2	2	3	1
	M	3	2	1	13	10	3	4	3	2
2002–2011	S	2	-	1	3	2	3	1	3	1
	M	6	3	1	13	9	12	6	3	2
TOTAL		31	25	9	110	104	49	34	33	16

¹ S are single-family houses and M multi-family or apartment buildings.

2.2. Tests Assessment

2.2.1. Testing Method

The evaluation of the leakages is carried out in accordance with EN 13829 standard [45], used for the determination of air permeability of buildings by the fan pressurization method, commonly known as Blower door test (Figure 2).

There are other measurement techniques such as the tracer gas method, which is more accurate but requires well-trained experts and also higher costs [3]. The blower door test has been considered the most appropriate technique because it is simpler and comparatively inexpensive. The generation of a high-pressure differential (50 Pa) is sufficient to mitigate the wind and temperature action on the envelope. In this sense, it is reasonably accurate and reproducible [2]. In return, the envelope is subjected to an unreal situation, because the average pressure differential under normal environmental circumstances is around 1–4 Pa.

Measurement sets are made both for pressurization and depressurization, in the internal volume of the deliberately conditioned space of the house. In the case of apartment buildings, in which part of

the envelope is in contact with other conditioned or unconditioned spaces different from the outdoor space, the air permeability of each dwelling is measured individually. Dividing walls and horizontal partitions to adjacent spaces are considered to be part of the envelope. It must be taken into account, though, that there are air inlets with different environmental conditions [11]. Thus, envelope areas from different conditions are measured in a differentiated way. Technical and operational limitations do not allow addressing more accurate approaches like the pressure equalization method [46].

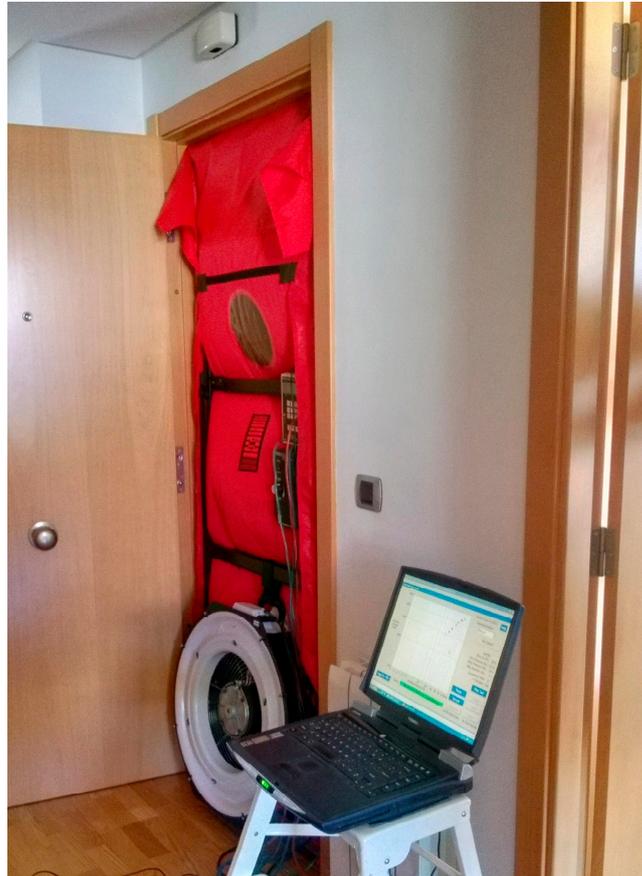


Figure 2. Blower door system.

Through an automated test using the software provided by the blower door manufacturer (TECTITE Express), a total of 10 data points were measured, with increments of 6 Pa in the range 11–65 Pa.

The infiltration curve is calculated according to the power law equation (Equation (2)), based on the fundamental mechanics airflow, to establish the relationship between the fan flow (q_{env}) and the building pressure difference (Δp):

$$V_{env} = C_{env}(\Delta p^n) \quad (2)$$

where:

V_{env} : air flow rate through the envelope of the dwelling (m^3/h)

C_{env} : air flow coefficient, which is related to the size of the opening ($m^3/(h \cdot Pa^n)$)

Δp : induced pressure difference (Pa)

n : pressure exponent

The pressure exponent n provides an indication of the flow regime. It has the limiting values of 0.5 and 1 [2]. On the one hand, when n is closer to 0.5, the flow regime is turbulent and leakage

paths are expected to be large, short leaks. On the other hand, when the exponent is closer to 1, the flow is laminar and long-path leaks are expected. Typical flow exponent values range between 0.6 and 0.7 [47].

Two procedures are carried out by Methods A (testing of a building in use) and B (testing of the building envelope), as described in standard EN 13829 [45], taking into account the different preparations of the building that each method requires (Table 4).

Table 4. Preparation of the building envelope for Methods A and B.

