

1 **Age-based life-history parameters of the mesopelagic fish *Notoscopelus***
2 ***resplendens* (Richardson, 1845) in the Central Eastern Atlantic**

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18

19 **Abstract**

20 The mesopelagic fish *Notoscopelus resplendens* is distributed mainly between 500 and 1000 m of
21 depth during the day, with diel migration to surface waters at night (<90 m). Fish move during their
22 diel migrations across different water masses, which show strong changes in temperature with depth
23 that can reach 7 °C in the first 300 m during the warm season. *N. resplendens* show a type of diel
24 migration pattern of the “entire migrant”, showing a clear day-night habitat separation, with peak
25 abundance above 200 m at night. The difference between sexes in maximum size was 1.97 mm SL
26 (84.36 mm, males; 82.39 mm females). The spawning season can be determined from December to
27 March by back calculating the hatching date from daily growth increments. Age at first maturity was
28 1.7 year for males and 2.05 years for females. The sex ratio showed a predominance of males
29 (1:0.67). Males were significantly more abundant than females in the 1-year age class. In the rest of
30 the age classes, no significant differences were observed. A sexually dimorphic nature in relation to
31 the position of the accessory luminous glands was observed. Three growth regions were observed in
32 the otolith corresponding to different rates of deposition during fish ontogeny. Daily growth
33 increments were calculated as validation of the annuli pattern. The pattern of the increment formation
34 showed that each annulus has a unimodal distribution. The increment width decreases with age from
35 1 to 4 years. A strong relationship was found between both diameters of the otolith and length and

36 between otolith weight and age. Different growth models have been analysed to understand the
37 growth of this species. Gompertz and von Bertalanffy were the best models obtained and revealed
38 differences in growth between sexes. The maximum age recorded was 4 years. The SL-TW
39 relationship showed allometric positive growth. The natural mortality coefficient for the overall
40 population derived from the age-frequency distribution was 0.579 year^{-1} . M obtained from the length
41 converted catch curve was very similar at $M=0.549 \text{ year}^{-1}$.

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43 *Keywords:* Patchwork lampfish, growth pattern, hatched season, diel migration, age validation,
44 natural mortality.

45

46 **1. Introduction**

47

48 Mesopelagic fish are the most abundant fish and indeed the most abundant vertebrates in the
49 biosphere (Klevjer et al., 2016). These fish form one of the most characteristic features of the open
50 ocean, the Deep Scattering Layer (DSL), which is present at a depth range between 200 and 1000 m
51 (Catul et al., 2011; Irigoien et al., 2014; Olivar et al., 2017). The first global biomass estimate of
52 fish that make up the DSL was 1000 million tons (Gjøsaeter and Kawaguchi, 1980), but this value
53 could be underestimated, and it could be one order of magnitude higher (Irigoien et al., 2014). This
54 high biomass implies that mesopelagic fish are an important part of the biological pump and a
55 potential fishery resource (Longhurst and Harrison, 1989; Catul et al., 2011). However, these fish
56 remain poorly investigated in relation to other components of the open ocean ecosystem, and there are
57 many gaps in the knowledge of their biology and adaptations and even major uncertainties about their
58 global biomass (Irigoien et al., 2014; Klevjer et al., 2016).

59 Mesopelagic fish cover thirty families, where most species are small-sized (usually between 2
60 and 15 cm in total length) with short life spans (Salvanes et al., 2001; Catul et al., 2011).
61 Myctophidae is the main family within mesopelagic fish species, and it is present in all the world's
62 oceans (Hulley, 1990; Catul et al., 2011). Myctophids are distributed throughout the water column,
63 from superficial waters during the night to water exceeding 2000 m deep during the day but are
64 more frequent between 200 and 1000 m deep as part of the Deep Scattering Layer (DSL) (Hulley,
65 1990; Catul et al., 2011). Due to the diel vertical migration occurring on a daily basis in the world's
66 oceans performed by a large variety of zooplankton and micronekton species, mesopelagic fish play
67 an important role as a link between plankton and top predators (Catul et al., 2011; Smith et al.,

68 2011: [Olivar et al., 2017](#)). Moreover, they are also very important in the flux of carbon transport to
69 the deep ocean, as they comprise a significant fraction of the migrant biomass ([Catul et al., 2011](#);
70 [Ariza et al., 2016](#)). These mesopelagic fish are the most abundant species in the DSL of the Central
71 Eastern Atlantic, and the genus *Notoscopelus* is the most representative group of myctophids in
72 the area ([Bordes et al., 2009](#)).

73 The oceanographic conditions of the Canary Islands in the Central Eastern Atlantic are relevant
74 and variable in depth and may have a remarkable influence over the distribution, abundance and
75 growth of organisms. The Canary Islands are located in the Canary Current System, which is one of
76 the 49 largest marine ecosystems in the world, characterized by their singular bathymetry,
77 hydrography and productivity and their ability to support marine populations, which have adapted
78 their feeding, reproductive and growth strategies ([Hernández-León et al., 2007](#)). The Canary Islands
79 distinguish the Canary Current System from similar areas because the Canaries extend more than 600
80 km perpendicular to the general flow of the current. Therefore, the physical setting observed in the
81 Canary region is quite different to that recorded in other main ocean current systems due to the
82 mesoscale variability imposed by the islands ([Barton and Arístegui, 2004](#); [Hernández-León et al.,](#)
83 [2007](#)). Hydrologically, in the first 1000 m of depth, these islands are also characterized by special
84 conditions derived from the presence of three water masses: the Eastern North Atlantic Central Water
85 (ENACW), the Antarctic Intermediate Water (AIW) and the Mediterranean Water (MW), located at
86 different depths and with characteristic thermohaline properties ([Ríos et al., 1992](#); [Hernández-Guerra](#)
87 [et al., 2002, 2003](#)). Additionally, the Canary Islands occupy a key position with respect to marine
88 biogeochemical cycles because they are located in the boundary between eutrophic NW African
89 upwelling waters and oligotrophic oceanic North Atlantic subtropical gyre waters ([Wilke et al.,](#)
90 [2009](#)).

91 One of the most abundant species in the DSL of the *Notoscopelus* genus is *N. resplendens*
92 (Richardson, 1985), which has a circumglobal distribution in mesopelagic and bathypelagic waters
93 from tropical to temperate seas ([Riede, 2004](#); [Eschmeyer et al., 2018](#)). This species shows the highest
94 abundance levels in the Eastern Atlantic region along the African coast, including the Canary Islands
95 ([Nafpaktitis, 1975](#)), in ecoregion 24, as described by [Sutton et al. \(2017\)](#) in a global biogeographic
96 classification of the mesopelagic zone. [Yatsu et al. \(2005\)](#) also indicated that *N. resplendens* in the
97 Kuroshio–Oyashio transition zone is one of the dominant components of mesopelagic fish.

98 In mesopelagic fish species, the estimation of age and growth is many times difficult. In
99 some species, the age and growth have been estimated by reading their otoliths by means of annuli
100 or daily growth depositions ([Greely et al., 1999](#); [Takagi et al., 2006](#); [García-Seoane et al., 2015a](#)). In

101 cold and temperate waters, both annual and daily increments have been described in mesopelagic
102 species (Salvanes et al., 2001; García-Seoane et al., 2015a). However, in tropical and subtropical
103 waters, only daily increments have been detected in mesopelagic species (Salvanes et al., 2001), due
104 to a short life span and the lack of seasonality in water temperature and food availability (Salvanes
105 et al., 2001).

106 Natural mortality has been noted as the most important parameter and the most difficult to
107 obtain (Pauly, 1980). Errors in the estimation of natural mortality affect the estimations of models
108 used in species assessments. An inaccurate estimate of natural mortality provided errors or bias in
109 the cohort analysis (Mertz and Myers, 1997) or in the estimates of stock size using an age-structured
110 model (Clark, 1999). Policy based on mortality is particularly sensitive to natural mortality
111 parameters (Williams and Shertzer, 2003). Inaccurate estimates can lead to the mismanagement of
112 exploited species (Beamish and McFarlane, 1995). Fish activity produces changes in natural
113 mortality, producing an increment on many occasions. These increments in natural mortality may
114 be part of the explanation of collapsed stocks (Jørgensen and Holt, 2013). In the last several
115 decades, a wide variety of empirical techniques have been used to estimate M based in the fishing
116 effort, age-frequency analyses, catch-curve methods or have been obtained from theoretical or
117 empirically derived relations based on life history parameters (Kenchington, 2014). Natural
118 mortality also has trophic and food web implications because natural mortality involves one species
119 being eaten by another in a community and therefore describes flows of mass and energy in the
120 ecosystems (Jørgensen and Holt, 2013). The knowledge of natural mortality in an unexploited
121 population is also very important because fishing activity has been recognized as a driver of the
122 evolution of life history traits expecting consequences for natural mortality (Jørgensen and Holt,
123 2013).

124 Despite the high abundance and the ecological importance that this species has in the food
125 web of the Central Eastern Atlantic and in the flux towards the deep ocean, *N. resplendens* has not
126 been studied in this area. The main goals were to estimate the age-based life-history parameters,
127 deep distribution, and migration pattern of *N. resplendens* in an unexploited population in the
128 Central Eastern Atlantic. Age and growth aspects and the estimation of the best model of growth for
129 *N. resplendens* and the consistency of the ageing criteria used have been analysed. Age at first
130 maturity and spawning period were also calculated. An estimate of the natural mortality from this
131 unexploited population was also compared with the estimates derived from equations and methods
132 that had been extensively used in stock assessments. Natural mortality derived from age-frequency
133 analyses from this unexploited population and estimates obtained using published equations could

134 provide level of errors or the validation of some equations for this species. Such validation could be
135 useful for population analyses where estimates are unavailable, or their accuracy is questionable.

136

137 **2. Material and methods**

138

139 2.1. Sample collection

140

141 The study was based on the analysis of specimens caught during four research cruises (January,
142 March, May, and November) carried out by the B/E “La Bocaina” off the Canary Islands (Central
143 Eastern Atlantic) in a depth range between 13 and 1577 m (Fig. 1). The specimens were caught with
144 a commercial semi-pelagic trawl net, with a cod-end with a 5-mm mesh size, but in the last
145 cruise, this was increased to 10.4 mm (Bordes et al., 1998). Fishing operations were monitored using
146 acoustic telemetry with a net-sounder SCANMAR, which provided information on depth, the
147 vertical and horizontal opening of trawl mouth, time and velocity. During each cruise, the salinity
148 and temperature at the sea bottom were recorded using a XR-CTD sensor manufactured by LTD.

