# Immunoproteasome activation enables human TRIM5 $\alpha$ restriction of HIV-1

Jose M. Jimenez-Guardeño<sup>1</sup>, Luis Apolonia<sup>1,2</sup>, Gilberto Betancor<sup>1,2</sup> and Michael H. Malim<sup>1</sup>

Type 1 interferon suppresses viral replication by upregulating the expression of interferon-stimulated genes with diverse antiviral properties<sup>1</sup>. The replication of human immunodeficiency virus type 1 (HIV-1) is naturally inhibited by interferon, with the steps between viral entry and chromosomal integration of viral DNA being notably susceptible<sup>2-5</sup>. The interferonstimulated gene myxovirus resistance 2 has been defined as an effective postentry inhibitor of HIV-1, but is only partially responsible for interferon's suppressive effect<sup>6-8</sup>. Using small interfering RNA-based library screening in interferon- $\alpha$ treated cells, we sought to characterize further interferonstimulated genes that target the pre-integration phases of HIV-1 infection, and identified human tripartite-containing motif  $5\alpha$  (TRIM $5\alpha$ ) as a potent anti-HIV-1 restriction factor. Human TRIM5 $\alpha$ , in contrast with many nonhuman orthologues, has not generally been ascribed substantial HIV-1 inhibitory function, a finding attributed to ineffective recognition of cytoplasmic viral capsids by TRIM5 $\alpha^{2,9,10}$ . Here, we demonstrate that interferon- $\alpha$ -mediated stimulation of the immunoproteasome, a proteasome isoform mainly present in immune cells and distinguished from the constitutive proteasome by virtue of its different catalytic  $\beta$ -subunits, as well as the proteasome activator 28 regulatory complex<sup>11-13</sup>, and the associated accelerated turnover of TRIM5 $\alpha$  underpin the reprogramming of human TRIM5 $\alpha$  for effective capsid-dependent inhibition of HIV-1 DNA synthesis and infection. These observations identify a mechanism for regulating human TRIM5 $\alpha$  antiviral function in human cells and rationalize how TRIM5 $\alpha$  participates in the immune control of HIV-1 infection.

Interferon- $\alpha$  (IFN- $\alpha$ ) mobilizes the expression of hundreds of IFN-stimulated genes (ISGs), with the functions and viral substrates of many awaiting definition<sup>1</sup>. To identify ISGs that suppress HIV-1 replication, we designed a small interfering RNA (siRNA) library targeting 598 ISGs (plus two negative controls; Supplementary Table 1). Focusing on the early stages of infection (up to and including viral transcription), two cultures of IFN- $\alpha$ -responsive U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells were transfected with each siRNA, with one being maintained with 500 U ml<sup>-1</sup> IFN- $\alpha$  for 24 h and one without. All cultures were then challenged with HIV-1/Nef-internal ribosome entry signal (IRES)-Renilla, a modified replicationcompetent reporter virus, and infection quantified by measuring Renilla luciferase activity at 48 h (Fig. 1a, unadjusted levels of infection indicated in left-hand panel and folds of IFN-α-mediated suppression indicated in right-hand panel; Supplementary Fig. 1). Three genes of well-established relevance to HIV-1 infection and whose suppression corresponded with markedly increased levels of infection in the presence of IFN- $\alpha$  were IFN regulatory factor 9 (IRF9), myxovirus resistance 2 (MX2) and tripartite-containing motif  $5\alpha$  (*TRIM5a*; Supplementary Fig. 2 displays the 14 genes with the strongest effects). IRF9, a transcription factor required for ISG induction<sup>4</sup>, and MX2, an established HIV-1 inhibitory ISG<sup>6,7</sup>, were anticipated finds, but TRIM5 $\alpha$  was completely unexpected. Indeed, human TRIM5 $\alpha$  has hithertofore been regarded as being virtually inactive against HIV-1; in contrast, nonhuman TRIM5 $\alpha$  proteins, for example, from rhesus macaque, are potent HIV-1 restriction factors that recognize postentry viral capsids to induce their premature fragmentation and the inhibition of reverse transcription<sup>2,9</sup>.