Openings on the Envelope	Method A	Method B
Terminal devices of mechanical ventilation or air conditioning systems	⊙	⊙
Terminal devices of mechanical ventilation or air conditioning systems	⊙	⊙
Heating systems with indoor air intake	⊗	⊙
Natural ventilation with adjustable openings	⊗	⊙
Natural ventilation without adjustable openings	○	⊙
Exhaust openings	⊗	⊙
Enclosed fireplaces	⊗	⊙
Open fireplaces	○	⊙
Plumbing overflows and drains (without traps)	○	●/⊙
Water traps in plumbing	●	●/⊙
Cupboards and closets doors	⊗	⊗
Exterior windows and doors	⊗	⊗
Interconnecting doors	○	○

○ open position; ⊗ close position; ⊙ sealed position; ●filled position.

The parameters obtained from the power law, defined in the EN 13829 standard [45] that allow the comparison of results in different buildings (Table 5) are listed below:

Table 5. Parameters obtained from the power law.

Parameter	Equation	Unit
V_{50} air flow rate at 50 Pa	$C_{env}(50)^n$	m^3/h
n_{50} air change rate at 50 Pa (ACH_{50})	V_{50}/V	H^{-1}
w_{50} specific leakage rate at 50 Pa	V_{50}/A_E	$m^3/h \cdot m^2$
q_{50} air permeability rate at 50 Pa	V_{50}/A_F	$m^3/h \cdot m^2$

V (m^3): internal volume. Volume of air inside the measured building, calculated by multiplying the net floor area by the ceiling height. The volume of the furniture is not subtracted. A_E (m^2): envelope area. Total area of walls, floors, and ceilings bordering the internal volume subject to the test. A_F (m^2): net floor area. Total floor area of all floors belonging to the internal volume subject to the test.

Additionally, two parameters are considered:

- EqLA (10 Pa): equivalent leakage area—National Research Council (NRC) of Canada Model (cm^2). It is defined as the area of a sharp-edged orifice that would leak the same amount of air as the building does at a pressure of 10 Pa [48].
- ELA (4 Pa): effective leakage area—Lawrence Berkeley Laboratories Model (LBNL) (cm^2). It is defined as the area of a special nozzle-shaped hole that would leak the same amount of air as the building does at a pressure of 4 Pa.

During the depressurization stage, leakage points were identified by thermography (Figure 3). However, due to the climatological conditions of the areas where the tests were carried out, a large thermal gradient to allow thermographic observation was not always expected. In these cases, thermography will be replaced by the use of smoke generators.

For the assessment of the tests, a common protocol based on the standard [45] has been developed, which specifies the correct execution of the experimental procedures and data capture, in such a way that all the cases are analysed in a systematic and uniform way.

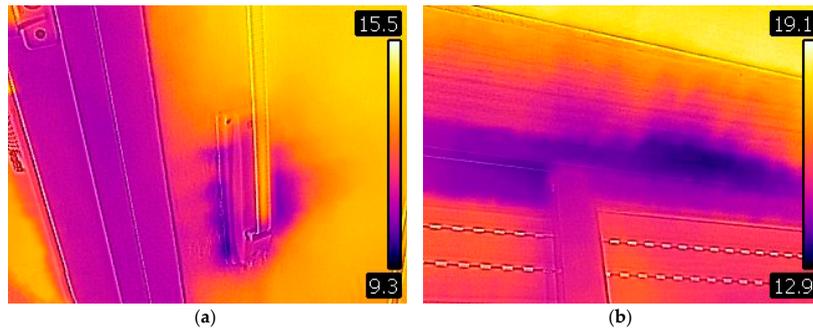


Figure 3. Usual leakage paths detected with thermography. (a) Leakage path at the strap coils of rolling shutters; (b) Leakage path at window joints.

2.2.2. Software Development for Data Gathering

A specific tool has been developed to store all the data from each case, “infil-APP” (Figures 4–7). A wide characterization of different parameters, up to a total of more than 140, are stored in a tabulated way, detailed in Table 6. The application has been conceived to allow a simple, fast, and intuitive operation. Its structure is in accordance to the testing process, so that technicians only need to follow the steps. The information needed in order to perform the test is gathered in advance, so that the minimum time is spent on site.

The first stage is completed before the test performance and comprises data of the technicians and the owner, responsible university, location, and year of construction of the dwelling (Figure 4). A code is automatically generated for each case, which allows personal data protection and the identification of each case. Dimensions are also gathered at this stage whenever graphical documentation of the dwelling is available. Otherwise, simple floor plans are sketched on site in order to obtain the required information. Dimensions such as floor area (A_F), volume (V), envelope area (A_E), or shape factor (S_f) are automatically calculated from partial dimensions. In order to guarantee suitable meteorological conditions limited by EN 13829 standard [45], weather forecast at the expected date, and time of the test is also introduced. If the wind speed exceeds 6 m/s, “infil-APP” does not allow one to continue to further steps and the test needs to be postponed.

