# Multi-model remote sensing assessment of primary production in the subtropical gyres

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## ABSTRACT

The subtropical gyres occupy about 70% of the ocean surface. While primary production (PP) within these oligotrophic regions is relatively low, their extension makes their total contribution to ocean productivity significant. Monitoring marine pelagic primary production across broad spatial scales, particularly across the subtropical gyre regions, is challenging but essential to evaluate the oceanic carbon budget. PP in the ocean can be derived from remote sensing however *in situ* depth-integrated PP (IPP[is]) measurements required for validation are scarce from the subtropical gyres. In this study, we collected > 120 IPP[is] measurements from both northern and southern subtropical gyres that we compared to commonly used primary productivity models (the Vertically Generalized Production Model, VGPM and six variants; the Eppley-Square-Root model, ESQRT; the Howard–Yoder–Ryan model, HYR; the model of MARRA, MARRA; and the Carbon-based Production Model, CbPM) to predict remote PP (PP$_r$) in the subtropical regions and explored possibilities for improving PP prediction. Our results showed that satellite-derived PP (IPP[sat]) estimates obtained from the VGPM1, MARRA and ESQRT provided closer values to the IPP[is] (*i.e.*, the difference between the mean of the IPP[sat] and IPP[is] was closer to 0; |Bias| ~ 0.09). Model performance varied due to differences in satellite predictions of *in situ* parameters such as chlorophyll *a* (chl-*a*) concentration or the optimal assimilation efficiency of the productivity profile (P$_{opt}^B$) in the subtropical region. In general, model performance was better for areas showing higher IPP[is], highlighting the challenge of PP prediction in the most oligotrophic areas (*i.e.* PP < 300 mg C m$^{-2}$ d$^{-1}$). The use of *in situ* chl-*a* data, and P$_{opt}^B$ as a function of sea surface temperature (SST) and the mixed layer depth (MLD) from gliders and floats in PP$_r$ models would improve their IPP predictions considerably in oligotrophic oceanic regions such as the subtropical gyres where MLD is relatively low (< 60 m) and cloudiness may bias satellite input data.

## 1. Introduction

Subtropical gyres are extensive regions that occupy about 70% of the ocean surface. While primary production per unit of surface within these regions is relatively low (*e.g.* Jones et al., 1996; Karl, 1999; Karl et al., 2001), their immense size makes their total contribution to ocean productivity significant. In these regions, phytoplankton growth rates and productivity show large variability with minimal net changes in biomass (Laws et al., 1987; Marañón et al., 2000, 2003). Monitoring marine pelagic primary production across broad spatial scales, particularly across the subtropical gyre regions, is indeed essential to evaluate its role in the oceanic carbon budget and food webs (Volk and Broecker, 1985; Platt and Sathyendranath, 1988; Longhurst et al., 1995; Field et al., 1998; Duarte et al., 1999).

Over the last two decades, significant efforts have been made to derive models that estimate marine primary production from remote sensing products (PP$_r$, *e.g.* Platt and Sathyendranath, 1988; Lee et al., 1996; Behrenfeld and Falkowski, 1997; Behrenfeld et al., 2005; Westberry et al., 2008; Silsbe et al., 2016). PP$_r$ models are able to estimate the evolution of PP over different time scales (daily, monthly and annually) covering almost all parts of the globe. PP$_r$ models are mainly parameterized using remote sensing as input data and may differ in their complexities when dealing with depth and irradiance wavelength-dependent variability. However, the performance in reproducing *in situ* depth-integrated PP (IPP$^{is}$) vary across regions, so evaluation of multiple models by comparing satellite depth-integrated PP (IPP$^{sat}$) derived from PP$_r$ models and IPP$^{is}$ across different regions is important to guide model selection (*e.g.* Behrenfeld and Falkowski, 1997b; Campbell et al., 2002; Westberry et al., 2008; Friedrichs et al., 2009).

Performance assessment of PP$_r$ models in the five subtropical gyre regions of the ocean has been uneven (*e.g.* Campbell et al., 2002; Westberry et al., 2008; Friedrichs et al., 2009), so that the evaluation of their performance for these areas is still insufficient. Indeed, some studies covered a broad but still limited spatial area (*e.g.* ~50 stations between North Pacific and South Pacific subtropical gyres in Friedrichs et al., 2009; ~30 stations between North Atlantic and South Atlantic subtropical gyres in Tilstone et al., 2009). Other studies have analyzed PP$_r$ model skills using long-term time-series data, however they only included data from two stations located in subtropical gyre regions, specifically in the North Pacific subtropical gyre (ALOHA station of Hawaii Ocean Time series, HOT) and the North Atlantic subtropical gyre (Bermuda Atlantic Time-series Study, BATS) (Westberry et al., 2008; Saba et al., 2011; Ma et al., 2014). Therefore, the performance of PP$_r$ models in the North and South Pacific subtropical gyre regions remain insufficiently evaluated, whereas no study has been conducted yet on the performance of PP$_r$ models in the Indian subtropical gyre region.

Due to the significant contribution of subtropical gyres to total oceanic primary production, it is essential to improve our knowledge on the performance of PP$_r$ models in predicting PP in these regions. This requires the comparison between IPP$^{is}$ and IPP$^{sat}$ data covering a broader spatial scale across the subtropical gyres so far reported. Here, we provide > 120 IPP$^{is}$ measurements derived from the standard $^{14}$C method along the Malaspina Circumnavigation Expedition (MCE), which circumnavigated the subtropical and tropical ocean between 2010 and 2011 (Duarte, 2015). It encompassed fourteen Longhurst biogeochemical provinces (Longhurst, 1995), including four subtropical gyre regions, and the poorly-sampled Indian subtropical gyre region. The MCE lasted 7 months and was divided by 6 transects of which each could be considered as an oceanic cruise on its own. These IPP$^{is}$ data allowed to compare the performance of five commonly used remote PP models and explored afterward possibilities for improving their performances to support improved remote sensing assessment of PP in subtropical gyres.

## 2. Methods

### 2.1. Study area

Seawater samples were collected during the Malaspina Circumnavigation Expedition on board the R/V *Hespérides* from December 2010 to July 2011 (Fig. 1). The MCE was divided in seven transects during which IPP$^{is}$ was measured: 1) from Cádiz, Spain to Rio de Janeiro, Brazil (Station 6–26) from December 2010 to January 2011; 2) from Rio de Janeiro to Cape Town, South Africa (Station 27–44) from January 2011 to February 2011; 3) from Cape Town to Perth Australia (Station 46–68) from February 2011 to March 2011; 4) from Perth to Sydney, Australia (Station 69–76) in March 2011; 5) from Auckland, New Zealand to Honolulu, Hawaii (Station 83–99) from April 2011 to

May 2011; 6) from Honolulu to Panama, Panama (Station 104–126) from May 2011 to June 2011; and 7) from Cartagena de Indias, Colombia to Cartagena, Spain (Station 127–147) from June 2011 to July 2011. Sampled stations were grouped into different provinces following Longhurst classification (Longhurst, 1995): the North Atlantic gyre region (NAGR) comprises all sampling sites located between the North Atlantic Subtropical and Tropical Gyral Provinces (NATR and NASE); the South African Coastal region (SACR) comprises all the stations found in the Benguela current (BENG) and the East African coastal current (EAFR); the West Australian Current region (WACR) comprises all stations located in the Western Australian and Indonesian coasts (AUSW and SSTC, respectively).

We maintained the same provinces codes as Longhurst (1995) for the stations located in the South Atlantic Gyral Province (SATL), in the Indian South Subtropical Gyre Province (ISSG), in the South Pacific Subtropical Gyre Province (SPSG), in the North Pacific Tropical Gyre Province (NPTG) and in the North Pacific Equatorial Countercurrent Province (PNEC).