149 Captured specimens were labelled and stored in 70% ethanol for later analysis. Each fish
150 was measured to the nearest 0.01 mm for standard length (*SL*) and total weight (*TW*, 0.01 g). Sex
151 was estimated from 216 samples due to external body dimorphism by the position of the
152 supracaudal luminous gland. When the presence of the glands could not be ascertained, the sex was
153 classified as undetermined.

154 Sagittal otoliths were removed, cleaned, and stored dry for later age determination. All
155 otoliths were photographed with a Canon EOS 6D digital camera coupled to a microscope.
156 Measurements of the minimum diameter (*Omd*, μm) and maximum diameter (*OMd*, μm) of the
157 otoliths (Fig. 2) and the radii of each annual increment (from the core to the outer edge of the
158 increment) were obtained with a Fiji image process system (Schindelin et al., 2012). Each otolith
159 was weighed (*OW*, mg) to the nearest 0.01 mg.

160

161 2.2. Data analysis

162

163 The age was determined by interpreting growth rings on the whole sagittal otoliths. All
164 otoliths were aged twice by two independent readers, without prior information on length, sex or
165 time of capture. In this comparison, the readings were done in a random order (Dwyer et al., 2003).
166 Previous to these readings, burning and staining techniques and different liquids, which included

167 seawater, ethanol and glycerol were used to enhance the growth rings (McCurdy et al., 2002). There
168 were no effects of the quality of the preparation technique on the enhancement of the growth ring.
169 Therefore, water was adopted as the standard protocol. In addition, otoliths of a subsample of 20
170 individuals from Age-class 0 and 1 (10 by age class) were mounted on microscope slides and prepared
171 by hand grinding and polishing on both sides to obtain transparent sagittal sections with the
172 primordium reached. This otolith sections were examined and photographed with an optical
173 microscope Nikon Eclipse 80i. Microincrements (daily growth increment) were observed at 1000×
174 magnification under immersion oil. Microincrements were enumerated from the first distinguishable
175 increment after the primordium, or ‘core’, to the otolith edge. The nomenclature of otolith
176 microstructure was according to Giragosov and Ovcharov (1992). Three zones of growth increments
177 were observed in the otoliths. These regions were described following García-Seoane et al. (2015a)
178 for the validation of daily growth increments in myctophids. The regions observed within each
179 sagitta were the larval zone, the postlarval zone and the postmetamorphic zone. The widths of the
180 larval zone and postlarval zone were measured along the longest axis from the primordium to the
181 dorsal edge following García-Seoane et al. (2015a). Microincrements were counted by two
182 independent readers. When readers agreed in counts to within a 5% range of error, counts were
183 accepted and averaged.

184 Two readers counted the microincrements within each zone two times for a 1-year class
185 otolith. When both readers agreed (within a 5% of error) counts were accepted and averaged. When
186 the counts differed, a third reading attempts was developed. If the counts differed by more than 5%,
187 the otolith was rejected (García-Seoane et al., 2015a). The total number of microincrements was used
188 to validate the age reading estimated in whole otoliths and the seasonal deposition of growth
189 increments.

190 Annuli were counted from the core to the rostrum on the distal face of the whole otoliths
191 along the antero-posterior axis using reflected light (Fig. 2). Readings were carried out using an
192 OLYMPUS SZ60 stereoscopic microscope. To facilitate the reading, the dorsal side of the otoliths
193 was polished with lapping film (12000 grit) (Takagi et al., 2006). Subsequently, to remove the
194 calcareous remains, the samples were treated with 10% sodium hypochlorite for 24 hours and
195 clarified with water prior to observation. The bias and precision of annulus counts were compared
196 between readers using paired *t*-tests and age bias plots (Campana et al., 1995; Campana, 2001).
197 Estimates of ageing precision were determined using the coefficient of variation (Chang, 1982).

198 The TW–SL relationship was estimated for males and females by means of a power equation
199 by non-linear regression. The OMd-SL and Omd-SL relationships were estimated for males and

200 females using different non-linear models. The equality of the regressions was tested using an *F*-test.
201 This test evaluated the null hypothesis of the equality of regressions estimated by sexes with a
202 significance level of 5%, ($\alpha=0.05$) and a critical value of $F_{0.05,1,>200}=3.84$ (Sachs, 1982; Sokal and
203 Rohlf, 2012). Differences between the expected value from isometric growth and values of the
204 regression coefficient (*b*) were compared using a *t*-test (Sachs, 1982). This test evaluated the null
205 hypothesis $H_0: b=3$ in TW-SL relationship; and $H_0: b=1$ in Omd-SL and Omd-SL relationships,
206 with a significance level of 5% ($\alpha=0.05$) and a critical value of $t_{0.05,>200}=1.97$ (Sachs, 1982; Sokal and
207 Rohlf, 2012). The equality in SL between males and females among age class was analyzed using
208 two-way ANOVA. The sex ratio was estimated for the total sample and by age class. The Pearson
209 chi-square goodness-of-fit test was used to evaluate the equality of frequencies between sexes.

210 Once all the rings on the otoliths were identified, the age was established by counting the
211 number of seasonal increments on an annual basis. To establish the age class (number of calendar years
212 after the birthday) to which a fish belonged, the number of annual increments was counted, and other
213 information, such as the date of capture, the nature of the edge, and the main period of a seasonal
214 increment formation and the birthday were taken into account (Morales-Nin and Panfili, 2002a). The
215 species in the northern hemisphere are given a nominal birth date of 1st January (Morales-Nin and
216 Panfili, 2002b). The difference between the date of capture and the birth date helps the reader to
217 estimate the annual fraction elapsed since the last birth date, and the annual fraction was added to the
218 number of complete translucent rings read in the otoliths to avoid any potential bias in growth
219 estimates due to the differences in sampling dates (Gordoa and Molí, 1997). The average length and its
220 standard deviation were also calculated for each age class.

221 Length-at-age was described using the von Bertalanffy growth function, the seasonalised von
222 Bertalanffy growth function, the Schnute growth equation, and the Gompertz growth model (Pitcher
223 and Macdonald, 1973; Ricker, 1973; Schnute, 1981). A nonlinear method of Levenberg-Marquardt's
224 algorithm was used to estimate the growth parameters. The selection of the best growth model was
225 based on the Akaike information criterion (AIC) which can be expressed as follows (Shono, 2000):

226 $A_{IC} = \frac{RSS}{n} + \frac{2j(j+1)}{(n-j-1)}$, where *RSS* is the residual sum of squares, *n* is the number of observations, and *j*

227 is the total number of estimated regression parameters. For model comparisons, the ΔA_{IC} and Akaike
228 weights (A_w) were calculated. The model with the smallest A_{IC} value was selected as the best model
229 (A_b). The ΔA_{IC} is the difference between the best model (A_b) and all other models (*i*), which is
230 expressed as: $\Delta A_{ICi} = A_{ICi} - A_b \times A_w$, and represents the probability of choosing the correct
231 model from the group of models used. Akaike weight is calculated for each model as: $A_w =$

232
$$\frac{\exp(-\Delta A_{IC}/2)}{\sum \exp(-\Delta A_{ICt}/2)}$$

233 Once the best model was determined, the growth parameters of males and females were
234 compared using Hotelling's T^2 test (Bernard, 1981). The relationship between otolith weight (OW)
235 and age were examined using the Gompertz model. A nonlinear method of Levenberg-Mardquart's
236 algorithm was used to estimate the growth parameters

237 The natural mortality rate (M) was estimated using an age-catch curve from the unexploited
238 populations and using a length-converted catch curve (Pauly, 1984) by means of the growth
239 parameters estimated for the whole individuals. Additionally, the natural mortality rate was estimated
240 using an empirical equation revised by Kenchington (2014).

241

242 3. Results

243

244 3.1. Size-structure

245

246 During the research cruises surveyed, 340 individuals (129 males, 87 females and 124
247 undetermined) of *Notoscopelus resplendens* were collected. The SL of the individuals ranged
248 between 19.24 and 84.36 mm. The SL of males ranged between 28.39 and 84.36 mm and that of
249 females from 30.45 to 82.39 mm. In the sample, males showed a higher mean size and mean weight
250 than females. Student's t -test indicated that the null hypothesis was rejected for equality in the mean
251 TW or for equality in the mean SL between the sexes (SL $t=2.79 > t_{0.05, >200}=1.97$, $p=0.006$; TW
252 $t=2.931 > t_{0.05, >200}=1.97$, $p=0.004$). The difference between the sexes in maximum size and weight was
253 1.97 mm (2.39%) in SL and 0.31 g (5.96%) in TW. The Kolmogorov-Smirnov non-parametric Z -test
254 showed that the null hypothesis of equality in the TW and SL distributions between sexes was
255 rejected (TW: $Z=1.96 > Z_{0.05}=1.95$, $p=0.001$; SL: $Z=1.842 > Z_{0.05}=1.96$, $p=0.002$) (Fig. 3). The F -test
256 for TW–SL relationship between the sexes indicated that the null hypothesis of equality of the two
257 regressions estimated was retained ($F=2.17 > F_{0.05, 1, >200}=3.89$, $p=0.1423$). A t -test showing that null
258 hypothesis of equality in the regression coefficient $H_0: b=3$ was rejected for all individuals
259 (allometric positive, $t=3.61 > t_{0.05, >120}=1.97$, $p=0.0005$), being isometric for males and females
260 ($t=1.85 < t_{0.05, >80}=1.97$, $p > 0.068$).

261 The sex ratio showed a predominance of males (1:0.67), and the Pearson chi-square goodness-
262 of-fit test indicated that the null hypothesis of the equality of sex frequencies was rejected ($\chi^2=8.167$,
263 $p=0.004$). Males were significantly more abundant than females in the 1-year age class ($\chi^2=20.25$,
264 $p < 0.0001$). However, in the rest of the age classes, no significant differences were observed ($\chi^2 < 0.80$,

265 $p>0.371$).