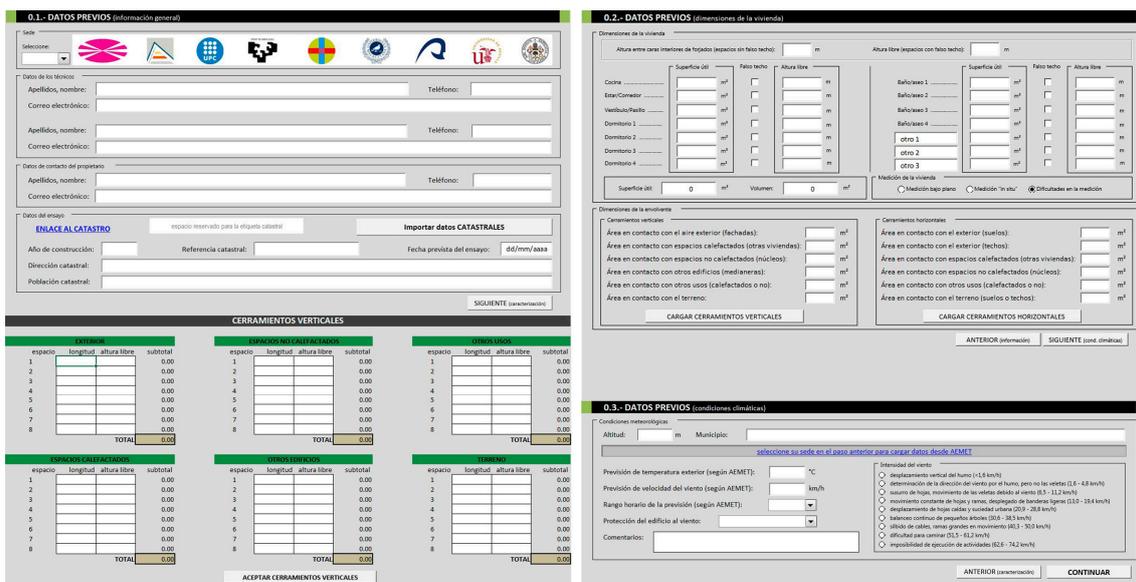


Figure 4. Screenshots of “infil-APP”. Previous Data.

The second stage is the characterization of the case (Figure 5). It is done during the visit to the dwelling. Pictures of the exterior, each room, windows, systems, special features, and cracks or other pathologies detected are taken. General information about the dwelling, construction systems, and conditioning and ventilation systems are saved in “infil-APP”.

A catalogue of preset typical construction solutions in Spain has been developed (Figure 6), paying attention to singular elements such as shutters. Nevertheless, customized solutions are also possible to introduce. The retrofitting state of each case has been considered in detail due to its decisive relevance.

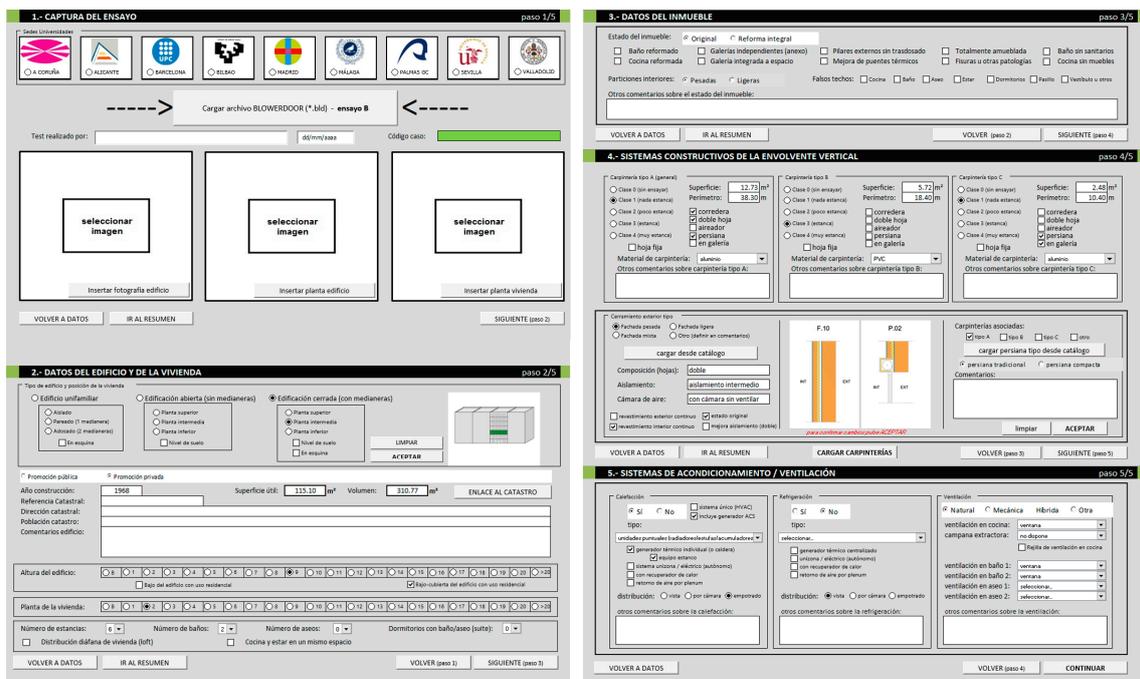


Figure 5. Screenshots of “infil-APP”. Characterization of the case.