### 2.2. In situ measurement of chlorophyll-a

Chlorophyll-*a* concentration was measured by High-Performance Liquid Chromatography (HPLC) as described in Zapata et al. (2000) with minor modifications (Latasa, unpublished). 2 L of seawater were filtered onto 25 mm glass fiber filters (Whatman GF/F) and frozen at −80 °C until analysis by HPLC. Pigments were extracted with 2.5 mL acetone 90% containing trans-ß-apo-8′-carotenal as internal standard, sonicated and stored at −20 °C for 24 h. A large volume (720–1400 μL) of extract was injected onto an Agilent 1200 HPLC system and analyzed following the procedure described by Latasa (2014). The analytical precision of the method is better than 1% (Latasa, 2014).

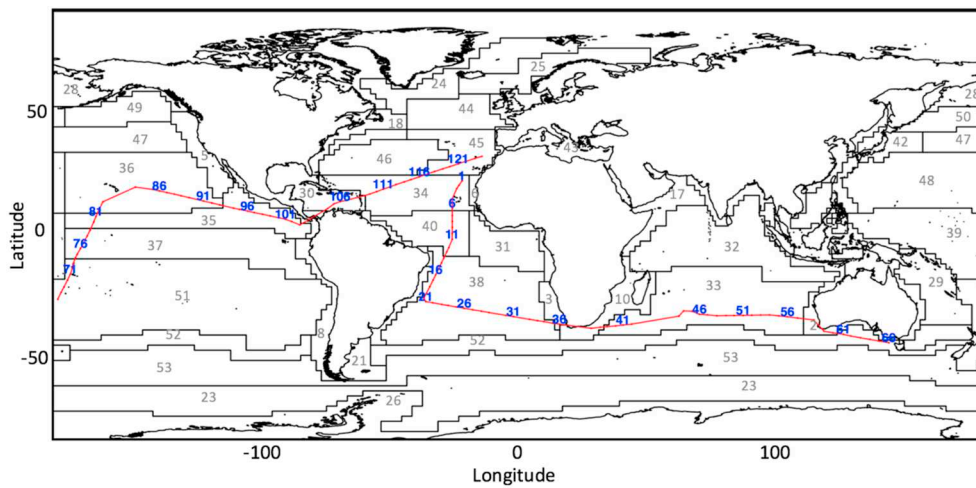### 2.3. In situ mixed layer, nitracline and euphotic depths

The mixed layer depth (MLD) was estimated from CTD data (SBE911plus, Sea-Bird Electronics) using the threshold method with a finite difference criterion, as the depth at which the potential density changed by 0.125 kg m$^{-3}$ relative to the one at a near-surface reference level (usually 6 m), according to Monterey and Levitus (1997).

The nitracline was determined from nitrate plus nitrite concentration data, measured on a segmented flow Skalar auto-analyser by standard methods (Grasshoff et al., 1999; Moreno-Ostos, 2012), as the depth, from the surface, where the first sustained increase of the concentration gradient is observed. It is a region of approximately maximum and steady concentration gradient in the first 200 m of the vertical profile, including 4–6 nitrate data points.

At each station, vertical profiles of underwater solar radiation were measured at noon (between 11 am to 1 pm local time) using a PRR-800 Underwater Profiling Radiometer (Biospherical Instruments). The profiling submarine radiometer measured underwater radiation in the ultraviolet and -visible bands. The euphotic zone depth (Z$_{eu}$) was determined as the depth at which the light intensity reached the 1% of its intensity at the surface.

### 2.4. In situ measurement of primary production

Phytoplankton primary production was measured at 124 stations with the $^{14}$C-uptake technique, following the procedures detailed in Marañón et al. (2000). Seawater was sampled from five depths in the euphotic zone corresponding to 100% (*ca.* 3 m depth), 50%, 20%, 7% and 1% of incident Photosynthetically Active Radiation (PAR). For each depth, four 72 mL polystyrene bottles (three clear bottles and one dark bottle) were filled with unfiltered seawater, inoculated with 10–20 μCi NaH$^{14}$CO$_3$ and incubated on-deck from dawn to dusk. Temperature and irradiance in the incubators simulated the water temperature and the incident irradiance at the corresponding depth of each sample by using

**Fig. 1.** Location of the sampled station during the MCE and Longhurst biogeochemical ocean provinces (Longhurst, 1998, 2006). The provinces where IPP[is] were sampled were: (2) Australia-Indonesia Coastal Province, AUSW; (3) Benguela Current Coastal Province, BENG; (30) Caribbean Province, CARB; (10) E. Africa Coastal Province, EAFR; (33) Indian S. Subtropical Gyre Province, ISSG; (45) N. Atlantic Subtropical Gyral Province (East), NASE; (34) N. Atlantic Tropical Gyral Province, NATR; (36) N. Pacific Tropical Gyre Province, NPTG; (37) Pacific Equatorial Divergence Province, PEQD; (35) N. Pacific Equatorial Countercurrent Province, PNEC; (38) South Atlantic Gyral Province, SATL; (51) S. Pacific Subtropical Gyre Province, SPSG; (52) S. Subtropical Convergence Province, SSTC; (40) Western Tropical Atlantic Province, WTRA. Grey numbers represent the provinces referenced by Longhurst, 1998 and 2006. For a complete list of provinces, please to the Table S1 in Pinedo-González et al. (2015). Blue numbers represent the station number during MCE and red solid lines represent the MCE transects. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

a combination of neutral density and blue filters (Mist Blue, ref. 061, Lee Filters®). After incubation, samples from three of the five depths (100%, 20% and 1% PAR) were sequentially filtered through 20, 2 and 0.2 μm polycarbonate filters while the other depths (50% and 7% PAR) were directly filtered by 0.2 μm. Immediately after filtering, filters were then exposed to concentrated HCl fumes at least 12 h to remove the non-fixed inorganic $^{14}C$. Filters were placed in scintillation vials to which 5 mL of liquid scintillation cocktail was added. The radioactivity on each filter (disintegrations per minute, DPM) was determined using a Wallac scintillation counter. To compute the rate of photosynthetic carbon fixation, the dark-bottle DPM was subtracted from the light-bottle DPM values. A constant value of $24,720\,\mu g\,L^{-1}$ (or $2060\,\mu mol\,L^{-1}$) was assumed for the concentration of dissolved inorganic carbon for surface waters in tropical ocean (Key et al., 2004). A correction factor of 1.05 was applied to this constant value for discrimination isotopic. Total primary production was calculated as the sum of the primary production on each size class. For all triplicate measurements of total primary production conducted during MCE ($n = 522$), the mean coefficient of variation was 23%. *In situ* depth-integrated primary production (IPP[is], $mg\,C\,m^{-2}\,d^{-1}$) was calculated by the trapezoidal integration of measurements from the surface to 1% PAR depth. The IPP[is] data set is available from Regaudie-de-Gioux et al., 2019. The original IPP[is] measurements were reported as hourly rates and then were converted to daily rates multiplying the hourly rates by the corresponding day length at each sampled station. The highest hourly chl-*a*-specific primary production (P[B], $mg\,C\,chl$-$a^{-1}\,h^{-1}$) in the water column was defined as the observed *in situ* P[B][opt] (Behrenfeld and Falkowski, 1997b) for each station in this study. The variability in IPP[is] along MCE transects is described in Pinedo-González et al. (2015).