266

267 3.2. Depth distribution and temperature

268

269 In January, the coldest month, 36.4% of the individuals were caught during the night between
270 40 and 64 m in depth, and 63.6% were caught during the day at depths from 503 to 610 m. The
271 vertical diel migration in this period comprised a temperature range, at least from the 500-m
272 depth, of 6 °C. In March, 87.7% of the individuals were caught during the night between 54 and 139 m
273 in depth, and 12.1% from 464 to 1577 m in depth during the day, with a diel temperature range of 7.5
274 °C. In April, 95.5% of the individuals were caught at night between 40 and 66 m in depth, and
275 4.5% were caught during the day, and the diel range of temperature from 526 to 591 m was 8 °C. In
276 November, 83.3% of the individuals were caught at night between 31 and 90 m in depth, and
277 16.7% were caught during the day from 606 to 924 m. The diel vertical migration comprised a
278 temperature range of 6.5 °C. The diel maximum range of temperature from 500 m in depth was
279 reached in September with a value of 11 °C (Fig. 4).

280

281 3.3. Otolith analysis

282

283 Otoliths have elliptic shape, with crenate margins (Fig. 2). The convex proximal face has a
284 groove, the sulcus acusticus. The distal face is relatively flat. The sulcus acusticus presents a sulcus
285 positioned on the midline of the otolith, with an ostium opening in the anterior margin of the otolith
286 and with tubular and short cauda closed far away from the posterior margin. The ostium is tubular
287 and longer than the cauda. The anterior region presents a broad and peaked small rostrum. The
288 antirostrum is small and narrow, and the excisura is wide, with a shallow acute notch. The posterior
289 region is round. Annuli were clearly differentiated under reflected light on a black background, with
290 the opaque rings milky in appearance and the translucent rings relatively transparent.

291 The otolith maximum diameter (OMd) ranged between 1469 and 4010 μm , and the otolith
292 minimum diameter (Omd) ranged between 1099 and 2605 μm . The relationships between OMd or
293 Omd and the SL were well described by a power function (Fig. 5). The F -test for OMd-SL and Omd-
294 SL relationships between sexes showed that the null hypothesis of the equality of the two regressions
295 estimated was rejected only in the OMd-SL case (OMd: $F=4.857>F_{0.05,1,75}=4.00$, $p<0.035$). A t -test
296 showed that null hypothesis of equality in the regression coefficient (isometry) was rejected in all
297 cases.

298 The pattern of the increment formation is presented in Fig. 6, which shows that each annulus
299 has a unimodal distribution. The increment width decreases with age from 1 to 4. As expected,
300 increment widths are evidence of a decreasing growth rate of the otolith with increasing age.

301 The daily growth increments on 20 otoliths (age class 0 and 1) validate that only one annulus is
302 formed for each 365 daily increments, being formed by one opaque and one translucent ring
303 (Fig. 7). The number of increments in the larval zone was 35 ± 3.9 . The mean number of increments
304 in the postlarval zone was 23 ± 7.3 , even though the width of the postlarval region was longer than
305 larval zone (Fig. 8). The postmetamorphic region showed microincrements from the postlarval zone
306 to the otolith edge, forming a set of opaque and translucent zones.

307 Taking into account the catch date of each individual of age-class 0 and 1, the spawning season
308 can be located from December to March by back calculating the hatching date. Additionally, from
309 the date of capture of each individual, the rate of opaque edge increased from January (15%) and
310 March (47%) to May (79%), decreasing in November (43%). The period of formation of the
311 translucent rings (observed under reflected light) coincided with the months in which the lowest
312 values for seawater temperature in the first 200 m depth were reached, and the opaque rings
313 coincided with the months in which the highest values were recorded.

314 There was no difference in ages estimated between the right and left otoliths (readers 1 and 2,
315 $CV=4.9$ and $CV=5.1$; $p>0.05$) and between the two age readers ($CV=4.66$; $p>0.05$). The CV values
316 were low, indicating the goodness of fit of the ageing procedure adopted and a reasonable level of
317 consistency between readings. Age bias plots of ages estimated by the first reader compared to the
318 second reader revealed no discrepancy between them (Fig. 9). Counts on otoliths were also
319 compared between readers using a paired *t*-test for each age category. The values of the matched
320 pair of *t*-tests revealed that there were no significant differences between the ages determined by the
321 two readers ($t<1.135$; $p>0.257$).

322

323 3.4. Age estimation

324

325 Up to four annual marks were visible in the pooled otoliths sampled. Zero- and one-year-old fish
326 were the dominant age classes, and only 36.76% of fish were two years old or older (Table 1). Over
327 49% of the growth was achieved by the end of the first year. By the end of the second year, fish had
328 attained 75% of the maximum length observed. Age estimates ranged between 0 and 4 years for
329 males and females (Table 1). A two-way ANOVA comparing the differences in SL between males
330 and females among age classes showed significant differences for the factors of age classes

331 ($F_{0.05,4,>200}=358.447$, $p<0.0001$) and sex ($F_{0.05,2,>200}=6.479$, $p=0.002$), as well as for the interaction
332 effect ($F_{0.05,5,>200}=3.215$, $p=0.008$).

333

334 3.5. Growth models

335

336 The four models used gave good fits to the length-at-age data (Table 2). For males and females,
337 the Gompertz model was the best of the growth models fitted with an Akaike weight (A_w) of 0.41
338 and 0.45 for males and females, respectively (Table 2). The von Bertalanffy model follows rather
339 closely ($A_w=0.39$) for males and females, revealing between these two model a very high degree of
340 uncertainty regarding the best model for fitting the length-at-age data of males. Other models, such
341 as the Schnute or seasonalised von Bertalanffy models gave much lower values of A_w , indicating that
342 they do not fit the length-at-age data well. The Gompertz and von Bertalanffy models provided the
343 best fit for males and females; therefore, both functions were used to construct the growth curves for
344 both sexes (Fig. 10). Hotelling's T^2 test indicated significant differences between the growth curves
345 of the two sexes (T^2 Hotelling-test, $T^2=11.09>T_{0.05}^2=3.88$; $p=0.0011$).

346 The otolith weight ranged from 0.211 to 6.323 mg. The relationship between otolith weight and
347 age was well described by the Gompertz model (Fig. 11). Hotelling's T^2 test indicated significant
348 differences between the growth curves of the two sexes (T^2 Hotelling-test, $T^2=6.23>T_{0.05}^2=3.88$;
349 $p=0.0133$).

350

351 3.6. Natural Mortality

352

353 The natural mortality coefficient for the overall population derived from the age-frequency
354 distribution was 0.579 year^{-1} . The value of M obtained from the length-converted catch curve using
355 von Bertalanffy growth parameters for the whole population ($L_\infty=96.70 \text{ mm}$; $k=0.417 \text{ year}^{-1}$; $t_0=0.04$
356 year) was very similar at 0.549 year^{-1} , with a difference of -0.03 year^{-1} (5.18%). Values derived from
357 empirical equations showed a wide range of values from 0.49 to 1.668 year^{-1} (Fig. 12). Two
358 empirical equations showed differences lower than 1% and another two differences lower than 15%.
359 The rest of the empirical equations showed differences higher than 50%.

360

361 4. Discussion

362

363 Although biological aspects have been studied in several myctophid species, especially the

364 growth (i.e. Moku et al., 2001; Takagi et al., 2006; Battaglia et al., 2015; García-Seoane et al.,
365 2015a,b; Hosseini-Shekarabi et al., 2015), this study is the first approach to estimate the age, growth
366 and other age-based demographic parameters of *Notoscopelus resplendens*. Additionally, it must be
367 noted that in the growth studies on myctophids, only the von Bertalanffy growth model has been
368 applied. However, in the present work, different models have been analysed to understand the growth of
369 this species.

370 Some myctophid species show a sexually dimorphic nature in relation to the position of the
371 accessory luminous glands (Catul et al., 2011), which has also been observed for *N. resplendens*.
372 Another frequent dimorphism pattern in myctophids is related to the size; males are markedly smaller
373 than females at maximum size (Catul et al., 2011). This, however, has not been observed for *N.*
374 *resplendens*, with similar maximum sizes for males and females.

375 The SL-TW relationship in *N. resplendens* indicated allometric positive growth for all
376 individuals, similar to that reported in many other myctophid species (Battaglia et al., 2010;
377 Hosseini-Shekarabi et al., 2015), including the congeneric *Notoscopelus elongatus*, which showed
378 similar size and weight ranges (Battaglia et al., 2015).

379 Species of the Myctophidae family show great variability in the diel migration patterns that
380 may be related with life history stage, sex, latitude, hydrography, topography and season
381 (Nafpaktitis, 1982; Hulley, 1985; Sassa et al., 2004; Catul et al., 2011). From the four-diel migration
382 pattern described by Watanabe et al. (1999) of migrants, semi-migrants, passive-migrants and non-
383 migrants, *N. resplendens* can be classified as a complete migrant, showing a clear day-night habitat
384 separation, with peak abundance above 200 m at night. This pattern has also been described in other
385 myctophid species, such as *Symbolophorus californiensis*, *Tarletonbeania taylori*, *Diaphus theta*,
386 *Ceratoscopelus warmingii*, *Diaphus gigas* and *Notoscopelus japonicus* (Watanabe et al., 1999).
387 Yatsu et al. (2005) indicated that *N. resplendens* in the Kuroshio-Oyashio transition zone ascended to
388 the upper 100 m layer at night, where this mesopelagic species concentrated at depths of 20-80 m.
389 Although some individuals of the population remain in the daytime habitat at night where they were
390 caught, the clear higher abundance in shallow waters and the absence of a separation in the depth
391 distribution of migratory and non-migratory individuals excluded the semi-migrant pattern
392 (Watanabe et al., 1999).

393 The relationships between fish length and otolith size contributes to provide a baseline for
394 trophic studies on predators for the estimation of biomass and size of prey items, which is the first
395 step in trophic or dietary works (Battaglia et al., 2015). The estimation of *N. resplendens* biomass as
396 prey from the otolith size helps to determine the importance of this species for top depredators in the

397 area and provides information on its role in the marine food web (Battaglia et al., 2010, 2015).