The restorative impact of each component TRIM5α siRNA of the SMARTpool against IFN-α-suppressed HIV-1 infection was confirmed using an HIV-1/Nef-IRES-green fluorescent protein (GFP) reporter virus, with IFN- $\alpha$ -mediated inhibition consistently decreasing by twofold to fourfold relative to the nonsilencing siControl (Fig. 1b) and TRIM5 $\alpha$  expression being reliably silenced (Fig. 1c). Similar levels of rescue of infection were also observed in a bulk cell population and two independent knockout cell lines in which the endogenous TRIM5 $\alpha$  alleles had been inactivated using clustered regularly interspaced short palindromic repeats (CRISPR)/ CRISPR-associated protein 9 (Cas9) genome editing (Fig. 1d,e and Supplementary Fig. 3) and across a range of IFN- $\alpha$  doses (Supplementary Fig. 4). Importantly, re-introduction of CRISPRresistant human TRIM5a (rTRIM5a) cDNA into these cells completely restored IFN-α-mediated suppression, whereas no changes in HIV-1 susceptibility were detected with an irrelevant control gene (luciferase) (Fig. 1f). Short hairpin RNA (shRNA)-mediated silencing was then used to suppress TRIM5α expression in primary human CD4+ T cells. Relative to donor-matched control samples, reducing TRIM5α levels substantially impeded the IFN-α-mediated inhibition of HIV-1 infection, therefore confirming the importance of this IFN- $\alpha$ -regulated antiviral pathway in the principal in vivo cell target of HIV-1 infection (Fig. 1g and Supplementary Fig. 5). As noted earlier, it has been established that IFN- $\alpha$  is a potent and early inhibitor of HIV-1 reverse transcription following entry into susceptible cells3: TRIM5a's substantial contribution to this effect was demonstrated by using quantitative polymerase chain reaction (qPCR) to measure the restoration of viral cDNA accumulation following TRIM5 $\alpha$  silencing in IFN- $\alpha$ -treated cells (approximately fivefold rescue; Fig. 1h). The broad sensitivity of diverse strains to IFN-α-activated human TRIM5α was confirmed using siRNAmediated silencing and ten HIV-1 isolates engineered to confer GFP expression following productive infection (extents of rescue ranging from twofold to fivefold; Supplementary Fig. 6).

TRIM5 $\alpha$ -mediated inhibition is initiated through the recognition of viral capsids in the cytoplasm, leading to their fragmentation and the suppression of reverse transcription (viral DNA synthesis). Binding is both sequence and structure specific, and is dependent on the capsid lattice (which is composed of multiple hexagonal

<sup>&</sup>lt;sup>1</sup>Department of Infectious Diseases, School of Immunology & Microbial Sciences, King's College London, London, UK. <sup>2</sup>These authors contributed equally: L. Apolonia, G. Betancor. \*e-mail: michael.malim@kcl.ac.uk



Fig. 1 | Human TRIM5 $\alpha$  is a key effector in the IFN-induced suppression of HIV-1 infection. a, Dot plots of NL4-3/Nef-IRES-Renilla infectivity and IFN- $\alpha$ induced fold inhibition at 48 h postinfection in U87-MG CD4+ CXCR4+ cells doubly transfected with siRNAs targeting 598 ISGs and two negative controls with or without the addition of 500 U ml<sup>-1</sup> IFN- $\alpha$  for 24 h. Three notably influential ISGs are indicated in red. **b**. Percentage of GFP<sup>+</sup> cells and IFN- $\alpha$ -induced fold inhibition in U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells infected with NL4-3/Nef-IRES-GFP after TRIM5 $\alpha$  silencing using SMARTpool (n=5) or individual siRNAs (n=4) with or without added 500 U ml<sup>-1</sup> IFN- $\alpha$ . c. Immunoblot analysis of TRIM5 $\alpha$  expression in U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells after siRNA transfection. with  $\alpha$ -tubulin serving as a loading control. One representative immunoblot from two independent experiments is shown. **d**. NL4-3/Nef-IRES-GFP infection and IFN- $\alpha$ -induced inhibition in U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> bulk (TRIM5 $\alpha$ : n = 14) and clonal (TRIM5 $\alpha_{-1}$ : n = 8 and TRIM5 $\alpha_{-2}$ : n = 7) cell lines transduced to express TRIM5α-specific guide RNAs, with or without added 500 U ml<sup>-1</sup> IFN-α. CRISPR-Cas9 control cells expressed an unrelated guide RNA (n = 14). e, Ablation of TRIM5 a expression in CRISPR-Cas9-engineered U87-MG CD4+ CXCR4+ cells was verified by immunoblotting. a-Tubulin served as a loading control. One representative immunoblot from three independent experiments is shown. f, NL4-3/Nef-IRES-GFP infectivity and IFN-α-induced inhibition at 48 h postinfection in TRIM5α-deficient U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells (CRISPR TRIM5α, TRIM5α\_1 and TRIM5α\_2) or cells expressing an unrelated guide RNA (CRISPR control) transduced with EasiLV lentivirus vectors expressing luciferase (Luc) or an rTRIM5α with or without added 500 U mI<sup>-1</sup> IFN-α (n=3). g, NL4-3/Nef-IRES-Renilla infectivity and IFN-α-induced inhibition at 48 h postinfection in primary human CD4+T cells transduced with shRNAs targeting TRIM5 $\alpha$  or a control shRNA, and treated with or without 2,000 U ml<sup>-1</sup> IFN- $\alpha$  for 24 h before infection (n = 5). **h**, U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells were transfected with control or TRIM5α-specific siRNAs and treated or not with 500 U ml<sup>-1</sup> IFN-α for 24 h before 2-h infections with NL4-3/Nef-IRES-GFP (corresponding to 20 ng p24<sup>Gag</sup>). DNA was harvested at 48 h postinfection, and early reverse transcription products (strong stop) and IFN-α-induced inhibition were determined by qPCR (n=5). Data are represented as the mean  $\pm$  s.d. P values (95% confidence interval) were determined using two-sided unpaired (**b**, **d**, **f**, **h**) or paired (**g**) *t*-tests. AU, arbitrary units.