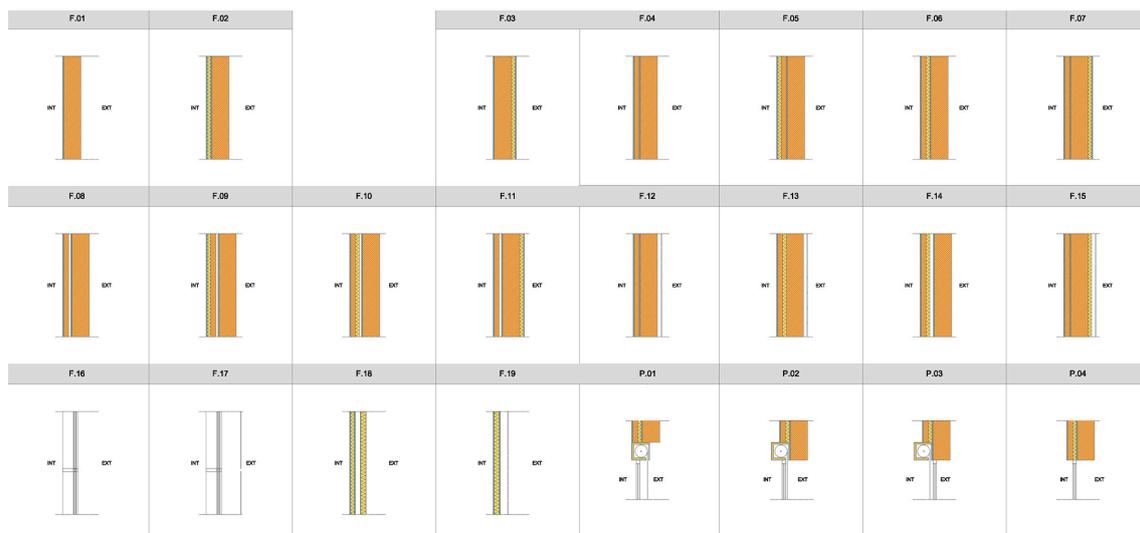


Figure 6. Catalog of preset typical façade and rolling shutters in Spain.

Once the pressurization test is performed, tests results are imported into “infil-APP”, together with environmental conditions details during the test (Figure 7). As soon as the case is completed, it is automatically uploaded to the cloud server, ready to be reviewed by the coordinating university (VLL) and geolocated in an interactive map. A full report and a test certificate are automatically obtained.

RESUMEN DEL ENSAYO

Condiciones del ensayo

Temperatura en el interior de la vivienda: 15.4 °C Velocidad del viento: 5 km/h Velocidad de racha: 14 km/h

Temperatura en el exterior de la vivienda: 5 °C datos AEMET tomados durante las 24 h siguientes al ensayo datos procedentes de la previsión

enlace AEMET para obtener temperatura, velocidad del viento y racha del viento en la capital (Valladolid)

ENLACE A AEMET [consulta de datos del ensayo]

RESULTADOS	q50	w50	N50	V50	Cenv	CI	n	EqLA10Pa	ELA4Pa
ensayo despresurización	4.1	14.5	5.368	1668.3	198.6	195.9	0.548	771.1	451
ensayo presurización	3.9	13.9	5.138	1596.6	210.1	203.6	0.526	763.5	455.3

Comentarios del ensayo:

PASO 1 PASO 2 PASO 3 PASO 4 PASO 5

ver ERRORES FINALIZADO TERMINAR

GUARDAR

Figure 7. Screenshots of “infil-APP”. Results and environmental conditions.

Table 6. Parameters that the tool “infil-APP” retains.

1. PREVIOUS DATA		
General information		
Participating University	Name, phone and email address of technician 1	Name, phone and email address of technician 2
Name, phone and email address of the owner	Year of construction	Cadastral reference
Bar code	Full address of the case	Planned test date
Dimensions of the dwelling		
Global ceiling height	Floor area and ceiling height of each room	Presence of false ceilings
A_F (m ²), automatically calculated	V (m ³), automatically calculated	Vertical A_E by adjacent space (exterior, heated space, unheated space, other building, other use, ground)
Horizontal A_E by adjacent space (exterior, heated space, unheated space, other use, ground)	A_E (m ²), automatically calculated	S_f (h ⁻¹), automatically calculated
Expected weather conditions during the test		
Altitude	Temperature	Wind speed
Wind speed according to Beaufort scale	Wind exposure	Time
2. CHARACTERIZATION DATA		
Graphic information		
Floor plan	Exterior image of the building	Thermographic image

Table 6. Cont.