### 2.5. Input data variables for IPP[sat]: satellite-derived and modelled variables

Ocean color models typically use Level-3, monthly or 8-day, satellite-derived input data. In this study, daily standard level 3 (*i.e.* mapped processed to surface quantities) products of PAR, ocean color index (OCI)-based chl-*a*, diffuse attenuation at 490 nm ($K_d(490)$), sea surface temperature (SST) and particulate backscatter coefficient at 443 nm ($b_{bp}(443)$ from GSM model) were provided by the OceanColor Web (https://oceancolor.gsfc.nasa.gov) and were calculated from the Moderate Resolution Imaging Spectroradiometer aboard the Aqua NASA spacecraft (MODISA). Spatial resolution of all products was ~9 km at the Equator. Additionally, the mixed layer depth (MLD) and

the nitracline depths ($Z_{NO3}$) were modelled variables for IPP[sat]. The daily product of MLD was provided by the Ocean Productivity Online Data (https://orca.science.oregonstate.edu). $Z_{NO3}$ were calculated from monthly climatological nutrient fields reported in the World Ocean Atlas 2013 (Garcia et al., 2014) at 1-degree resolution, and defined as the first depth at which nitrate + nitrite exceeded 0.5 μM. All variables were extracted from 1 pixel radius windows (*i.e.* $3 \times 3$-pixel box) centered at the pixel nearest to the *in situ* sample and we calculated the average of each window (Bailey and Werdell, 2006). Satellite variables were excluded when > 70% were masked. We used this matchup criteria to increase the number of matchups, particularly in subtropical areas where cloudiness is important increasing satellite masked data.

### 2.6. Satellite algorithms

In the present study, we did not focus on the comparison of the Primary Production Algorithm Round Robin (PPARR) as it has already been thoroughly assessed (*e.g.* Campbell et al., 2002; Westberry et al., 2008; Friedrichs et al., 2009). Instead, we used several well-known PP[r] models (Table 1) that are commonly used to estimate satellite IPP (IPP[sat]) and assessed their performance in the subtropical gyres.

First, we used the most widely utilized PP[r] model, the Vertically Generalized Production Model (VGPM) based on chl-*a* (Behrenfeld and Falkowski, 1997). The VGPM uses remote inputs of chl-*a*, SST and PAR. Here we proposed several VGPM variants and alternative methods to estimate P[B][opt]. The first VGPM variant (here called VGPM1) is the original VGPM as in Behrenfeld and Falkowski (1997) where the P[B][opt] was obtained from a 7th-order polynomial SST regression (here called P[B][opt]1). For the VGPM2 variant, P[B][opt] was estimated after Eppley (1972) as implemented by Antoine and Morel (1996) as an exponential function of temperature (here called P[B][opt]2). In the VGPM3 variant, P[B][opt] was estimated after Kameda and Ishizaka (2005) as inversely proportional to phytoplankton size (here called P[B][opt]3). Additionally, we modified these three VGPM variants with an alternative method to estimate $Z_{eu}$, originally estimated from chl-*a* concentration (Morel and Berthon, 1989). The three extra variants of the VGPM models cited above (called hereafter, VGPM11, VGPM22 and VGPM33) included a modified $Z_{eu}$ estimated from the diffuse attenuation coefficient of PAR ($m^{-1}$) (following Mobley et al., 2004).

Additionally, we used the simplest PP[r] model, the Eppley-Square-Root model (ESQRT; Eppley et al., 1985). The ESQRT model uses only chl-*a* as remote inputs assuming that the standing stock is the sole determinant of photosynthetic rate. It ignores any external forcing or

**Table 1**
Model descriptions.

| Model | Remote variable input | | | | | | | $P_{opt}^B$[*] | $Z_{eu}$[**] | Reference |
|---|---|---|---|---|---|---|---|---|---|---|
| | chl-a | SST | PAR | MLD | $K_d$(490) | $Z_{NO3}$ | $b_{bp}$(443) | | | |
| **VGPM1** | X | X | X | | | | | 1 | 1 | Behrenfeld and Falkowski (1997) |
| **VGPM11** | X | X | X | | | | | 1 | 2 | Behrenfeld and Falkowski (1997) |
| **VGPM2** | X | X | X | | | | | 2 | 1 | Behrenfeld and Falkowski (1997) |
| **VGPM22** | X | X | X | | | | | 2 | 2 | Behrenfeld and Falkowski (1997) |
| **VGPM3** | X | X | X | | | | | 3 | 1 | Behrenfeld and Falkowski (1997) |
| **VGPM33** | X | X | X | | | | | 3 | 2 | Behrenfeld and Falkowski (1997) |
| **ESQRT** | X | | | | | | | | | Eppley et al. (1985) |
| **HYR** | X | X | X | X | | | | | | Howard et al. (1997) |
| **MARRA** | X | X | X | | | | | | | Marra et al. (2003) |
| **CbPM** | X | X | X | X | X | X | X | | 1 | Westberry et al. (2008) |

[*]  1) Behrenfeld and Falkowski (1997) 2) Antoine and Morel (1996); 3) Kameda and Ishizaka (2005).
[**]  1) Morel and Berthon (1989); 2) Mobley et al. (2004).

changes in physiological state. We also used the original Howard–Yo-der–Ryan model (HYR; Howard et al., 1997) which for many years was used as a standard MODIS algorithm. The maximum growth rate is parameterized here as a function of SST according to Eppley (1972). $PP_r$ is integrated here to the MLD rather than the euphotic depth. The HYR model uses remote inputs as chl-*a*, SST, PAR and MLD. Furthermore, we used the $PP_r$ model described by Marra et al. (2003) (MARRA) that is based on chlorophyll-specific absorption parameterized by SST and maximum quantum yield. The MARRA model uses chl-*a*, SST and PAR as remote inputs. Finally, we used the Carbon-based Production Model (CbPM; Westberry et al., 2008). The CbPM uses remote inputs as chl-*a*, $b_{bp}$(443), PAR, $K_d$(490), MLD and $Z_{NO3}$. The CbPM utilizes $b_{bp}$(443) to derive phytoplankton carbon biomass.

### 2.7. Model validation

Performance of each $PP_r$ model was analyzed using the total root mean square difference (RMSD; Campbell et al., 2002):

$$RMSD = \left( \frac{1}{N} \sum_{i=1}^{N} \Delta(i)^2 \right)^{1/2} \qquad (1)$$

where $\Delta(i) = \log_{10}[IPP^{sat}(i)] - \log_{10}[IPP^{is}(i)]$ and N is the total number of paired data. The model performance and predictive skills increase as RMSD values become closer to 0. RMSD captures a model's ability to represent both the mean and the variability of *in situ* data and thus, is composed by the bias (*i.e.* the difference between the means, B) and the unbiased RMSD (*i.e.* representing the difference of variability, uRMSD):

$$RMSD^2 = B^2 + uRMSD^2 \qquad (2)$$

$$B = \overline{\log_{10}(IPP^{sat})} - \overline{\log_{10}(IPP^{is})} \qquad (3)$$

When B is negative or positive, model underestimates or overestimates $IPP^{is}$, respectively. Model estimation is closer to $IPP^{is}$ when B is closer to 0. The differences in the variability of $IPP^{is}$ and $IPP^{sat}$ are smaller when uRMSD is closer to 0.

Target diagram (Jolliff et al., 2009) will be used to illustrate model performances. This diagram allows visualizing bias, uRMSD, and total RMSD for all models on a single plot. For that, these quantities are normalized by the standard deviation of $\log_{10} IPP^{is}$ ($\sigma_d = 0.26$):

$$B^* = \frac{B}{\sigma_d} \qquad (4)$$

$$uRMSD^* = sign(\sigma_m - \sigma_d) uRMSD/\sigma_d \qquad (5)$$

where $\sigma_m$ is the standard deviation of $\log_{10} IPP^{sat}$.

The target diagram provides information about whether the model standard deviation is larger (uRMSD* > 0) or smaller (uRMSD* < 0) than the *in situ* standard deviation and on the presence of positive

($B^*$ > 0) or a negative ($B^*$ < 0) bias. The distance of each point from the origin is the standard deviation normalized total RMSD, RMSD*. Any points greater than RMSD* = 1 may be considered poor performers.