398 The daily deposition of microincrements may be different in different seasons, mainly in the
399 cold season, when the otolith growth rates are lower (Mosegaard et al., 1988). Several authors for
400 different myctophid species have noted that increment formation and growth apparently ceased at
401 temperatures lower than 5 °C (Kawaguchi and Mauchline, 1982; García-Seoane et al., 2015a). This
402 suggests that temperature has an important effect on the growth rate and on increment deposition,
403 and deposition may be disrupted in very low temperature conditions (García-Seoane et al., 2015a). In
404 the Central Eastern Atlantic, *N. resplendens* is distributed mainly between 500 and 1000 m in depth
405 during the day, with diel migration to surface waters at night. During the winter (cold season), the
406 thermocline is dissolved, making water column temperatures more homogeneous, with seawater
407 temperatures in the first 300 m of depth fluctuating from 16 to 19 °C. In the warm season, water
408 column stratification occurs, with the presence of a strong thermocline reaching fluctuations in the
409 first 300 m from 17 to 24 °C. These water temperature variations at the depth where this fish moves
410 during its diel migrations could be enough for the clearer fixation of daily growth increments. Diel
411 migration from the deep waters to the surface crossing these temperatures conditions throughout the
412 year suggests that the daily increment deposition on the otoliths of *N. resplendens* also occurs during
413 the cold season, when growth decreases. Growth and its decrease during the cold season have also
414 been observed for other deep fish species in the area (Lorenzo and Pajuelo, 1995, 1999; González et
415 al., 2003; Pajuelo et al., 2008, 2011). Additionally, during this daily migration, from 1000 to 10 m in
416 depth, individuals must cross different water masses that also have strong influences over the
417 distribution, abundance and growth of organisms (Hernández-León et al., 2007; Pajuelo et al., 2015).
418 During the diel migration, individuals must cross through the Eastern North Atlantic Central Water
419 mass and the Mediterranean and Antarctic Intermediate Water masses, with thermohaline values of
420 temperature of 7-11 °C (Antarctic Intermediate), and salinity values for the Mediterranean water
421 >35.3 psu (Ríos et al., 1992; Hernández-Guerra et al., 2001, 2002, 2003; Machín et al., 2006).
422 Additionally, at the end of the Eastern North Atlantic Central Water mass that occurs at
423 approximately 700 m in depth, the lower thermocline starts, corresponding with values of 11 °C
424 temperature and 35.5 psu in salinity (Hernández-Guerra et al., 2001; Machín et al., 2006). These
425 changes in salinity and mainly in temperature result in the presence of density and thermal barriers
426 for the vertical distribution of fauna (Pajuelo et al., 2015, 2016). However, myctophids are capable of
427 crossing density gradients, such as thermoclines and haloclines, or wide hypoxic layers (Nafpaktitis
428 and Nafpaktitis, 1969; Sassa et al., 2004; Catul et al., 2011; Olivar et al., 2017). This capability is
429 because this species play an important role in oceanic energy dynamics, forming a link in the food

430 web between primary and tertiary consumers (Cherel et al. 2010; Catul et al., 2011). It also
431 represents a pathway for the export of organic carbon between the surface and the deep ocean
432 through diel vertical migration (Moku and Kawaguchi, 2008).

433 In the Central Eastern Atlantic, the changes in salinity and temperature with depth have a high
434 influence on fish physiology during its vertical migration, and these factors produce the deposition of
435 very clear daily growth increments. This influence in the deposition of microincrements has been
436 recorded for other myctophid species (Linkowski et al., 1993; Linkowski, 1996; García-Seoane et al.,
437 2015a) and for other deep fish species in the Central Eastern Atlantic (Lorenzo and Pajuelo, 1995,
438 1999; González et al., 2003; Pajuelo et al., 2008, 2011). These growth increments have been useful
439 and used on many occasions to estimate age and growth in myctophid species (Young et al., 1988;
440 Gartner, 1991a; Linkowski et al., 1993; Greely et al., 1999; Takagi et al., 2006; Bystydzińska et al.,
441 2010; García-Seoane et al., 2015a). However, the studies of age and growth using annual deposition
442 patterns and its validation with the microstructure are scarce (Giragosov and Ovcharov, 1992; Greely
443 et al., 1999; Shelekhov, 2004; García-Seoane et al., 2015b).

444 The three growth regions observed in the otolith of *N. resplendens* correspond with the same
445 areas observed in other studies on myctophids (Gjøsaeter, 1987; Gartner, 1991a; Linkowski, 1991;
446 Linkowski et al., 1993; Suthers, 1996; García-Seoane et al., 2015a; Hosseini-Shekarabi et al., 2015).
447 These regions have been related to changes during fish ontogeny, such as body development, diel
448 migrations, changes in habitat and diet, etc. (Linkowski, 1996; Mille et al., 2016). Increments in the
449 larval and postlarval areas of *N. resplendens* were well defined and clearly visible. The results of the
450 number of microincrement counts with a light microscope in the larval and postlarval zones were
451 similar to those obtained in other studies on otoliths of myctophids (Gartner, 1991a; Giragosov and
452 Ovcharov, 1992; Greely et al., 1999; Takagi et al., 2006; García-Seoane et al., 2015a). These results
453 suggest that a light microscope resolution can be used to identify microincrements in larval and
454 postlarval zones for *N. resplendens*. The first microincrement observed could be the hatch check.
455 This check has also been recorded in other studies on myctophids species (Gartner, 1991b; Greely et
456 al., 1999), and it has been assumed that they are formed during the first feeding (García-Seoane et al.,
457 2015a). The time from hatching to the first microincrement (first feeding in larvae) is therefore
458 unknown. The length of time is directly related with the time of yolk sac resorption, and this time
459 amplitude can change with environmental temperature (García-Seoane et al., 2015a). In other
460 myctophid species, this time of duration of the yolk sac varies from 3 to 5 days, when temperatures
461 decrease from 25 to 21 °C (Gjøsaeter and Tilseth, 1988; García-Seoane et al., 2015a).

462 The presence of different clear regions on myctophid otoliths have also been used to estimate

463 the chronology and the duration and of some life-history periods (Bystydzińska et al. 2010; García-
464 Seoane et al., 2015a; Hosseini-Shekarabi et al., 2015). Larval zones correspond with the larval
465 growth, and the number of daily increments in this region indicates the time of the larval stage
466 (Gartner 1991b; García-Seoane et al., 2015a; Hosseini-Shekarabi et al., 2015). Larval stages of
467 myctophids are located in the epipelagic area at up to 200 m in depth, and they frequently do not
468 undergo diel migrations in depth (Moku et al., 2005; Catul et al., 2011). The numbers of
469 microincrements observed in the larval zone in otoliths of *N. resplendens* are similar to those
470 reported for *Benthosema pterotum* (Hosseini-Shekarabi et al., 2015). However, they are lower than
471 those recorded in other subtropical and tropical myctophid species, such as *Benthosema suborbitale*
472 (Gartner, 1991a), *C. warmingii* (Linkowski, 1997), or *Myctophum nitidulum* (Giragosov and
473 Ovcharov, 1992). During a metamorphic event, a migration occurs from the epipelagic to the
474 mesopelagic zone (Gartner, 1991b; Catul et al., 2011; García-Seoane et al., 2015a). During
475 migration, individuals move to deeper depths to adapt to their adult life in the mesopelagic zones,
476 after which some species start diel vertical migration (Sassa et al., 2004; Catul et al., 2011). This
477 migration and the changes in the environmental conditions of the habitat produce abrupt changes in
478 the metabolism of individuals who are recorded in the otolith in the postlarval region (García-Seoane
479 et al., 2015a). The numbers of increments observed in the postlarval zone of *N. resplendens* otoliths
480 appear to be in the ranges reported for *Benthosema glaciale* (García-Seoane et al., 2015a), *C.*
481 *warmingii* (Linkowski, 1997; Takagi et al., 2006), *Electrona antarctica* (Greely et al., 1999), *S.*
482 *californiensis* (Takagi et al., 2006) and *Tarletonbeania crenularis* (Bystydzińska et al., 2010).
483 However, high variability has been reported in the larval and postlarval zones, with very low values
484 recorded, such as the case of *Diaphus kapalae* (Suthers, 1996) or *Lampanyctodes hectoris* (Young et
485 al., 1988). A low number of growth microincrements in postlarval zones in several myctophid
486 species have been related with a short metamorphosis period, because the larvae morphology does
487 not differ from the adults' morphology, and therefore, it is not an abrupt change in morphology and
488 does not take much time (Hosseini-Shekarabi et al., 2015).

489 The back calculation of the hatching date reveals that the spawning season of this species in the
490 Central Eastern Atlantic occurs from December to March, which is coincident with the result of the
491 spawning period recorded by Sarmiento-Lezcano (2016) and with the presence of *N. resplendens*
492 larvae in the water of the Canary Islands between January and March (Moyano and Hernández-León,
493 2011). The presence of larvae of *N. resplendens* was recorded when the water column was mixed and
494 characterized by low water temperature, medium salinity and medium-high values of the chlorophyll
495 concentration and mesozooplankton abundance (Moyano and Hernández-León, 2011). The hatching

496 period estimated was based on a low number of specimens, and a more extended spawning period
497 cannot be excluded. The size at first maturity was estimated in males at 51.78 mm SL and in females
498 at 55.08 mm SL (Sarmiento-Lezcano, 2016). These values correspond with early values of age at
499 first maturity of 1.7 years for males and 2.05 years for females, similar to those obtained for other
500 myctophids (García-Seoane et al., 2015b).

501 The growth parameters obtained in this study seems to be adequate because the predicted
502 asymptotic length value is slightly higher than the size of the largest fish sampled, and the growth
503 coefficient value indicated relatively fast attainment of maximal size. However, these parameters
504 indicated that *N. resplendens* grows slower than other species of the same genus, such as *N. elongatus*
505 in the Northeast Atlantic (Gjøsaeter, 1981). The obtained mean size by age indicated that *N.*
506 *resplendens* in the Central Eastern Atlantic reach 75% of its maximum size during the first year of
507 life. Nevertheless, the growth rate decreases with age.