General information about the dwelling		
Typology and its position with respect to adjacent houses or apartments	Property developer	Height of the building
Use of the basement	Use under the roof	Height of the dwelling
Number of rooms	Number of bathrooms	Layout of the floor plan
Dwelling state		
Retrofitting state	Refurbishment of the kitchen	Refurbishment of bathrooms
Presence of closed balconies	Extension of the heated volume	Furbishing
Detected cracks or other pathologies	Detected thermal bridges	Improvement of thermal bridges
Construction systems		
Class of the windows	Area of the windows	Windows joint length
Windows opening system	Presence of double window	Windows material
Presence of shutters	Type of shutters	Position of the shutter
Type of façade	Presence of outer cladding	State of the façade
Conditioning and ventilation systems		
Type of heating system	Individual/whole building heat generation	Heating system distribution
Type of refrigerating system	Individual/whole building refrigeration	Refrigerating system distribution
Type of ventilation	Ventilation of the kitchen	Kitchen hood extraction
Presence of ventilation grids	Ventilation in bathrooms	Others
Environmental conditions during the test		
Indoor temperature	Outdoor temperature	Mean and Gust wind speed
3. BLOWER DOOR TEST RESULTS		

The development of the protocol and the software described allow the performance of standardized tests and data systematization that enables results interpretation. Its extended use would allow the construction of a single national database developed by multiple agents. Besides, its basis is replicable in other scenarios, such as other areas with a lack of knowledge on this field, or countries that do not count on a standardized database.

2.2.3. Test Campaigns

A total of nine universities (Figure 1) participated in the characterization and testing of the required cases. To this end, testing campaigns have been organized throughout 2016 and 2017, taking into account the number of cases assigned to each site and paying special attention to the expected climatic conditions, given that the EN 13829 standard [45] determines limitations with respect to the wind during the test. Each site consisted of a coordinator and one or two teams of technicians, with a total of 11 teams to cover the field work. These teams were trained before the campaigns to ensure proper compliance with the developed protocol. A common cloud server was created to allow constant data transfer and supervision from the coordinating University (VLL).

In order to obtain access to the dwellings required in the sampling, dissemination campaigns and search for volunteers through leaflets, posters and advertisements on the websites of participating universities and social networks were designed. Agreements with public administrations were established, which allowed access to a number of cases for the study.

3. Case Study

A case study is presented in order to show the implementation of the designed methodology. An apartment located in the city centre of Valladolid (Figure 8) has been chosen due to its representativeness of the residential building stock in Spain (case 09683460651630036). The climate of Valladolid belongs to the “Continental” area of the country and it is classified by CTE as D2 area.



Figure 8. Exterior image of the case study 09683460651630036.

The building was constructed in 1968, before regulations in Spain considering energy losses were implemented. The building consists of 9 floors and an attic. The apartment is on the ninth floor and has a south orientation in its main façade to Padilla Street and a secondary façade to a wide internal courtyard with east orientation (Figure 9). All the specifics are detailed in Table 7.

Table 7. Case study 09683460651630036 characteristics.

	Case study's code	09683460651630036	
Building information	Location	Valladolid	
	Climatic zone	Continental (D2)	
	Year of construction	1968	
	Typology of the building	Closed block, multi-family housing	
	Height	9th floor	
Construction system	Refurbishment state	Original. Minor refurbishment in kitchen and bathroom.	
	Type of construction	On site	
	Structure	Reinforced concrete	
	Envelope material	Exposed brick/hollow brick and mortar	
	Partition walls	Hollow brick	
Systems	Type of windows	Wooden casement window	
	Type of shutters	Built-in rolling shutters	
	Heating system	Central heating radiators	
	Refrigeration system	None	
	Ventilation	natural	
Dimensions	Floor area (A_F)	58.81 m ²	
	Volume (V)	152.4 m ³	
	Surface area (A_E)	231.89 m ²	61% heated spaces 16% exterior 13.75% non-heated spaces 9.25% other buildings
	Sf	1.52 m ⁻¹	
	Glazing area/ A_E	4.2%	