The empirical cumulative distribution function (ECDF) illustrates the distribution of the data among values and orders the data from the smallest to the largest data.

### 2.8. Uncertainty analysis

Considering that ocean color models use satellite-derived input variables, it is important to estimate how these data can affect their derived $IPP^{sat}$. For that, we compared each station *in situ* variables with its respective satellite-derived variable when available: *in situ* chl-*a*, SST, $P_{opt}^B$, $Z_{eu}$, MLD and nitracline depth with daily satellite chl-*a*, SST, $P_{opt}^B$, $Z_{eu}$, MLD and nitracline depth data (for details see Section 2.5), respectively.

The median value of the ratio satellite to *in situ* inputs points to the overall bias. The semi-interquartile range (SIQR) provides insight on the spreading data and is defined as followed:

$$SIQR = \frac{Q3 - Q1}{2} \qquad (6)$$

where *Q*1 is the 25th percentile and *Q*3 is the 75th percentile of each series of satellite to *in situ* inputs ratio.

The median percent difference (MPD) was calculated to measure how accurately the satellite inputs agree with *in situ* inputs. It is defined as the median of the individual absolute percent differences (PD) as followed:

$$PD_i = 100 \frac{|X_i - Y_i|}{Y_i} \qquad (7)$$

where $Y_i$ is the *in situ* inputs and $X_i$ is the corresponding satellite-derived inputs. Parameters of linear regression between *in situ* and satellite-derived inputs were also evaluated.

## 3. Results

### 3.1. In situ data

During the MCE, $IPP^{is}$ ranged from 42.4 mg C m$^{-2}$ d$^{-1}$ in the Indian Ocean (station 54) to 877.6 mg C m$^{-2}$ d$^{-1}$ in the Pacific Ocean (station 102). The region with the highest mean $IPP^{is}$ was the PNEC with 434.6 mg C m$^{-2}$ d$^{-1}$ while the region with the lowest mean $IPP^{is}$ was the ISSG with 125 mg C m$^{-2}$ d$^{-1}$ (Table 2). The region with the highest variability of $IPP^{is}$ was SACR, while the region with the lowest variability of $IPP^{is}$ was NPTG (Table 2). The mean input variables ranged as followed (Table 2): chl-*a* from 0.06 mg m$^{-3}$ (SATL) to 0.17 mg m$^{-3}$

**Table 2**

Means and standard deviations of *in situ* IPP, chl-*a*, $Z_{eu}$, $P^B_{opt}$, SST, MLD and nitracline depth for each regional group and for the whole MCE.

| | IPP$^{is}$ | chl-*a* | Zeu | $P^B_{opt}$ | SST | MLD | Nitracline |
|---|---|---|---|---|---|---|---|
| | mg C m$^{-2}$ d$^{-1}$ | mg m$^{-3}$ | m | mg C mg chl-$a^{-1}$ h$^{-1}$ | °C | m | m |
| NAGR | 272.2 ( ± 102.9) | 0.07 ( ± 0.06) | 110 ( ± 20) | 5.70 ( ± 2.52) | 25.5 ( ± 2.5) | 49 ( ± 16) | 132 ( ± 43) |
| SATL | 224.8 ( ± 62) | 0.06 ( ± 0.02) | 139 ( ± 15) | 3.77 ( ± 1.20) | 25.3 ( ± 2.2) | 51 ( ± 14) | 147 ( ± 37) |
| SACR | 288.2 ( ± 232.9) | 0.17 ( ± 0.18) | 79 ( ± 20) | 3.26 ( ± 2.32) | 22.7 ( ± 2.4) | 54 ( ± 11) | 69 ( ± 26) |
| ISSG | 125 ( ± 62) | 0.06 ( ± 0.02) | 124 ( ± 27) | 2.82 ( ± 1.07) | 23.9 ( ± 1.3) | 50 ( ± 16) | 130 ( ± 40) |
| WACR | 156.5 ( ± 63.9) | 0.17 ( ± 0.10) | 119 ( ± 31) | 2.01 ( ± 0.96) | 19.1 ( ± 2.9) | 58 ( ± 17) | 91 ( ± 34) |
| SPSG | 210.6 ( ± 120.8) | 0.10 ( ± 0.04) | 134 ( ± 27) | 2.97 ( ± 1.63) | 28.9 ( ± 1.1) | 59 ( ± 8) | 137 ( ± 23) |
| NPTG | 183.2 ( ± 58.2) | 0.08 ( ± 0.02) | 115 ( ± 22) | 2.97 ( ± 1.23) | 24.2 ( ± 1.6) | 75 ( ± 28) | 128 ( ± 39) |
| PNEC | 434.6 ( ± 193.5) | 0.15 ( ± 0.05) | 73 ( ± 21) | 9.66 ( ± 3.58) | 28.8 ( ± 0.6) | 27 ( ± 12) | 30 ( ± 17) |
| Total | 244.8 ( ± 146.7) | 0.11 ( ± 0.08) | 113 ( ± 28) | 4.20 ( ± 2.75) | 25.4 ( ± 3.2) | 54 ( ± 22) | 114 ( ± 48) |

(SACR and WACR, respectively); $Z_{eu}$ from 73 m (PNEC) to 139 m (SATL); $P^B_{opt}$ from 2.01 mg C mg chl-$a^{-1}$ d$^{-1}$ (WACR) to 9.66 mg C mg chl-$a^{-1}$ d$^{-1}$ (PNEC); SST from 19 °C (WACR) to 29 °C (both SPSG and PNEC); MLD from 27 m (PNEC) to 75 m (NPTG); and nitracline depth from 30 m (PNEC) to 147 m (SATL).

### 3.2. Comparison between in situ data and input data variables for IPP$^{sat}$

Input data variables for IPP$^{sat}$ showed variable agreements with their corresponding *in situ* observed variables. Satellite SST showed very good agreement with *in situ* SST ($R^2 = 0.95$, $r = 0.98$, MPD = 2%) and presented the lowest spreading values (SIQR = 0.02) (Table 3). The rest of the input data variables for IPP$^{sat}$ showed reasonable agreement with their corresponding *in situ* variables with $R^2$ ranging from 0.16 to 0.56, SIQR from 0.09 to 0.23 and MPD from 19% to 35% (Table 3). The lowest overall bias of input data variables for IPP$^{sat}$ in comparison with *in situ* inputs was observed for MLD (median MLD = 0.65) (Table 3).

### 3.3. Model phytoplankton physiology variable

The three $P^B_{opt}$ variables modelled from satellite-derived data ($P^B_{opt}1$, $P^B_{opt}2$ and $P^B_{opt}3$) presented weak agreements with observed $P^B_{opt}$ data ($R^2 < 0.12$) and showed the highest spreading values (SIQR = 0.57, 0.65 and 1.13, respectively). These $P^B_{opt}$ data showed the highest overall bias in comparison with *in situ* inputs (median $P^{Bopt}1 = 1.49$, median $P^{Bopt}2 = 1.80$ and median $P^{Bopt}3 = 2.69$).

While $P^B_{opt}1$ and $P^B_{opt}2$ were described as function of SST, $P^B_{opt}3$ and *in situ* $P^B_{opt}$ did not follow any correlation with SST (Fig. 2). $P^B_{opt}3$ presented a wider value range (from 5 to > 30 mg C mg chl-$a^{-1}$ d$^{-1}$) than *in situ* $P^B_{opt}$ (from 0.14 to < 15 mg C mg Chl-$a^{-1}$ d$^{-1}$) between 21 and 28 °C.