and pentagonal assemblies of the viral Capsid (CA) protein)<sup>14</sup> and the carboxy-terminal SPRY (a sequence repeat in dual-specificity kinase SPIA and RYanodine receptors) domain of TRIM5 $\alpha^{2.9}$ . The specificity of human TRIM5 $\alpha$  for HIV-1 CA was demonstrated by the reduced inhibitory effect of IFN- $\alpha$  after TRIM5 $\alpha$  silencing that was seen for virus-bearing wild-type HIV-1 CA (WT<sub>CA</sub>), but not for a matched HIV-1-based chimeric virus-carrying (nonrecognized) CA derived from a simian immunodeficiency virus (SIV<sub>CA</sub>) (Fig. 2a). The suppression of HIV-1 by human TRIM5 $\alpha$  is not, therefore, the result of a generalized induction of TRIM5 $\alpha$  antilentiviral activity by IFN- $\alpha$ .

The central importance of the SPRY domain for TRIM5 $\alpha$  function in the presence of IFN- $\alpha$  was then confirmed in gene transduction-based experiments using U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells



**Fig. 2 | HIV-1 CA determines IFN-** $\alpha$ **-induced restriction by human TRIM5** $\alpha$ **. a**, Percentage of GFP<sup>+</sup> cells and IFN- $\alpha$ -induced inhibition at 48 h postinfection in U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells challenged with GFP-encoding HIV-1-based vectors containing WT<sub>CA</sub> or CA from SIV<sub>MAC</sub> (SIV<sub>CA</sub>). TRIM5 $\alpha$  was silenced using SMARTpool siRNAs and cells treated with or without 500 U ml<sup>-1</sup> IFN- $\alpha$  before infection (n = 5). *P* values (95% confidence interval) were determined using two-sided unpaired *t*-tests. **b**, NL4-3/Nef-IRES-GFP infectivity and IFN- $\alpha$ -induced inhibition at 48 h postinfection in TRIM5 $\alpha$ /MX2 doubly deficient U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells (Supplementary Fig. 7) transduced with EasiLV lentivirus vectors expressing luciferase, CD8, CRISPR-resistant MX2, TRIMCYP or CRISPR-resistant TRIM5 $\alpha$ , TRIM5 $\alpha$ ASPRY, TRIM5 $\alpha$  R332G/R335G or TRIM5 $\alpha$  H43Y with or without added 500 U ml<sup>-1</sup> IFN- $\alpha$  (n = 6). Numbers represent fold inhibition of HIV-1 infection after IFN- $\alpha$  treatment. Data are represented as the mean ± s.d.

where endogenous TRIM5 $\alpha$  and MX2 expression were ablated using CRISPR–Cas9 genome editing (Fig. 2b and Supplementary Figs. 7 and 8): luciferase and CD8 served as negative controls for not affecting infection (approximately fivefold suppression of infection in the presence of IFN- $\alpha$ ), whereas a previously defined TRIM– cyclophilin (TRIMCYP) fusion protein and MX2 were positive controls<sup>6,7,10</sup> whose inhibitory phenotypes do not depend on IFN- $\alpha$ (Fig. 2b). Consistent with our findings with the endogenous gene, ectopically expressed human TRIM5 $\alpha$  inhibited HIV-1 infection very effectively when IFN- $\alpha$  was added (total suppression of ~14fold). Removing the SPRY domain abolished this effect (approximately fivefold suppression), whereas including mutations (R332G and R335G) that have previously been shown to confer anti-HIV-1 activity<sup>15,16</sup> still did so to a minor extent and without compromising activation by IFN- $\alpha$  (~14-fold total suppression).