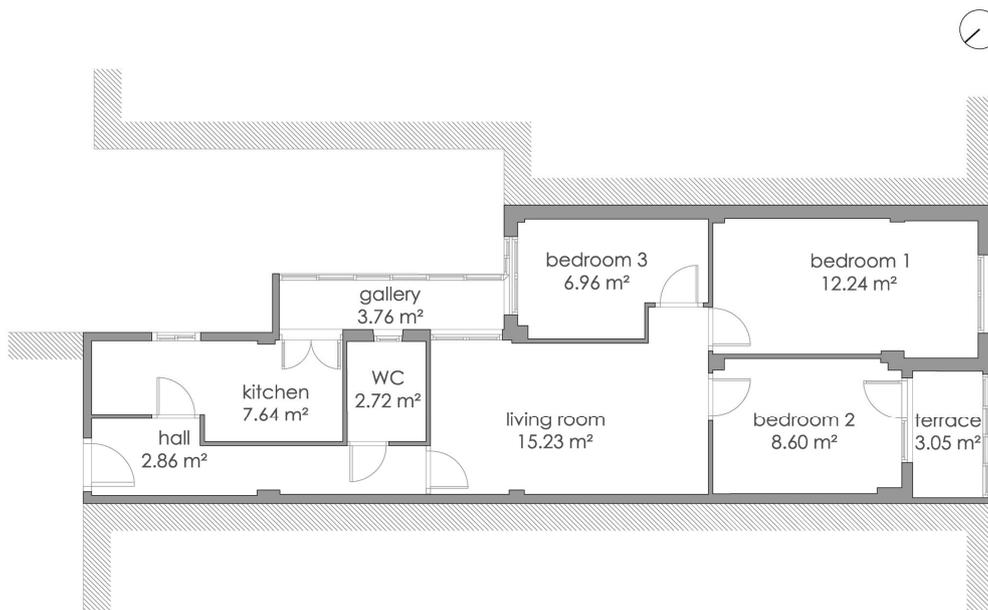
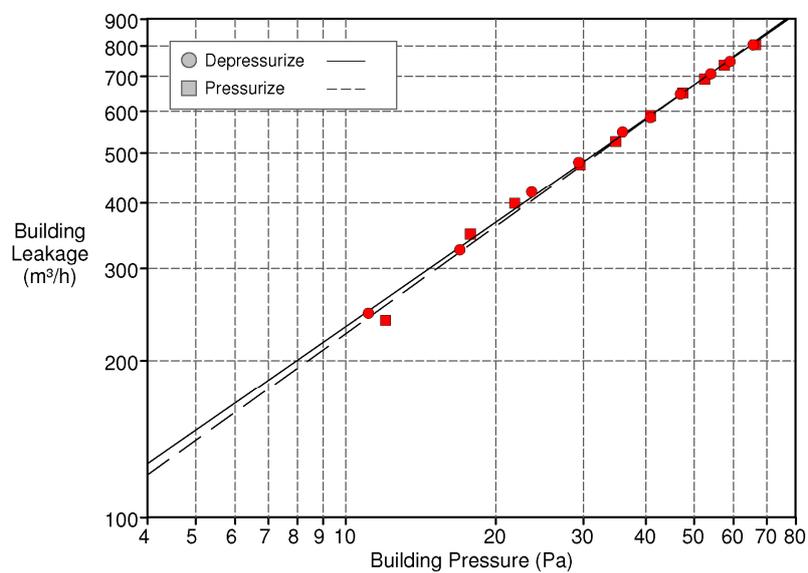


Figure 9. Floor plan of the dwelling.

3.1. Results and Discussion

In order to measure the airtightness of the dwelling, blower door tests are carried out with an automated performance testing system and following the project protocols (Figure 10). Tests were carried out in February 2017 with the environmental conditions described in Table 8. Test results are detailed in Table 9.



(a)

Figure 10. Cont.

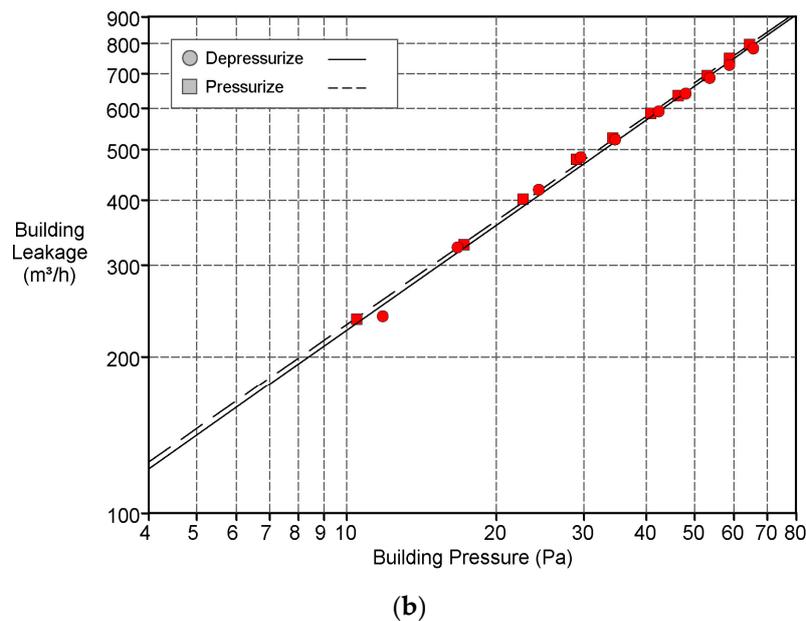


Figure 10. Automated test graph. (a) graph for Method A; (b) graph for Method B.

Table 8. Meteorological conditions during the test.

Test Method	T_i (°C)	T_o (°C)	ΔT (°C)	W_a (m/s)	W_g (m/s)	W_{max} (m/s)
A	20.1	7	14.1	0.55	2.5	3
B	20	11.2	8.8	0.55	2.5	3

T_i (°C): indoor air temperature. T_o (°C): outdoor air temperature. ΔT (°C): indoor/outdoor air temperature difference. W_a (m/s): average wind speed. W_g (m/s): gust wind speed. W_{max} (m/s): maximum wind speed allowed.

The overall uncertainty in the parameters obtained with the test using standard equipment remains below 15% in most cases [4,35,45] under calm conditions.

The mean air change rate of the dwelling for Method B at 50 Pa (n_{50}) is 4.38 h^{-1} and the mean air permeability rate at 50 Pa (q_{50}) is $2.9 \text{ m}^3/\text{m}^2 \cdot \text{h}$.