### 3.4. Model performance across all regions

The estimation of model biases allowed observing that two models

**Table 3**

Uncertainty analysis on differences between *in situ* data and input data variables for IPP$^{sat}$ with the statistics of linear regression ($R^2$ and slope ± 95% CI; Fig. S1), the Pearson correlation coefficient (r), the number of match-ups, the median value of the ratio satellite to *in situ* data (Median), the semi-inter-quartile range of satellite to *in situ* inputs ratio (SIQR) and the median percent difference between satellite and *in situ* inputs data (MPD).

| | $R^2$ | Slope | r | N | Median | SIQR | MPD |
|---|---|---|---|---|---|---|---|
| Chl-*a* | 0.16 | 0.72 ± 0.18 | 0.39 | 93 | 0.89 | 0.23 | 29.87 |
| SST | 0.95 | 0.96 ± 0.02 | 0.98 | 121 | 0.98 | 0.02 | 2.25 |
| Nitracline | 0.53 | 0.76 ± 0.07 | 0.73 | 113 | 0.83 | 0.21 | 23.94 |
| $Z_{eu}1$ | 0.32 | 0.34 ± 0.05 | 0.56 | 81 | 0.81 | 0.09 | 19.49 |
| $Z_{eu}2$ | 0.23 | 0.56 ± 0.11 | 0.48 | 81 | 1.08 | 0.16 | 20.16 |
| MLD | 0.56 | 0.48 ± 0.04 | 0.75 | 107 | 0.65 | 0.12 | 35.03 |
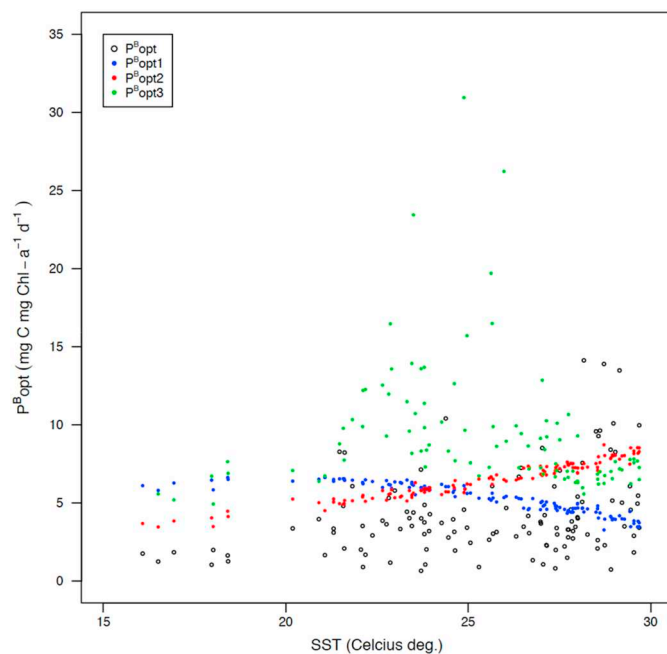


**Fig. 2.** Representation of the three $P^B_{opt}$ algorithms used in this study and *in situ* $P^B_{opt}$ in function of *in situ* SST.

underestimated IPP$^{is}$ (*i.e.* B < 0; HYR and MARRA models) while the rest of the models overestimated IPP$^{is}$ (*i.e.* B > 0). Furthermore, IPP$^{sat}$ estimated from VGPM1 and MARRA models provided the closest to IPP$^{is}$ (B = 0.07 and B = −0.09, respectively; Table 4). RMSD showed significant variability among the different models ranging from 0.28 (ESQRT) to 0.52 (VGPM33) (Table 4). On contrary, uRMSD did not show significant variability among the different models ranging from 0.26 to 0.30 (Table 4). All models showed relatively poor agreement with IPP$^{is}$ with $R^2$ ranging from 0.18 to 0.45 (Table 4).

The target diagram (Fig. 3) illustrates overestimation of observed productivity (B* > 0) for all models except for MARRA and HYR. All models, except CbPM and MARRA models, underestimated the variance of observed productivity (uRMSD* < 0) (Fig. 3).

Although the target diagram gave information about uRMSD for each model, it does not allow assessing whether a given uRMSD results from getting the correlation or the variability wrong. The Taylor diagram gives additional information about the variance of IPP$^{sat}$ (the distance from the origin is the standard deviation) and the correlation between IPP$^{sat}$ and IPP$^{is}$ (the azimuth angle) (Fig. 4). Correlation coefficients between modelled and observation estimates ranged between 0.42 and 0.67. Model standard deviations ranged from < 100 mg C m$^{-2}$ d$^{-1}$ (HYR model) to > 300 mg C m$^{-2}$ d$^{-1}$ (CbPM) (Fig. 4). As the target diagram showed that none of the present models estimated

**Table 4**

Number of match-ups, linear regression parameters (intercept, slope and $R^2$; Fig. S2), RMSD, B and uRMSD for each participating model relative to IPP[is].

| Model | N | Intercept | Slope | $R^2$ | RMSD | B | uRMSD |
|---|---|---|---|---|---|---|---|
| VGPM1 | 97 | 143.79 ± 30.26 | 0.58 ± 0.11 | 0.22 | 0.31 | 0.07 | 0.30 |
| VGPM2 | 97 | 51.70 ± 42.40 | 1.28 ± 0.15 | 0.42 | 0.31 | 0.16 | 0.26 |
| VGPM3 | 90 | 269.61 ± 28.47 | 0.68 ± 0.10 | 0.31 | 0.40 | 0.30 | 0.27 |
| VGPM11 | 97 | 255.35 ± 28.89 | 0.48 ± 0.10 | 0.18 | 0.36 | 0.21 | 0.29 |
| VGPM22 | 97 | 178.59 ± 37.92 | 1.21 ± 0.14 | 0.45 | 0.40 | 0.30 | 0.26 |
| VGPM33 | 90 | 457.10 ± 22.01 | 0.52 ± 0.08 | 0.31 | 0.52 | 0.44 | 0.28 |
| ESQRT | 97 | 169.36 ± 21.40 | 0.51 ± 0.08 | 0.32 | 0.28 | 0.11 | 0.26 |
| HYR | 85 | 38.38 ± 12.51 | 0.29 ± 0.04 | 0.34 | 0.43 | − 0.34 | 0.26 |
| MARRA | 97 | f-47.72 ± 39.67 | 1.14 ± 0.14 | 0.40 | 0.30 | − 0.09 | 0.28 |
| CbPM | 78 | 204.22 ± 62.03 | 1.20 ± 0.22 | 0.27 | 0.48 | 0.28 | 0.38 |

IPP more accurately than using the mean of the observed data (Fig. 3), the Taylor diagram showed that VGPM1, VGPM3, VGPM11, VGPM33 and ESQRT were better at reproducing the magnitude of IPP[is] variance (*i.e.* closer to the standard deviation of IPP[is] data) than the other models (Fig. 4). Furthermore, the Taylor diagram showed that the models with the highest correlations did not reproduce well the variability in IPP[is] (VGPM2, VGPM22, MARRA; Fig. 4).

The empirical cumulative distribution function (ECDF) illustrates the range of PP from observed data and from the different models (Fig. 5). Here, we observed that MARRA, VGPM1 and ESQRT models reproduced accurately the range of IPP from 300 to 400 mg C m$^{-2}$ d$^{-1}$. Below 300–400 mg C m$^{-2}$ d$^{-1}$, MARRA model tended to underestimate the range of IPP and VGPM1 and ESQRT models tended to overestimate the range of IPP (Fig. 5).

### 3.5. Region-specific model performance

The average performance of the five models and VGPM variants tested here varied across regions, with RMSD varying from 0.26 at SATL to 0.50 at SACR (Fig. 6). The average model performance was significantly lower at SATL (RMSD = 0.26) and PNEC (RMSD = 0.27) than at ISSG (RMSD = 0.43), SACR (RMSD = 0.50) and WACR (RMSD = 0.47) (t-test, $P < 0.05$). At SACR, the average model performance was significantly higher than for the rest of the regions (t-test, $P < 0.05$), except WACR (t-test, $P > 0.05$).