508 The growth pattern described by von Bertalanffy's growth equation may be not the best
509 model for all mesopelagic fish species. Some show almost linear length increases with age and do
510 not tend to reach an asymptotic length throughout their lifetime (Salvanes et al., 2001). Others show
511 a slow down when their length increases as they become older but, do reach an asymptotic length
512 (Salvanes et al., 2001). The main causes of the seasonal cycles of growth are not well understood and
513 are known to be related to physiological changes induced by the influence of factors such as
514 temperature, diet and reproductive cycle (Pannella, 1980; Casselman, 1987). Myctophids, and in
515 particular, *N. resplendens*, carry out diel vertical migrations to feed; thus, it is possible that these
516 changes in depth will also translate into the seasonal formation of growth rings (Lai et al., 1996).

517 Discrepancies in the estimation of age in myctophids using microincrements and seasonal
518 increments have been reported for different species (Giragosov and Ovcharov, 1992; Linkowski,
519 1996; Greely et al., 1999; Shelekhov, 2004; García-Seoane et al., 2015a). These discrepancies can be
520 due to growth and deposition-disrupting processes during the winter due to low temperature.
521 However, in the case of *N. resplendens* inhabiting a high temperature environment, the daily
522 counting should be used to infer the true age of age-class-1 individuals. The daily characteristics of
523 growth increments in myctophid otoliths have been recorded in some species, mainly in tropical and
524 subtropical species (Gartner, 1991a; Suthers, 1996; Hayashi et al., 2001; Moku et al., 2001, 2005;
525 Hosseini-Shekarabi et al., 2015).

526 Myctophids are characterized by a short life span and a high mortality rates (Gjøsaeter and
527 Kawaguchi, 1980; Karuppasamy et al., 2008). The values obtained for *N. resplendens* confirm them.
528 The age catch curve and length-converted catch curve showed typical forms that justify the

529 estimation of a single value (Pauly, 1983). The natural mortality estimation for *N. resplendens* is
530 slightly lower than that recorded for *Notoscopelus kroyeri* from the Northeast Atlantic (Gjøsaeter,
531 1981). This difference can be due to that the pressure of predators; the type and abundance of
532 predators and their prey size preferences may be different among areas, even for the same species, as
533 in the case of *B. glaciale* between Norway and Nova Scotia waters (Gjøsaeter, 1973, 1981; García-
534 Seoane et al., 2015b). Additionally, the M values estimated are compatible with the M values
535 recorded for the main deep predators present in the area, such as *Aphanopus carbo*, *Aphanopus*
536 *intermedius*, *Promethyctys prometheus* or *Squalus megalops* (Lorenzo and Pajuelo, 1995, 1999;
537 Pajuelo et al., 2008, 2011).

538 A specialist species has a life-history strategy that tends towards low productivity. Typical life-
539 history characteristics include a large body size, a delayed age at sexual maturity, a long lifespan, and
540 a low natural mortality and growth rate (Winemiller and Rose, 1992). In contrast, a generalist species
541 will have a life-history strategy leaning towards the opportunistic use of resources. Therefore,
542 generalists exhibit early maturity, a high growth rate, a small body size, and a short generation time,
543 allowing for rapid recoveries of the population under unfavourable conditions and a high natural
544 mortality and growth rate (Winemiller and Rose, 1992; García-Seoane et al., 2015b). To understand
545 where *N. resplendens* fits in this life-history classification continuum, various life-history parameters
546 such as maximum age, maximum size, growth rate, mortality, and age at maturity must be considered
547 together (Pajuelo et al., 2008). In this context, the age-based life-history parameters of *N.*
548 *resplendens* confirm that this species has a generalist strategy. This strategy, which is likely to be the
549 general situation in myctophids, could make this species less prone to overexploitation (in a fishing
550 activity scenario) due to a low reduction in surplus production (Pajuelo et al., 2008).

551

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553

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557 **6. References**

558

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802 **Figure captions**

803

804 **Figure 1.** Location of the Canary Islands and sampling area conducted by the B/E "La Bocaina"
805 between 1999 and 2002.

806

807 **Figure 2.** Lateral view of the distal and proximal surface of the right otolith of *Notoscopelus*
808 *resplendens* off the Canary Islands. Omd minimum diameter, OMd maximum diameter and r radius.
809 D, dorsal plane; V, ventral plane; A, anterior plane, P posterior plane.

810

811 **Figure 3.** Length-weight relationships by sexes of *Notoscopelus resplendens* off the Canary Islands.

812

813 **Figure 4.** Temperature and salinity profiles from 0 to 500 m depth off the Canary Islands. Dashed
814 lines (February, lowest vertical diel range) continuous lines (September, highest vertical diel range).

815

816 **Figure 5.** Otolith maximum diameter (OMd)-standard length (down), and otolith minimum diameter
817 (Omd)-standard length relationships (up) by sexes of *Notoscopelus resplendens* off the Canary
818 Islands.

819

820 **Figure 6.** Pattern of the increment formation for otoliths with 0 to 4 translucent rings. R is the mean
821 size (\pm SD) of the otoliths with 1 to 4 translucent rings of *Notoscopelus resplendens* off the Canary
822 Islands.

823

824 **Figure 7.** Picture composition of a Sagittal otoliths section of a 1 years-old (310 daily growth
825 increments) specimen of *Notoscopelus resplendens* off the Canary Islands (SL= 91.2 mm).

826

827 **Figure 8.** Picture composition of 139 daily growth increment in an otolith of *Notoscopelus*
828 *resplendens* off the Canary Islands. LZ, larval zone; PZ, Postlarval zone and PMZ, Postmetamorphic
829 zone.

830

831

832 **Figure 9.** Age bias plot for readers 1 and 2 ageing all otoliths of *Notoscopelus resplendens* off the
833 Canary Islands. Each error bar represents the standard deviation. The 1:1 equivalence (solid line) is
834 also indicated.

835

836 **Figure 10.** Gompertz (up) and von Bertalanffy growth (down) curves for males and females of
837 *Notoscopelus resplendens* off the Canary Islands.

838

839 **Figure 11.** Gompertz curves for otolith weight-age relationship for males and females of
840 *Notoscopelus resplendens* off the Canary Islands.

841

842 **Figure 12.** Values of the natural mortality obtained from age and length frequency data, and from
843 empirical equations reviewed by Kenchington (2014) for *Notoscopelus resplendens* off the Canary
844 Islands.

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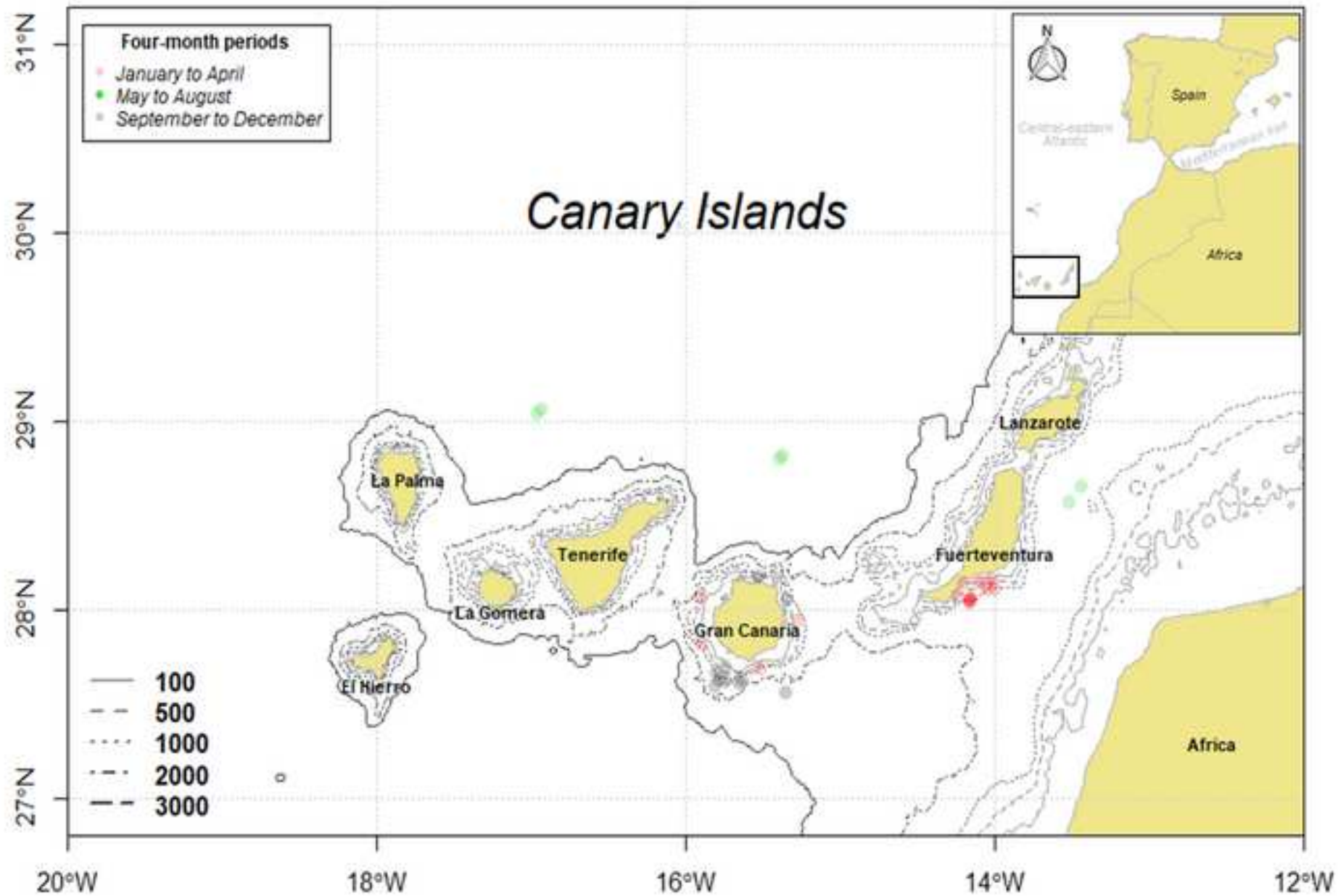
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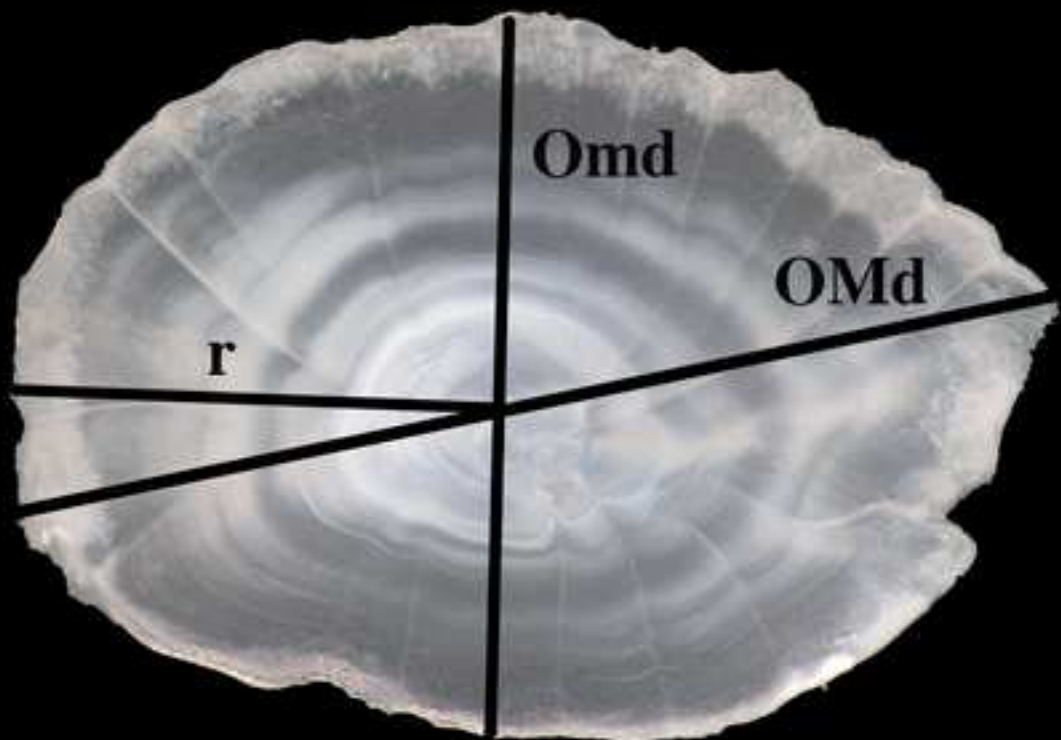
1 **Table 1.** Mean length by age class for males, females and all fish of *Notoscopelus resplendens*
 2 off the Canary Islands. N is the number of fish by age class. S.D. is the Standard deviation.