TRIM5 $\alpha$  is an E3 ubiquitin (Ub) ligase that is polyubiquitinated by cellular E2 Ub-conjugating enzymes as a prerequisite for suppressing reverse transcription and infection<sup>17-19</sup>. Consistent with previous results<sup>20</sup>, the integrity of TRIM5α's ligase domain was confirmed as being necessary for viral inhibition through its inactivation by the H43Y mutation (Fig. 2b). Next, to determine the effects of IFN-α on TRIM5α ubiquitination and ensuing proteasome-mediated degradation, cells were cotransfected with vectors expressing FLAG-tagged TRIM5a and haemagglutinin (HA)-tagged Ub, and whole-cell lysates or immunoprecipitated FLAG-tagged proteins were analysed by immunoblotting (Fig. 3a). IFN- $\alpha$  did not substantially affect global levels of protein ubiquitination (lanes 1-8), but did induce a marked decrease in the level of ubiquitinated TRIM5 $\alpha$ (lanes 10 and 14). This decrease was reversed by addition of the broadly acting proteasome inhibitor MG132 or the immunoproteasome-specific inhibitor ONX-0914 (lanes 15 and 16, respectively).

Confirmation that IFN- $\alpha$  specifically promotes the proteolytic turnover of TRIM5 $\alpha$  was obtained in three ways. First, analysis of cells co-expressing FLAG-tagged TRIM5 $\alpha$  and FLAG-tagged GFP as a control showed that IFN- $\alpha$  induced the marked loss of TRIM5 $\alpha$ , and that this was blocked by MG132 or ONX-0914 (Fig. 3b). Second, levels of endogenous TRIM5 $\alpha$  in IFN- $\alpha$ -treated cells were increased by adding MG132 or ONX-0914 (Fig. 3c; of note, because TRIM5 $\alpha$  is an ISG itself, its levels were modestly increased

by IFN- $\alpha$  addition compared with untreated cells<sup>21</sup>, despite its accelerated turnover). Third, metabolic-labelling and pulse-chase analysis of FLAG-TRIM5 $\alpha$  in transfected cells demonstrated a shortening in initial half-life from ~100 to ~70 min (Supplementary Fig. 9). As expected for proteasome-mediated degradation<sup>22</sup>, blocking the formation of lysine-48-linked polyubiquitin chains by co-expression of the K48R Ub mutant inhibited IFN- $\alpha$ -induced TRIM5 $\alpha$  proteolysis (Supplementary Fig. 10).

The importance of proteasomal activity for IFN- $\alpha$ -mediated suppression was confirmed in viral challenge experiments, where both inhibitors substantially reversed the inhibitory influence of IFN- $\alpha$ (approximately threefold rescue; Fig. 3d and Supplementary Fig. 11). This effect was shown to be dependent on TRIM5 $\alpha$  function because, in the presence of IFN- $\alpha$ , HIV-1 infection of cells lacking endogenous TRIM5 $\alpha$  was not improved by MG132 or ONX-0914 (Fig. 3e and Supplementary Fig. 12).

The immunoproteasome is predominantly expressed in cells of haematopoietic origin in response to inflammatory cytokines such as IFN, and differs from the constitutive proteasome with respect to the identity of its three proteolytic  $\beta$ -subunits and dependence on the PA28 regulatory complex<sup>11,12,23</sup> (Fig. 4a). Its functional divergence from the constitutive proteasome is incompletely understood, although roles in major histocompatibility complex class I antigen processing, T cell differentiation and cytokine modulation have been described<sup>24,25</sup>. In light of the ability of ONX-0914, a selective inhibitor of  $\beta$ 5i/PSMB8, to block IFN- $\alpha$ -induced TRIM5 $\alpha$  function (Fig. 3e), the expression patterns of the immunoproteasome  $\beta$ -subunits and the PA28A/PA28B subunits of the PA28 regulatory complex were analysed by immunoblotting (Fig. 4b). With some variation, all five proteins accumulated to higher levels in the presence of IFN- $\alpha$ .