The exponent n has a typical value close to 0.67. Consequently, the flow is neither fully turbulent nor dominated by laminar characteristics and the shape of leakage paths cannot be clearly defined.

Table 9. Test results for Methods A and B.

Test Method	Test Mode	n_{50} (h^{-1})	V_{50} (m^3/h)	q_{50} ($\text{m}^3/\text{m}^2 \cdot \text{h}$)	W_{50} ($\text{m}^3/\text{m}^2 \cdot \text{h}$)	n	EqLA (10 Pa) (cm^2)	ELA (4 Pa) (cm^2)
A	depressurization	4.422	674	2.9	11.5	0.661	259.5	136.8
	pressurization	4.428	674.8	2.9	11.5	0.681	251.2	130
B	depressurization	4.345	662.1	2.9	11.3	0.67	251.1	131.2
	pressurization	4.41	672	2.9	11.4	0.664	257.5	135.3

There is barely any difference between the results obtained for Methods A (test of the building in use) and B (test of the building envelope). This can be explained because there are no mechanical supply or exhaust systems nor adjustable openings. There is only one natural ventilation grid in the kitchen, which was left open for the purposes of Method A and sealed for Method B.

3.2. Comparison of the Results

The case study results are compared to preliminary results of 343 buildings tested in the database (Table 10). Building airtightness is expressed by either the air change rate (n_{50}) or the air permeability rate (q_{50}).

As a preliminary analysis of the results, the control variables used to design the sample were studied: climate zone, year of construction, and typology. Figure 11 represents graphically the comparison between the case study results and the database results for Method B concerning dwellings from the same climate zone (Continental), built within the same period (1960–1979) and dwellings with the same typology (multi-family housing).

Although there is a wide spread of the values obtained from the database, the mean values according to the chosen parameters do not differ in a meaningful way. It seems clear the fact that significantly better results have been obtained for the case study dwelling than the general trend of the database.

According to ISO 13790 [14] the case-study dwelling has a “medium airtightness level”, having a value within the range of 2–5 h^{-1} . However, the mean n_{50} value for multifamily dwellings of the database is 7.26 h^{-1} , which, according to ISO 13790 [14], corresponds to a “low airtightness level”.

Table 10. Comparison of results of the case study and the database.

Chosen Cases	Database Cases				Case Study		
	n	Mean n_{50} (h^{-1})	St. dev.	Mean q_{50} ($m^3/m^2 \cdot h$)	St. dev.	n_{50} (h^{-1})	q_{50} ($m^3/m^2 \cdot h$)
Complete database	334	7.12	3.29	5.63	2.72		
Dwellings in the Continental area	127	6.77	3.18	5.50	2.76	4.38	2.9
Dwellings built 1960–1979	121	7.18	3.23	5.54	2.63		
Multi-family dwellings	267	7.26	3.28	5.44	2.42		

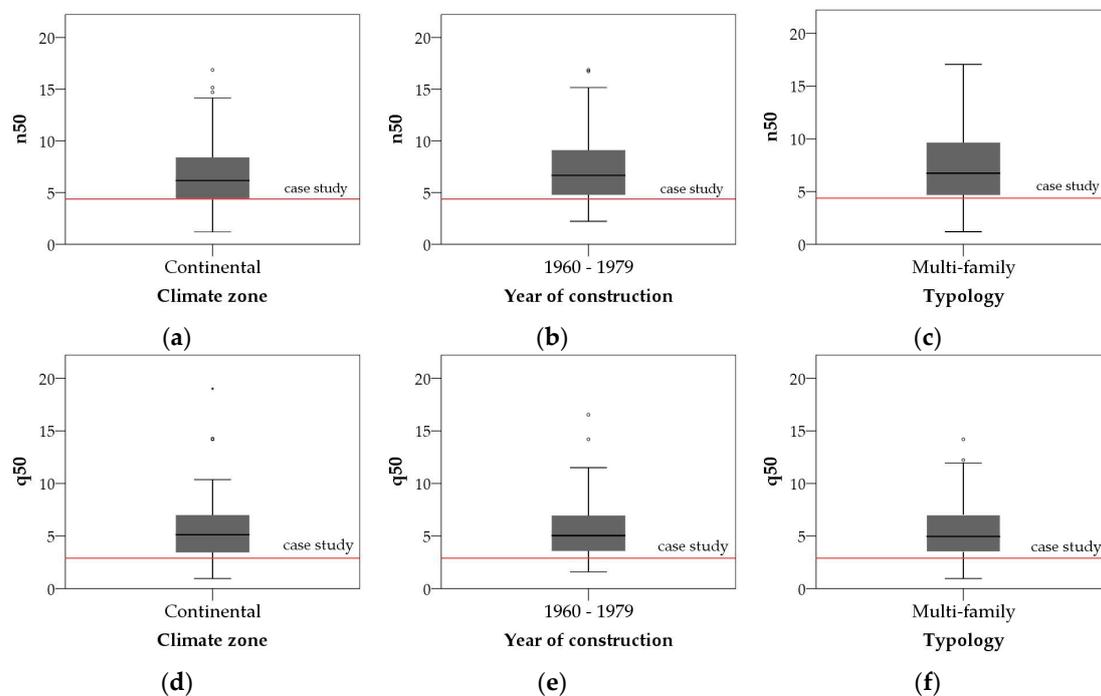


Figure 11. Boxplot of database results compared to the case study results, indicated by the red line. (a) n_{50} for Continental climate zone; (b) n_{50} for dwellings built within the period 1960–1979; (c) n_{50} for multi-family dwellings; (d) q_{50} for Continental climate zone; (e) q_{50} for dwellings built within the period 1960–1979; (f) q_{50} for multi-family dwellings.