Considering individual model skill, we observed that some models performed better than others in specific regions (Fig. 7). In four regions (NAGR, SATL, NPTG, PNEC), the ESQRT model showed the lowest RMSD and in the other four regions (ISSG, SPSG, SACR, WACR), the
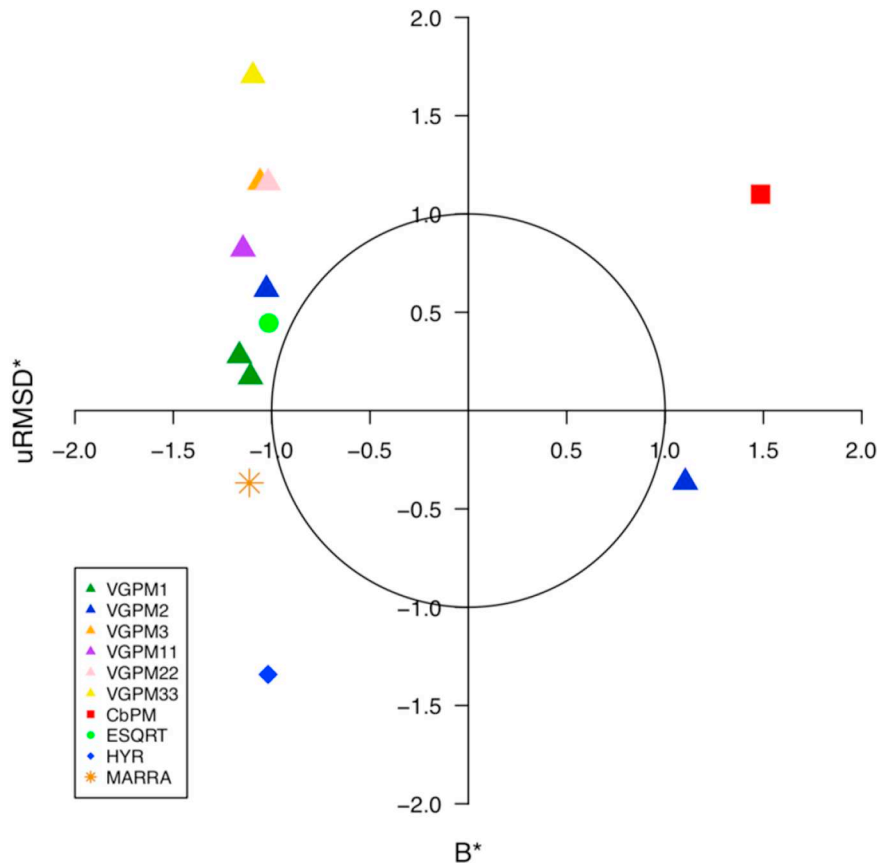


**Fig. 3.** Target diagram displaying B* (Eq. (4)) and uRMSD* (Eq. (5)) for the 5 models and VGPM variants relative to the IPP[is]. The solid circle is the normalized standard deviation of the IPP[is].
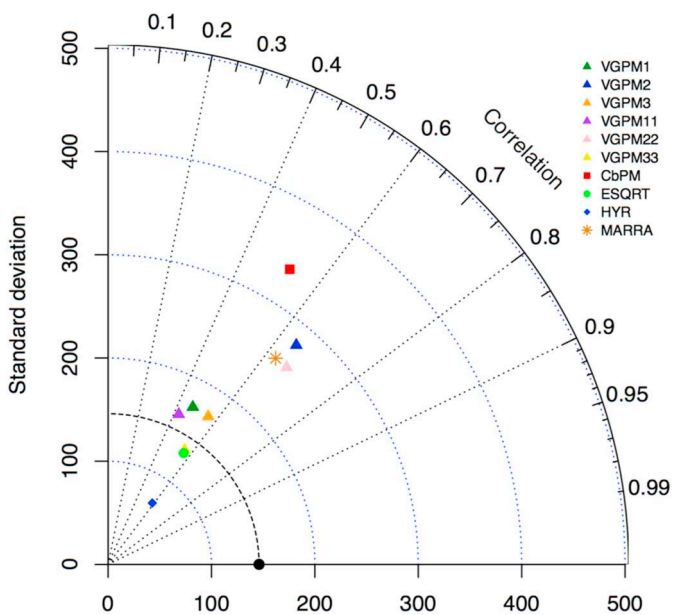
**Fig. 4.** Taylor diagram of IPP. The black dot represents IPP[is] data. Blue dashed lines represent arcs along the standard deviation axes and the black dashed line represents the standard deviation of IPP[is]. Symbols falling close to the black dashed line indicate the best models at reproducing the magnitude of IPP[is] variance. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)
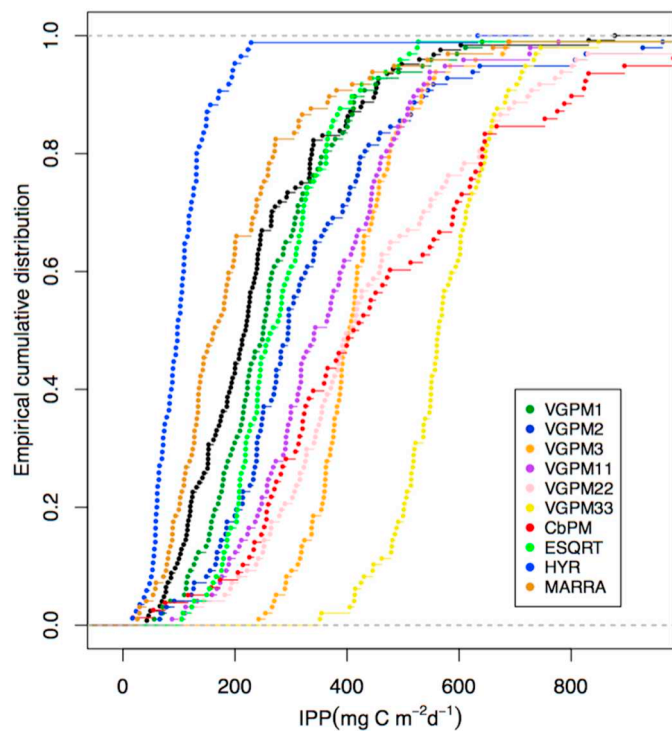


**Fig. 5.** Empirical cumulative distribution function of IPP for the seven models and the observed data (black symbols).
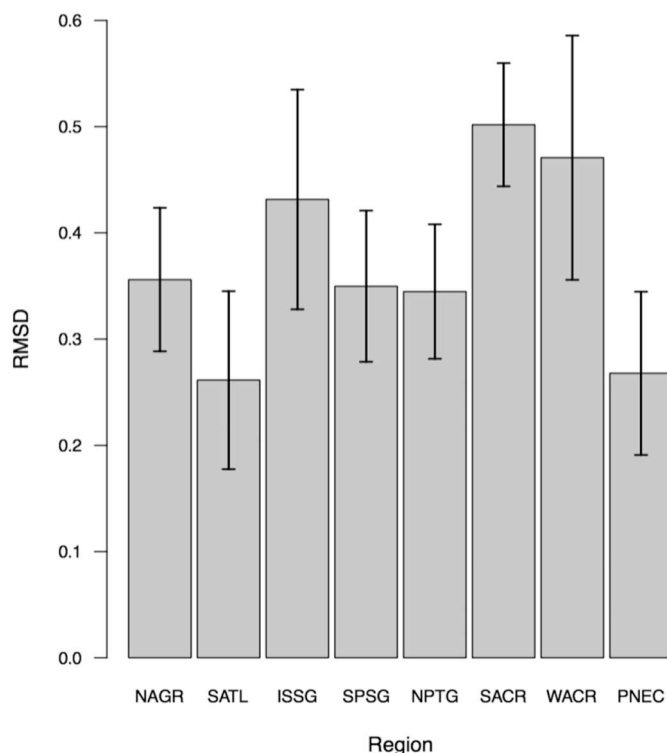


**Fig. 6.** Average RMSD for all 5 models and VGPM variants at each region. The error bars are $2\times$ standard error.

model that mainly showed the lowest RMSD was the MARRA model (Fig. 7).