These five immunoproteasome components, as well as TRIM5 $\alpha$ , were then individually silenced using siRNA (Fig. 4b), and the effects on IFN- $\alpha$ -induced viral suppression determined (Fig. 4c and Supplementary Fig. 13). All knockdowns conferred a degree of relief from inhibition by IFN- $\alpha$ , with PA28A silencing being the most effective to the extent that the magnitude of rescue matched that observed with silencing of TRIM5 $\alpha$  itself. Finally, the functional interdependence of the IFN- $\alpha$ -activated anti-HIV-1 phenotypes of

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**Fig. 3 | HIV-1 restriction by human TRIM5** $\alpha$  **requires a functional proteasome system. a**, U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells were cotransfected with a vector expressing HA-Ub<sup>37</sup> and pFLAG-TRIM5 $\alpha$  or a control and treated with or without 500 U ml<sup>-1</sup> IFN- $\alpha$  for 24 h in the presence of DMSO (negative control), the proteasome inhibitor MG132 (0.2 µM) or the immunoproteasome inhibitor ONX-0914 (1µM). Whole-cell lysates and immunoprecipitated TRIM5 $\alpha$  were analysed by immunoblotting using an anti-HA (Ub) antibody. One representative immunoblot from three independent experiments is shown. **b**, U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells were cotransfected with pFLAG-TRIM5 $\alpha$  and pFLAG-GFP. At 24h after transfection, cells were treated with or without 500 U ml<sup>-1</sup> IFN- $\alpha$  for 24h in the presence of DMSO (*n*=6), MG132 (*n*=6) or ONX-0914 (*n*=5), lysed and analysed by immunoblotting using antibodies specific for TRIM5 $\alpha$  or FLAG.  $\alpha$ -Tubulin served as a loading control. The bar graphs represent the relative band intensities for each protein normalized to  $\alpha$ -tubulin. **c**, U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells were cultured with or without 500 U ml<sup>-1</sup> IFN- $\alpha$ , then with DMSO (*n*=8), MG132 (*n*=4 without IFN- $\alpha$  and *n*=8 with IFN- $\alpha$ ) or ONX-0914 (*n*=4 without IFN- $\alpha$  and *n*=7 with IFN- $\alpha$ ) for 24 h, and endogenous TRIM5 $\alpha$  levels were determined by immunoblotting.  $\alpha$ -Tubulin served as a loading control. The bar graph represents the relative band intensities for TRIM5 $\alpha$  normalized to  $\alpha$ -tubulin. **d**, NL4-3/Nef-IRES-GFP infectivity and IFN- $\alpha$  induced inhibition at 48 h postinfection in U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells with or without added 500 U ml<sup>-1</sup> IFN- $\alpha$  for 24 h before infection in the presence of DMSO, MG132 or ONX-0914 (*n*=4). **e**, NL4-3/Nef-IRES-GFP infectivity and IFN- $\alpha$  induced inhibition at 48 h postinfection in U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells with or without added 500 U ml<sup>-1</sup> IFN- $\alpha$  for 24 h before infection in the presence of DMSO, MG132 or ONX-0914 (*n*=4). **e**, NL4-3/Nef-IRES-GFP infectivity and IFN- $\alpha$  induced inhibitio

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**Fig. 4 | HIV-1 restriction by human TRIM5** $\alpha$  is regulated by the immunoproteasome. **a**, On IFN- $\alpha$  stimulation, the catalytic subunits ( $\beta$ 1,  $\beta$ 2 and  $\beta$ 3) of the constitutive proteasome are replaced by inducible catalytic subunits ( $\beta$ 1i,  $\beta$ 2i and  $\beta$ 5i), and expression of the proteasome activator PA28 subunits A and B is induced. **b**, Protein levels of immunoproteasome components and PA28 subunits in U87-MG CD4+ CXCR4+ cells after siRNA transfection with or without 500 U ml<sup>-1</sup> IFN- $\alpha$  were determined by immunoblotting.  $\alpha$ -Tubulin served as a loading control. Immunoblot analysis of the panel was performed once in entirety. **c**, NL4-3/Nef-IRES-GFP infectivity and IFN- $\alpha$ -induced inhibition at 48 h postinfection in U87-MG CD4+ CXCR4+ cells after siRNA-mediated silencing of the indicated genes with or without addition of 500 U ml<sup>-1</sup> IFN- $\alpha$  24 h before infection (n=3). **d**, NL4-3/Nef-IRES-GFP infectivity and IFN- $\alpha$ -induced silencing of PA28A or TRIM5 $\alpha$  in U87-MG CD4+ CXCR4+ cells expressing an unrelated guide RNA (CRISPR control) or specific guide targeting TRIM5 $\alpha$  (CRISPR TRIM5 $\alpha$ ). A total of 500 U ml<sup>-1</sup> IFN- $\alpha$  was added for 24 h before infection (n=9). Numbers represent changes in the folds of inhibition. Data are represented as the mean  $\pm$  s.d. *P* values (95% confidence interval) were determined using two-sided unpaired *t*-tests.

human TRIM5 $\alpha$  and the immunoproteasome was established by the substantial diminution in the level of rescue from IFN- $\alpha$  inhibition that was observed after PA28A silencing in cells that lacked TRIM5 $\alpha$  (Fig. 4d and Supplementary Fig. 14).