When compared to regulations in other European countries, the case study dwelling would comply with UK ($q_{50} < 10 \text{ m}^3/\text{m}^2\cdot\text{h}$) and Ireland ($q_{50} < 7 \text{ m}^3/\text{m}^2\cdot\text{h}$) or Czech Republic ($n_{50} < 4.5 \text{ h}^{-1}$) regulations but not with German regulations nor Polish recommendations ($n_{50} < 3 \text{ h}^{-1}$) for new buildings [49]. The comparison with French regulations has not been made since its indicator is measured at 4 Pa and a direct translation of the results would not be accurate.

3.3. Leakages Location

To determine infiltration locations and distribution, thermal imaging was undertaken during the depressurization stage. Since there was a great temperature difference between the inside and the outside of the dwelling leakage points were easily visualized. Most leakages were located in window frames and shutter boxes (Figure 12).

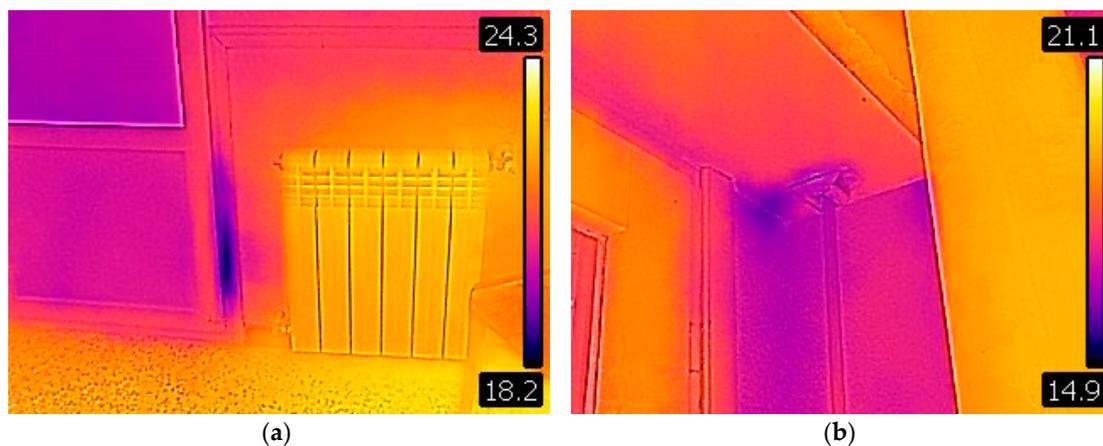


Figure 12. Leakage paths detected with thermography. (a) Leakage path located in a door frame; (b) Leakage path located in the tape guide of the rolling shutter.

4. Conclusions

A methodology has been developed to investigate the airtightness of the architectural envelope of the existing residential stock in Spain through an experimental study carried out throughout the Spanish geography. Considering a proportional quota sampling scheme, a representative number of 411 cases has been selected. In this way it is possible to extrapolate the results to other Spanish residential buildings. The sample includes different typologies, construction years, and climatic zones. The study will allow the creation of an extensive database and the identification of the factors that have a greater impact on airtightness and the infiltration rates of typical constructive solutions. The methodology has been conceived in such a way that it can be applied to other countries where there is no data regarding the airtightness of the envelope in residential buildings.

A case study in Valladolid has been presented in order to show an example of the implementation of the methodology. Airtightness results are compared to mean values obtained from the database, considering the control variables used to design the sample: climate zone, year of construction, and typology. Results obtained indicate that the dwelling has a medium airtightness level, significantly higher than the mean values obtained from the database. Leakages at the case study were located mainly around window joints and frames and shutter boxes.

Further work will include a deep analysis of the complete dataset obtained during the test campaigns in order to establish infiltration rates for typical Spanish constructive solutions, the impact of different parameters on the envelope permeability, and the impact of infiltrations on the ventilation and energy performance of dwellings.

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Author Contributions: Feijó-Muñoz Jesús directed the study; Padilla-Marcos Miguel Ángel and Meiss Alberto conceived and designed the experiments; Poza-Casado Irene designed the sampling method; González-Lezcano Roberto Alonso, Pardal Cristina, Echarri Víctor, Assiego de Larriva Rafael, Fernández-Agüera Jessica, Dios-Viéitez María Jesús, del Campo-Díaz Víctor José and Montesdeoca Calderín Manuel coordinated the field test campaigns from each university; Padilla-Marcos Miguel Ángel developed the tool “infil-APP”.

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