Age (years)	Total		Males		Females	
	N	Mean length ± S.D. (mm)	N	Mean length ± S.D. (mm)	N	Mean length ± S.D. (mm)
0	71	27.44± 5.35	3	30.96±2.39	5	32.86±1.87
I	144	40.70±5.02	62	41.05±5.70	21	40.58±6.15
II	49	63.28±6.63	26	61.86±7.29	23	64.90±5.52
III	56	73.37±3.73	26	73.56±3.55	30	73.21±3.92
IV	20	77.49±3.21	12	77.59±3.66	8	77.34±2.61

3 **Table 2.** Growth parameters estimates and model selection criterion for male and female of
4 *Notoscopelus resplendens*. L_{∞} , theoretical asymptotic length; k , growth coefficient; t_0 , theoretical
5 age at zero length; C , the amplitude of the fluctuation in seasonal growth; ts , the addition of the
6 point of the minimum growth + 0.5. y_1 , the estimated mean length of the smallest age individuals
7 in the sample; y_2 , the estimated mean length of the largest age individuals in the sample; a and b ,
8 model parameters; A_w , Akaike weights.

Model/ parameters	Males	Females
	Estimates (\pm S.D.)	Estimates (\pm S.D.)
von Bertalanffy growth model		
L_{∞} (mm)	93.054 (5.089)	90.85 (6.297)
k (year ⁻¹)	0.455 (0.072)	0.493 (0.104)
t_0 (year)	0.003 (0.115)	-0.059 (0.164)
R^2	0.912	0.839
A_w	0.39	0.39
Seasonalised von Bertalanffy growth model		
L_{∞} (mm)	95.003 (5.621)	95.20 (9.327)
k (year ⁻¹)	0.427 (0.070)	0.407 (0.090)
t_0 (year)	-0.049 (0.120)	-0.168 (0.242)
C	0.243 (0.193)	0.240 (0.195)
ts	0.226 (0.188)	0.297 (0.187)
R^2	0.899	0.816
A_w	0.02	>0.001
Gompertz growth model		
L_{∞} (mm)	85.940 (2.919)	86.347 (3.615)
k (year ⁻¹)	0.740 (0.076)	0.799 (0.114)
t_0 (year)	0.888 (0.041)	0.902 (0.069)
R^2	0.915	0.845
A_w	0.41	0.45
Schnute growth model		
y_1 (mm)	26.569 (2.024)	27.736 (2.809)
y_2 (mm)	79.795 (1.543)	78.119 (1.542)
a	2.359 (1.097)	2.497 (0.660)
b	-5.236 (3.363)	-5.512 (0.331)
R^2	0.910	0.826
A_w	0.17	0.16