TRIM5 $\alpha$  restriction has been widely perceived to be important for preventing zoonotic retroviral infections, whereas being ineffective in controlling viruses in their natural hosts<sup>2,9</sup>. Although there have been sporadic reports of human TRIM5 $\alpha$  affecting HIV-1 infection either by suppression of certain HLA-associated CTL escape mutant viruses<sup>26</sup> or by inducing autophagy in Langerhans cells<sup>27</sup>, our findings demonstrate broad, nonstrain-specific inhibition of HIV-1 infection by human TRIM5 $\alpha$ . Importantly, we have shown that TRIM5 $\alpha$  function is operative in CD4<sup>+</sup> T cells and is dependent on IFN- $\alpha$  and activation of the immunoproteasome. Given that IFN levels are elevated during the acute and chronic phases of natural HIV-1 infection<sup>4</sup>, we surmise that TRIM5 $\alpha$  contributes to the immune control of HIV-1 in infected humans, a conclusion consistent with noted associations between favourable

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clinical outcomes and elevated TRIM5 $\alpha$  expression<sup>28</sup> or specific *TRIM5\alpha* alleles<sup>29,30</sup>. Finally, these results further indicate that the functionality of a specific protein can be fine-tuned by the proteasomal landscape of a cell.

### Methods

HIV-1 molecular clones and retroviral vectors. The NL4-3/Nef-IRES-Renilla and NL4-3/Nef-IRES-GFP reporter viruses, the HIV-1-based lentiviral vectors containing  $WT_{CA}$  or CA from SIV<sub>MAC</sub> (SIV<sub>CA</sub>), and the infectious provirus molecular clones HIV-1 NL4.3, THRO, WITO, CH106.c, REJO.c, SUMA, pAD17, WARO, R66201 and IDU034.2 have been described previously.<sup>31-35</sup>

Cells. U87-MG CD4+ CXCR4+ cells, which stably express the CD4 and CXCR4 receptors, have been described6, and 293T cells were obtained from the American Type Culture Collection. All cell lines were maintained in complete DMEM-GlutaMAX (Gibco) supplemented with 10% foetal bovine serum (FBS; Gibco), 100 U ml-1 penicillin and 100 g ml-1 streptomycin. Human primary CD4+ T cells were isolated from peripheral blood mononuclear cells of healthy donors (approved by the Guy's Research Ethics Committee, Ref 03/02/06) obtained by density gradient centrifugation through LymphoPrep (Axis-Shield) and isolated by negative selection using the CD4+ T Cell Isolation Kit (Miltenvi Biotec) according to the manufacturer's instructions. Cells were activated using Dynabeads Human T-Activator CD3/CD28 (Thermo Fisher) and 50 U ml-1 recombinant interleukin-2 (rIL-2) (Roche) for 48h in RPMI 1640-GlutaMAX medium containing 10% heatinactivated autologous serum, 100 U ml<sup>-1</sup> penicillin and 100 U ml<sup>-1</sup> streptomycin. Cells were maintained after activation in medium containing 30 U ml-1 IL-2. Cells were treated with IFN-α-2b (INTRON A; Merck, Sharpe & Dohme Corp.) for 24h before infection. U87-MG CD4+ CXCR4+ cells were treated with dimethylsulfoxide (DMSO; Sigma), the proteasome inhibitor MG132 (0.2 µM; ab141003; Abcam) or the immunoproteasome inhibitor ONX-0914 (1µM; A4011; ApexBio).

siRNA library screen. To identify ISGs that modulate HIV-1 infection, we performed an ISGs siRNA screen using a custom siRNA library (Dharmacon) containing 600 siRNA targets (Supplementary Table 1). U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells were plated at 1.2×10<sup>4</sup> per well in a 96-well plate and were reverse transfected with siRNA (10 nM). Twenty-four hours later, cells were treated with or without IFN- $\alpha$  (500 U ml<sup>-1</sup>), and after 12-h incubation, a second siRNA transfection was performed. At 24h after IFN- $\alpha$  treatment, cells were challenged with NL4-3/Nef-IRES-Renilla (15 ng p24<sup>Grg</sup>), and infectivity was determined 48h later by measuring Renilla activity.