### 3.6. PP model's adjustments

We further explored each model performance by replacing the variables derived by remotely sensing (*i.e.* chl-a, SST, $Z_{eu}$, $P_{opt}^B$, MLD, $Z_{NO3}$) for our *in situ* data. From the five models tested here, we observed that when run with *in situ* values, model performance was improved only for two models: VGPM (RMSD = 0.18 and B = 0.06) and MARRA (B = −0.01) (Table 5). For the rest of PP_r models, the average performance did not show significant improvement (Table 5). Regionally, the improvement was not significant for all models (data not shown here). As explained previously, satellite-derived $P_{opt}^B$ presented the weakest agreement with observed $P_{opt}^B$ data and might partly cause the poor agreement between IPP[sat] from VGPM and IPP[is]. Hence, adjusting parameterized $P_{opt}^B$ to match *in situ* $P_{opt}^B$ data improved VGPM performance.

Although previous studies (*e.g.* Friedrichs et al., 2009; Milutinović and Bertino, 2011; Jacox et al., 2013) tried to improve the $P_{opt}^B$ estimate, our approach for improving the $P_{opt}^B$ estimate involved testing first the possible correlations between *in situ* $P_{opt}^B$ and the other *in situ* variables using a principal component analysis (PCA) and then, guided from the PCA results, formulating $P_{opt}^B$ as a function of the variables with the highest correlation with $P_{opt}^B$. From PCA results (Fig. 8), we observed that $P_{opt}^B$ had a strong positive correlation with SST and a strong negative correlation with MLD. Then, using multiple least-square
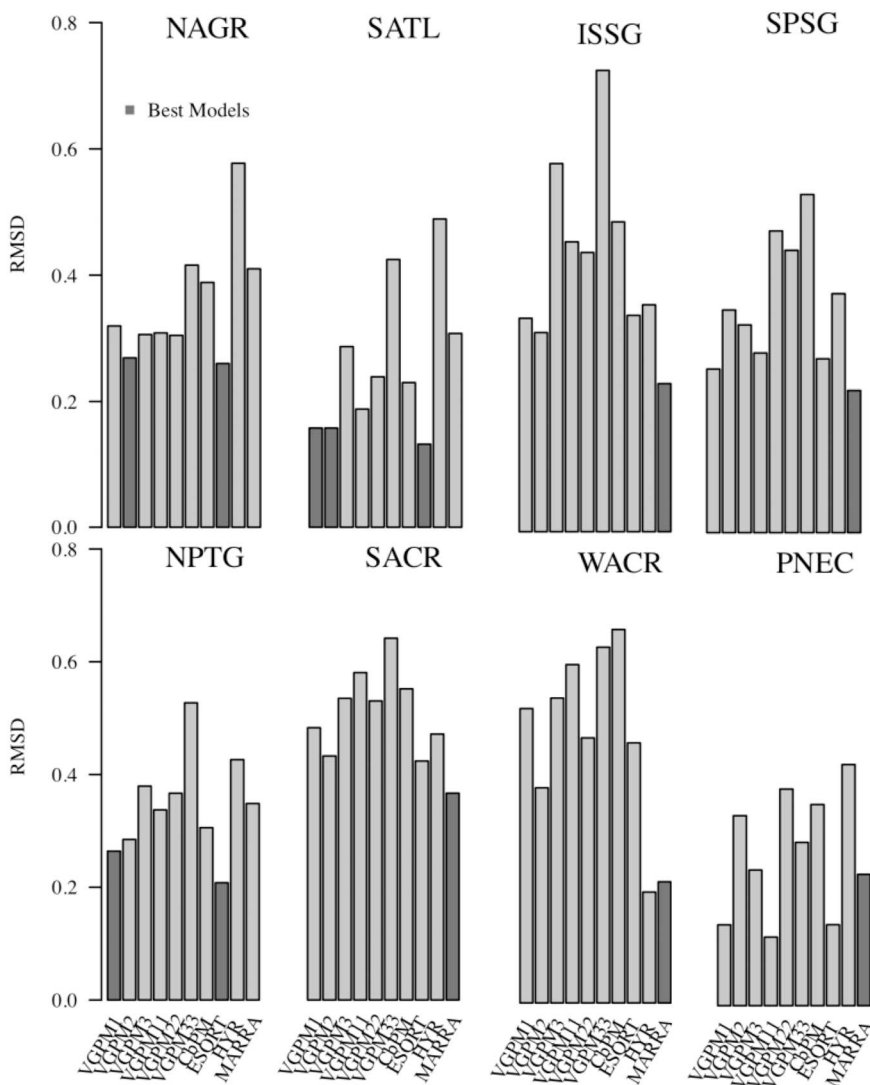
**Fig. 7.** Model RMSD for each model at each region. Dark grey bars indicate models with better performance.

**Table 5**
Pearson correlation coefficient (r), statistics of linear regression ($R^2$), RMSD, B, uRMSD and N values for the five $PP_r$ models tested here with *in situ* variables and for VGPM1 (here called VGPM1′) and VGPM11 (here called VGPM11′) using modelled $P_{opt}^B$ (Eq. (8)).

| Model | r | $R^2$ | RMSD | B | uRMSD | N |
|---|---|---|---|---|---|---|
| VGPMis | 0.73 | 0.53 | 0.18 | 0.06 | 0.17 | 86 |
| CbPMis | 0.39 | 0.07 | 0.61 | 0.45 | 0.42 | 78 |
| ESQRTis | 0.51 | 0.26 | 0.28 | 0.15 | 0.24 | 120 |
| HYRis | 0.40 | 0.16 | 0.39 | −0.28 | 0.27 | 110 |
| MARRAis | 0.60 | 0.36 | 0.28 | −0.01 | 0.28 | 110 |
| VGPM1′ | 0.63 | 0.39 | 0.25 | −0.0008 | 0.25 | 83 |
| VGPM11′ | 0.66 | 0.44 | 0.28 | 0.14 | 0.24 | 83 |

regression, we estimated *in situ* $P_{opt}^B$ as a function of *in situ* MLD and SST ($R^2 = 0.26$, $P < 0.0001$) through the fitted regression equation:

$$P_{opt}^B = 10^{(1.2264*\log_{10}(SST)-0.5626*\log_{10}(MLD)+0.22812)} \tag{8}$$

Then we evaluated the VGPM performance (*i.e.* VGPM1) using this modelled $P_{opt}^B$ (Eq. (8)). We observed that the VGPM had RMSD = 0.25 and B = −0.0008 (Table 5). We observed also that its normalized standard deviation was lower than the normalized standard deviation
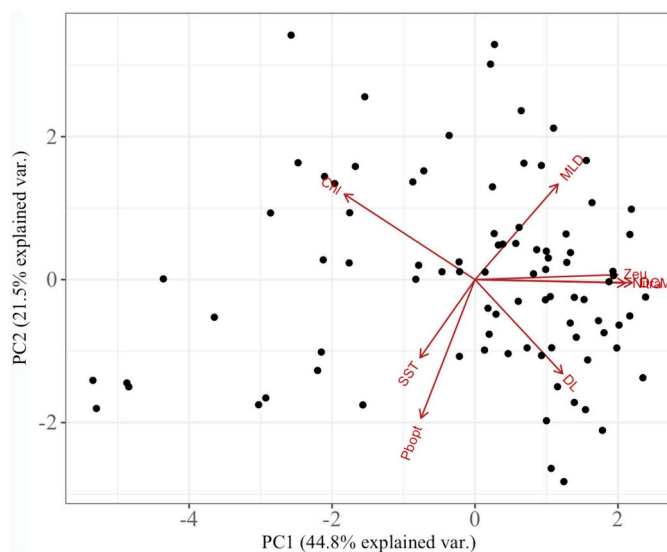


**Fig. 8.** Biplot of *in situ* parameters (SST, sea surface temperature; Chl, chl-*a*; MLD, mixed layer depth; Zeu, $Z_{eu}$; DCM, deep chlorophyll maximum; DL, daylength; Nitra, $Z_{NO3}$; Pbopt, $P_{opt}^B$).

of the IPP$^{is}$, meaning that this model estimated IPP more accurately than using the mean of the observed data (data not shown here).