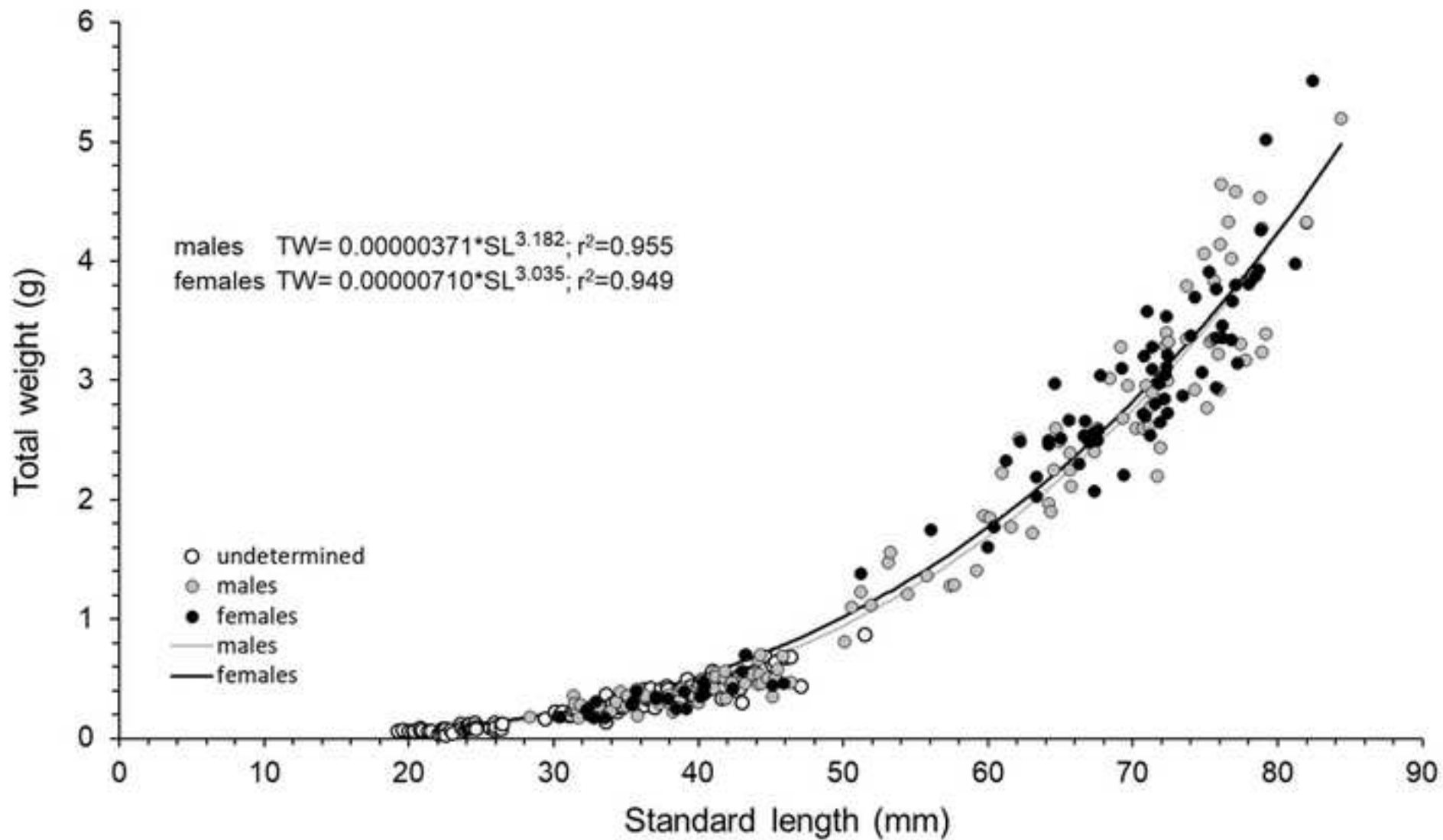


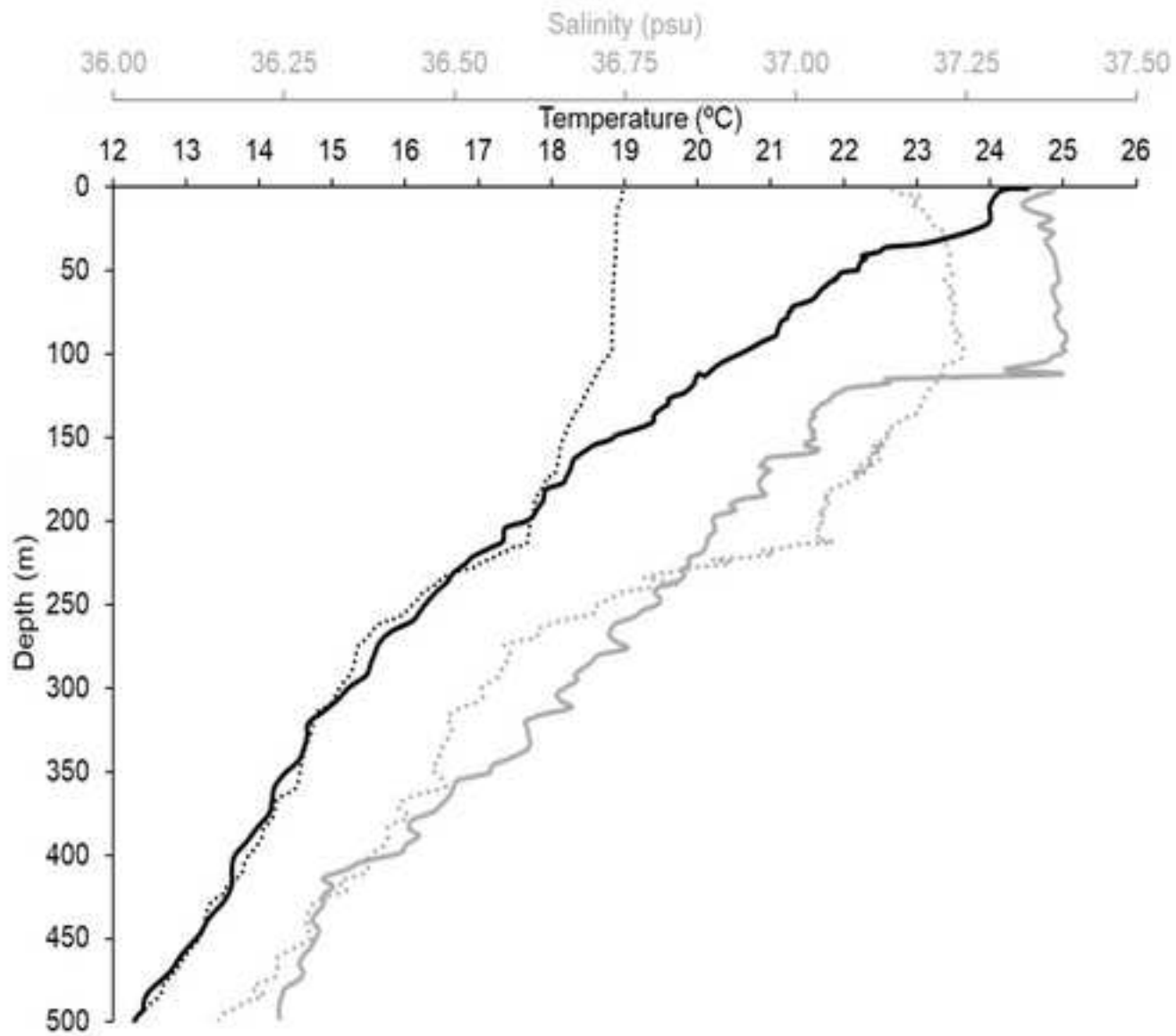
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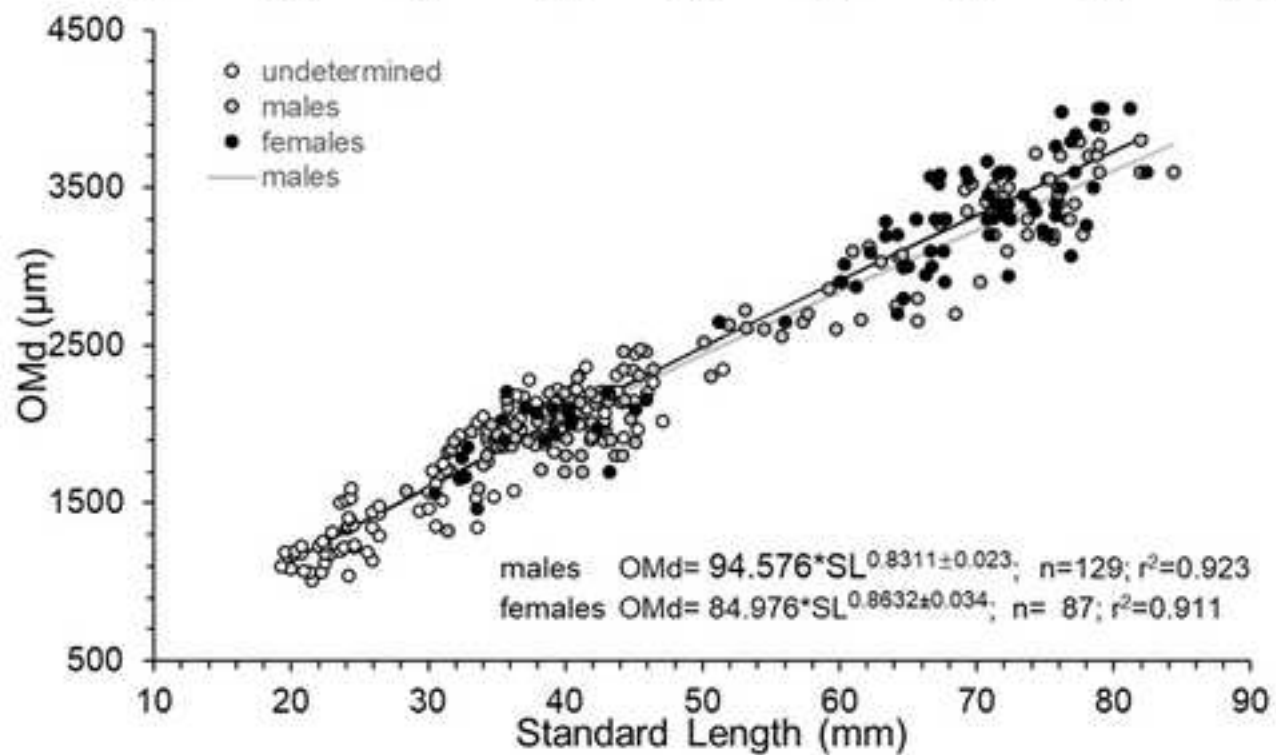
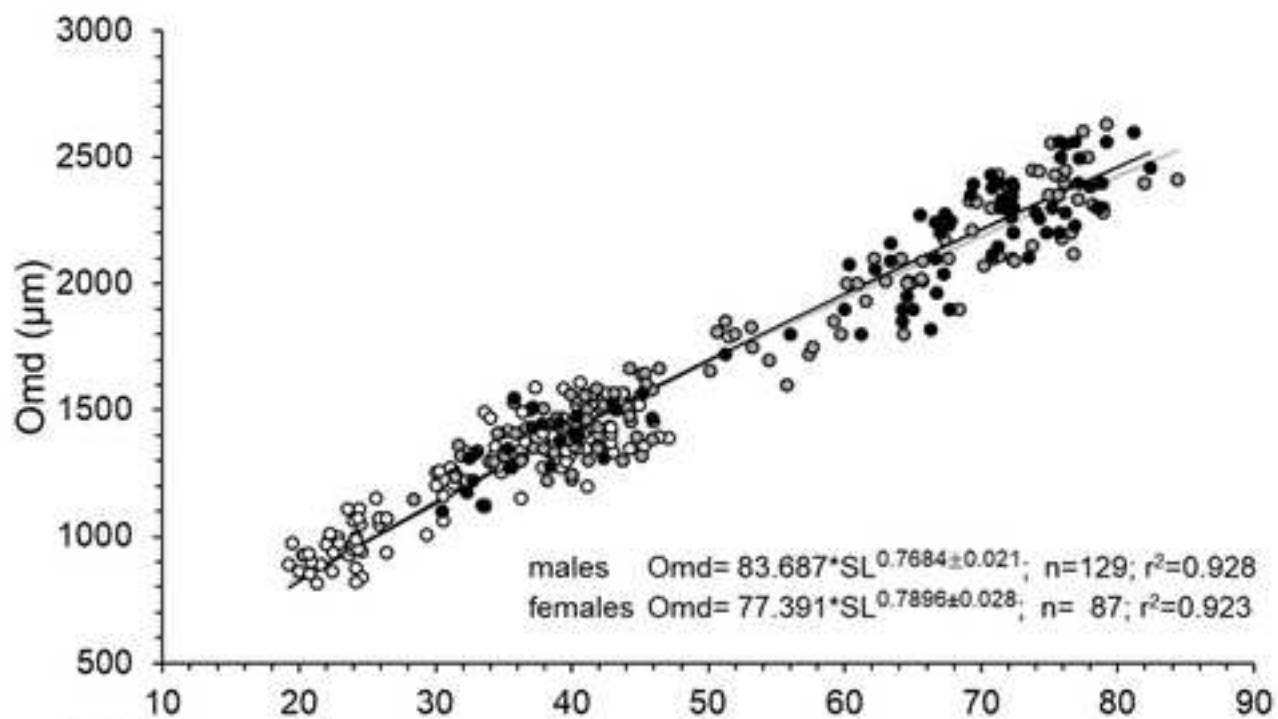
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