**Plasmids**. pEasiLV plasmids expressing luciferase, CD8, MX2 or TRIMCYP have been described previously<sup>6</sup>. cDNAs encoding TRIM5α and TRIM5α isoform X7 lacking the SPRY domain were amplified using the SuperScript III One-Step RT–PCR System with Platinum Taq polymerase (Invitrogen) from 20 ng RNA obtained from IFN-α-treated (500 U ml<sup>-1</sup>) U87-MG CD4+ CXCR4+ cells and inserted into pEasiLV-MCS<sup>6</sup> between the AgeI and XhoI restriction sites. The TRIM5α R332G/R335G and H43Y mutants were obtained by site-directed mutagenesis (SDM). CRISPR-resistant TRIM5α constructs (rTRIM5α) were produced by SDM using primers designed to silently mutate the guide RNA target sequence. pFLAG-TRIM5α was generated by subcloning a cDNA encoding TRIM5α with an amino-terminal FLAG tag into pCAGGS (Addgene) using the EcoRI and XhoI sites. pCAGGS-expressing FLAG-tagged GFP, pFLAG-GFP, was derived from a plasmid encoding HA-tagged GFP<sup>36</sup>. The vectors encoding HA-tagged Ub and the K48R and K63R mutant derivatives have been described previously.<sup>37,38</sup>

**Knockout cells.** To generate CRISPR–Cas9 cells, we cloned sequences encoding specific guide RNAs targeting TRIM5 $\alpha$ , MX2 or red fluorescent protein (RFP), as a control, into BsmBI-linearized lentiviral vector pLentiCRISPRv2<sup>39,40</sup> using the oligonucleotides (forward and reverse) 5'-caccgCCTCCTCTTTACATTAACC-3' and 5'-aaacGGTTAATGTAAAGGAGGAGGc-3' for TRIM5 $\alpha$ , 5'-caccgGACAACCAGCCCCGAGACAT-3'

and 5'-aaacATGTCTCGGGGGCTGGTTGTCc-3' for MX2

or 5'-caccgCTCAGTTCCAGTACGGCTCCA-3' and

5'-aaacTGGAGCCGTACTGGAACTGAGc-3' for RFP. Vectors expressing the indicated guide RNAs were produced by cotransfection of 293T cells with pLentiCRISPRv2, p8.91 and vesicular stomatitis virus G protein (VSV-G) vectors at a ratio of 1.5:1.5:0.375, respectively. U87-MG CD4+ CXCR4+ cells were transduced with the TRIM5α targeting or RFP control lentiviral vectors and selected using 1 µg ml<sup>-1</sup> puromycin for 3 days. Single-cell clones (TRIM5α\_1 and TRIM5α\_2) were derived from the bulk (TRIM5α) population by single-cell sorting in 96-well plates and assayed for the loss of TRIM5α by immunoblotting. TRIM5α gene disruption was validated by PCR amplification and sequencing of the targeted genomic region. To generate TRIM5α/MX2 double-knockout cells, we further transduced the TRIM5α\_1 line (Fig. 1d) with a high concentration of the MX2 targeting vector, and the loss of MX2 expression in the bulk population was confirmed by immunoblotting. Viral production. All viruses were generated by transfection of 10-cm 293T cultures using TransIT-2020 transfection reagent (Mirus); the medium was changed after 6 h, and virus-containing medium was filtered and collected 48 h after transfection. NL4-3/Nef-IRES-GFP and NL4-3/Nef-IRES-Renilla viral particles were produced by transfection of 10 µg of proviral plasmid. For analysis of HIV-1 reverse transcription products and shRNA-mediated TRIM5α silencing, virus-containing supernatants were DNase (RQ1 RNase free DNase; Promega) treated for 1 h at 37 °C, and viruses were purified through a 20% (weight/volume) sucrose cushion at 145,370g for 75 min at 4 °C, resuspended in PBS and stored in aliquots at -80 °C. Lentiviral vectors stocks were produced by cotransfection of 293T cells with plasmids encoding Gag-Pol (p8.91 or p8.91-SIV<sub>CA</sub>), a mini viral genome bearing a cytomegalovirus (CMV)-immediate early GFP expression cassette (pRRL.sin.cPPT.CMV/eGFP), and the VSV-G envelope (pMD.G), at a ratio of 4:4:2, respectively3. To generate GFP-encoding derivatives of full-length molecular clones NL4.3, THRO, WITO, CH106.c, REJO.c, SUMA, pAD17, WARO, R66201 and IDU034.2, we cotransfected provirus plasmids with the GFP reporter vector pCSGW and VSV-G at a ratio of 4.5:3:3, respectively<sup>41</sup>. Virus titres were determined by challenging U87-MG CD4+ CXCR4+ cells with different viral dilutions and by quantifying the number of GFP+ cells after 48 h by flow cytometry (FACSCalibur; BD Biosciences; a typical example is displayed in Supplementary Fig. 15), and p24Gag content was quantified using an HIV-1 p24 ELISA detection kit (Perkin-Elmer). To produce EasiLV particles, we cotransfected 293T cells with pEasiLV, p8.91, pptTRKrab and VSV-G at a ratio of 1:1:0.5:0.25, respectively<sup>6</sup>. At 48 h after transfection, viral particles were harvested, filtered and used directly to transduce U87-MG CD4+ CXCR4+ cells. Six hours after transduction, the medium was replaced with fresh medium containing 0.5 µg ml-1 doxycycline (Sigma) to induce transgene expression. At 72 h after transduction, the percentage of E2-Crimson-positive cells was scored (typically > 80%) by flow cytometry.