## 4. Discussion

Here, we compared here about 100 IPP$^{is}$ with IPP$^{sat}$ values derived from five of the most commonly used PP$_r$ models for the four subtropical gyre regions sampled, including the Indian subtropical gyre region. IPP$^{is}$ results presented here were consistent as the methodology was coherent and consistent from the first to the last transect allowing to dissipate any uncertainties about model prediction variations resulting from the methodology. This comparison allowed us to estimate model performances and explore pathways to improve them. From the five models and variants tested here, we observed that most of them did not derive a good representation of the IPP$^{is}$ variability. Only VGPM1, MARRA and ESQRT models were better at estimating IPP$^{is}$ with IPP$^{sat}$ closer to IPP$^{is}$ ($|B| \sim 0.09$) than for the other models ($|B| \sim 0.29$).

Although HYR model had been used for many years as a standard MODIS algorithm, we observed that it showed low performance to predict IPP$^{is}$. The original HYR model is extended to MLD and in general, MLD was less than Z$_{eu}$ in the studied regions (Table 2). Thus, we estimated the performance of HYR model extended to Z$_{eu}$ (data not shown here) and we observed that B and RMSD were lower for HYR model extended to Z$_{eu}$ (using Z$_{eu}$1, B = −0.09 and RMSD = 0.30; using Z$_{eu}$2, B = 0.04 and RMSD = 0.29) than for HYR model extended for MLD. Hence, HYR models extended to Z$_{eu}$ derived IPP$^{sat}$ values closer to IPP$^{is}$ than the original in subtropical gyre regions where MLD is shallower. However, a Taylor diagram revealed that they were not better at reproducing the magnitude of IPP$^{is}$ variance than the original HYR model that (data not shown here), and that they had low correlation with IPP$^{is}$.

To understand the limitations of the models used here to estimate IPP$^{is}$ accurately, we examined whether these limitations were caused by the input data variables for IPP$^{sat}$ or by the model itself. Indeed, PP$_r$ models strongly rely on chl-$a$ and P$_{opt}^B$ and a weak agreement between satellite-derived chl-$a$ and P$_{opt}^B$ with *in situ* data may explain the poor model performance. Although satellite-derived and modelled data inputs such as SST, MLD and Z$_{NO3}$ had a relatively good agreement with *in situ* data, chl-$a$ and, especially P$_{opt}^B$, had poor agreements with *in situ* data (R$^2$ = 0.16 and 0.01 < R$^2$ < 0.11, SIQR = 0.23 and 0.57 < SIQR < 1.13, respectively; Table 3). When satellite-derived and modelled data were substituted by *in situ*-derived data (when available), we found that, over the best performing models, the VGPM and MARRA models improved model-data linear regression statistics (R$^2$) by 68% and 10%. Total RMSD declined about the half for VGPM and a large decline was observed in VGPM and MARRA biases (76% and 86% respectively; Table 5).

Several studies concluded P$_{opt}^B$ to be the IPP model input parameter with the weakest agreement with *in situ* data (Behrenfeld and Falkowski, 1997; Behrenfeld et al., 2002; Siegel et al., 2001; Milutinović and Bertino, 2011). These studies suggested that P$_{opt}^B$ cannot be derived adequately using only sea surface temperature (SST) as input, considering that light and nutrient availability may have analogous physiological effects on algal photosynthetic capacity and, thus, on P$_{opt}^B$. Milutinović and Bertino (2011) suggested that the success of an SST-dependent P$_{opt}^B$ will be variable over time and location. After our parameterization of P$_{opt}^B$ as a function of MLD and SST, we found that using satellite-derived and modelled input data, VGPM had its model-data linear regression statistics (R$^2$) improved by 32%, its total RMSD reduced by 31% and its bias reduced by 72% (Table 5). In this study, about 80% of the dataset collected during the MCE was located in subtropical regions where MLD is relatively shallow (< 60 m). We believe that the parametrization of P$_{opt}^B$ from SST and MLD in oceanic

ecosystems where MLD is shallower (< 60 m) should improve the estimation of P$_{opt}^B$ for IPP$^{sat}$ and thus, improve PP$_r$ models. Indeed, when modelled P$_{opt}^B$ (Eq. (8)) was substituted in the original VGPM1 model here, its performance was improved by 100% (B < 0.0008, Table 5). Our results are specific to a circumnavigation that lasted 7 months and cruised all the subtropical oceans by 6 transects with consistent methodology. Although we believe that our results present a good representation of subtropical gyres ecosystems, we are aware that further sampling efforts are required to confirm the improvement of the parametrization of P$_{opt}^B$ using SST and MLD where MLD < 60 m.

The use of *in situ* variables, especially chl-$a$, in PP$_r$ models improved remote PP estimates and provided a pathway to improve their performance. Obtaining *in situ* chl-$a$ data across the oceans is now possible through use of autonomous technologies such as gliders and profiling floats. Part of the Argo International Program (www.argo.ucsd.edu), Bio-Argo aims to contribute to the development of profiling float equipped with bio-optical sensors to measure chl-$a$ and backscattering. Starting in 2011, Bio-Argo delivers a series of 5–6 profiling floats on a yearly basis. Although the deployment of floats equipped with bio-optical sensors did not achieve widespread coverage as yet, it is expected that these will deliver a high quantity of long-range and months-long deployments shortly.

The majority of MCE stations where IPP$^{is}$ was estimated encompassed subtropical gyre regions with low IPP$^{is}$ (*i.e.* > 70% of IPP$^{is}$ < 300 mg C m$^{-2}$ d$^{-1}$). Model performances were generally better for high values of IPP (above 500 mg C m$^{-2}$ d$^{-1}$); when model-data misfit was in general lower (Fig. 4). This observation confirms the challenge to predict IPP$^{is}$ in the ultra-oligotrophic regions encompassed by the oligotrophic gyres. We believe that further efforts are required to improve the performance of ocean color models such as VGPM to be applied to highly oligotrophic regions such as subtropical gyres, where IPP is relatively low (< 300 mg C m$^{-2}$ d$^{-1}$), the MLD is shallower and cloudiness may bias satellite input data. Hence, efforts to improve the algorithms and parameters used in PP$_r$ models (such as those provided in this work) specific for the oligotrophic subtropical gyre regions are essential to further understand the reasons for the poor predictions made by the existing models. The development of improved and robust satellite-based algorithms to predict oceanic primary production in subtropical gyres requires additional efforts to obtain *in situ* estimates of net primary production. This sampling effort is particularly necessary for some of the subtropical gyre regions, like in the three gyres located in the Southern Hemisphere (*e.g.* Marañón et al., 2000; Poultron et al., 2006; Regaudie-de-Gioux and Duarte, 2013; this study). Because this ocean biome comprises 70% of the ocean, improving PP$_r$ estimates therein is an imperative to progress toward a global ocean observing system.

## Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.jmarsys.2019.03.007.

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