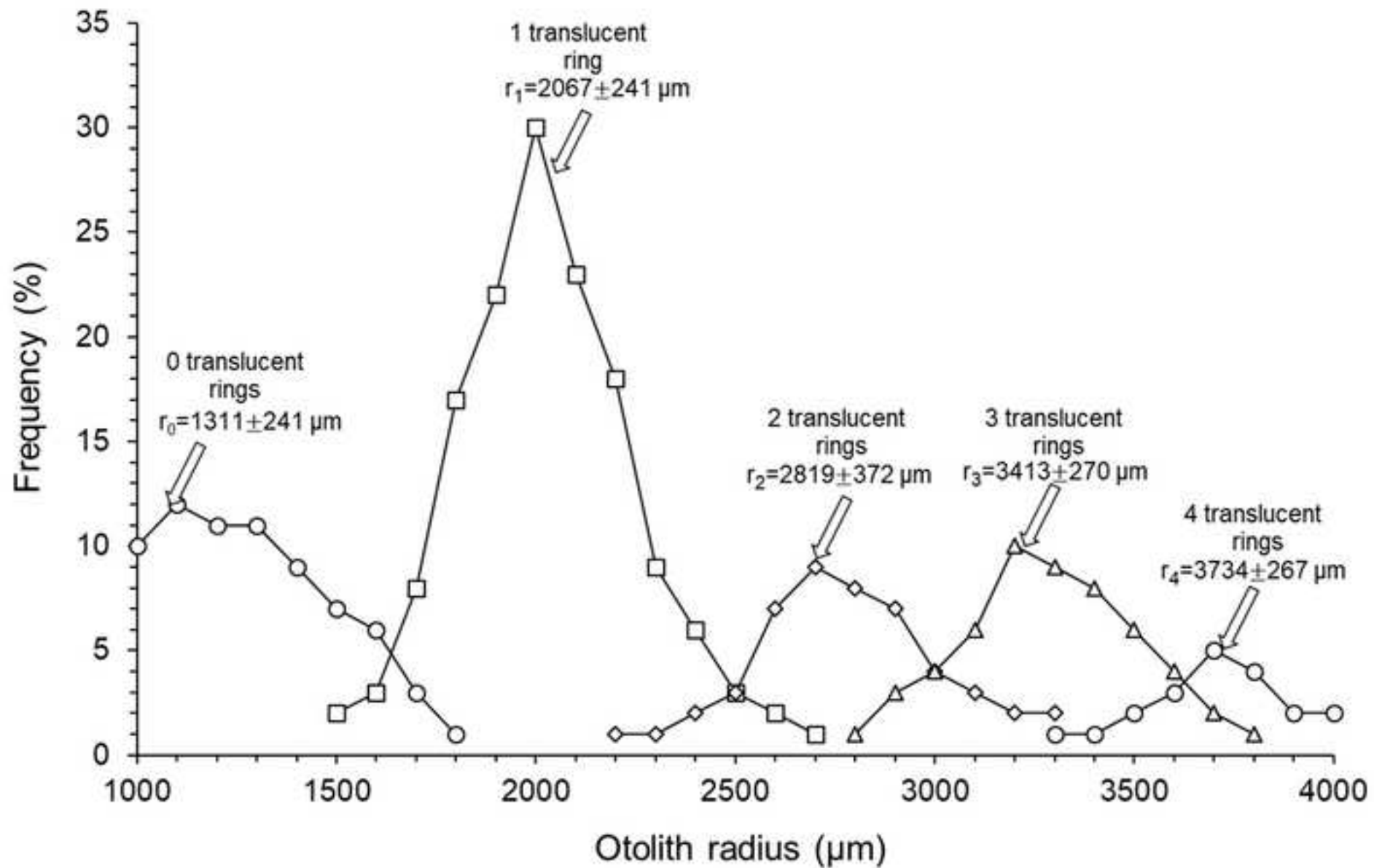
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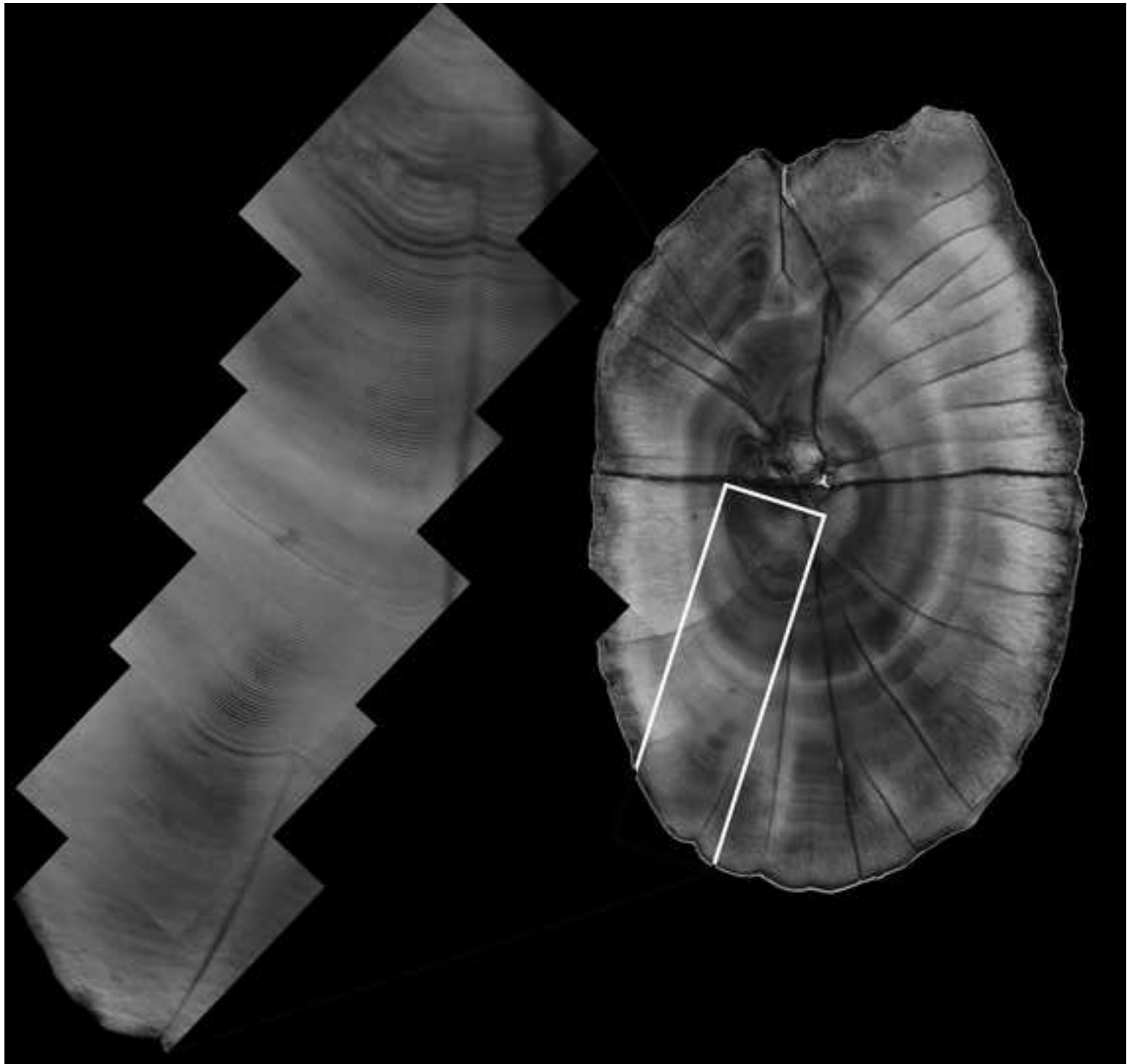
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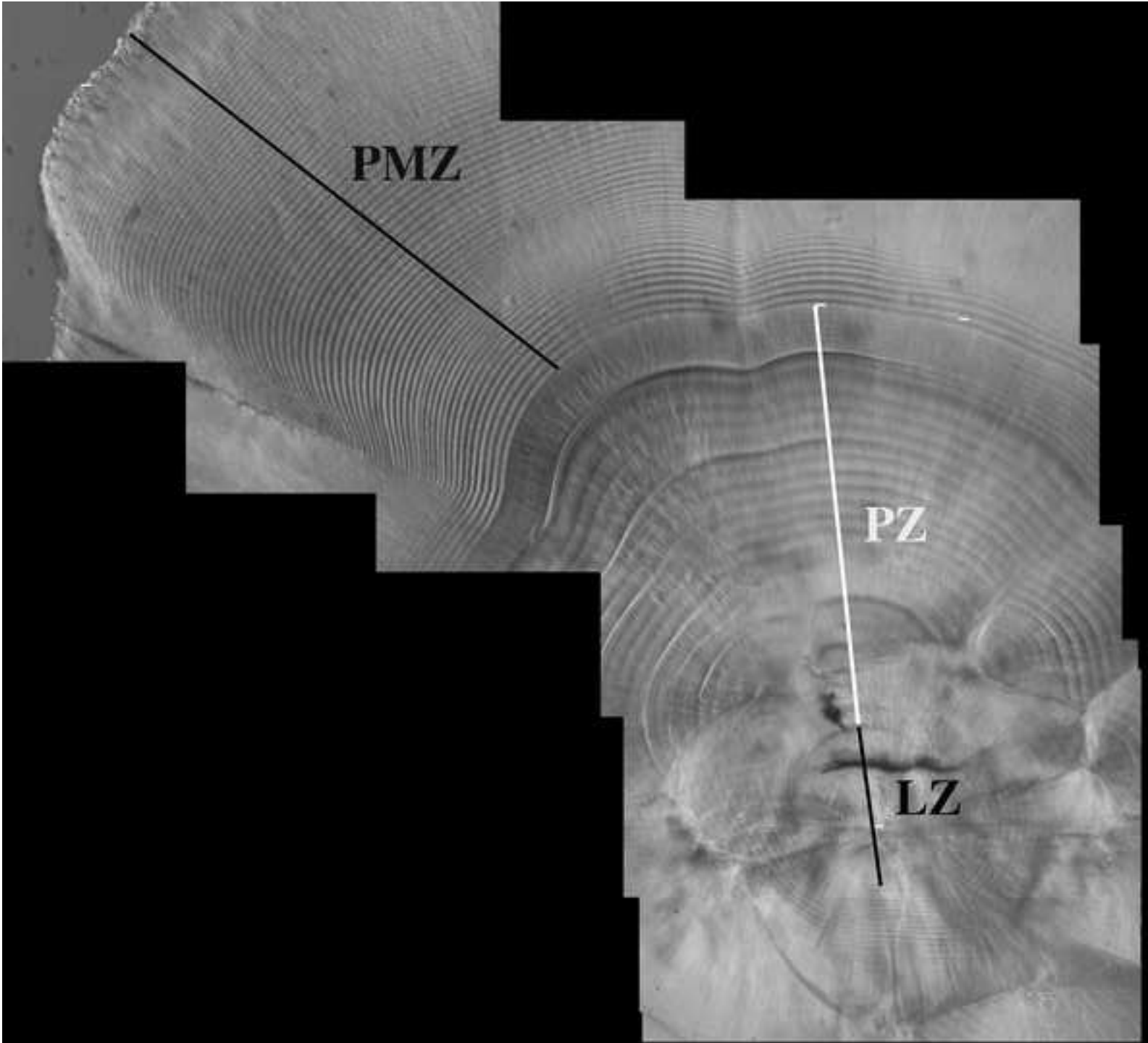












PMZ

PZ

LZ