shRNA-mediated TRIM5 silencing. TRIM5 silencing in primary human CD4+ T cells was achieved using a modified version of the HIV-1-based lentiviral vector pHRSIREN-S-SBP-ALNGFR-W<sup>42</sup>, where the selectable marker for antibody-free magnetic cell sorting sequence (SBP- $\Delta$ LNGFR) was replaced by the E2-Crimson fluorescent reporter gene for flow cytometry analysis, generating the pHRSIREN-S-E2-W vector. The shRNA targeting sequence for TRIM5a43 (shTRIM5) was 5'-TGGCTTCTGGAATCCTGGTTAA-3', and the scrambled shRNA sequence42 (shControl) used as negative control was 5'-GTTATAGGCTCGCAAAAGG-3'. Lentiviral vectors were produced by cotransfection of 293T cells with p8.91, VSV-G and the pHRSIREN-S-E2-W vectors at a ratio of 1:0.5:1.5, respectively. Lentiviral particles were concentrated by ultracentrifugation before use for transduction of primary human CD4+ T cells at 2,000g for 2h. At 48 h after transduction, E2-crimson-positive cells were sorted by flow cytometry (typically > 90%) and cultured in fresh medium. When indicated,  $2.5 \times 10^4$  cells per well in 96-well plates were treated with IFN- $\alpha$  (2,000 U ml<sup>-1</sup>) for 24 h before infection with NL4-3/Nef-IRES-Renilla (30 ng p24Gag) at 2,000g for 2 h, and infectivity was measured 48 h after infection by measuring Renilla activity.

**Quantification of mRNA expression.** A total of 10<sup>6</sup> activated primary human CD4<sup>+</sup> T cells were collected with or without 24-h treatment with IFN-α, and total RNA was extracted using the RNeasy Mini Kit (Qiagen). cDNA was generated using 500 ng RNA and the High-Capacity cDNA Reverse Transcription Kit (Thermo Fisher), and qPCR of selected genes was performed using the following TaqMan gene expression assays (Thermo Fisher): TRIM5α (Hs01552559\_m1), MX2 (Hs01550808\_m1) and GAPDH (Hs99999905\_m1). Expression levels of target genes were normalized to GAPDH.

Measurement of HIV-1 reverse transcription products. A total of 105 U87-MG CD4+ CXCR4+ were reverse transfected with 10 nM of a SMARTpool siRNA targeting human TRIM5α or a nontargeting siRNA (Dharmacon). Cells were treated with or without 500 U ml-1 IFN-a and transfected again 12 h after treatment. At 24 h after IFN-α treatment, cells were challenged with NL4-3/Nef-IRES-GFP (20 ng p24<sup>Gag</sup>) for 2 and 48 h after infection; cells were collected, and total DNA extraction was performed using the DNeasy kit (Qiagen). Strong-stop cDNA products were detected using primers that amplify the regions between nucleotides 500 and 635 of the provirus: oHC64 (5'-TAACTAGGGAACCCACTGC-3') and oHC65 (5'-GCTAGAGATTTTCCACACTG-3') with probe oHC66 (5'-FAM-ACACAACAGACGGGCACACACTA-TAMRA-3'), where FAM is 6-carboxyfluorescein and TAMRA is 6-carboxytetramethylrhodamine. qPCR reactions were performed in triplicate using TaqMan Universal PCR master mix, 900 nM of each primer and 250 nM of probe. After 10 min at 95 °C, reactions were cycled through 15 s at 95 °C, followed by 1 min at 60 °C for 40 repeats, carried out using the QuantStudio 5 Real-Time PCR System (Applied Biosystems). NL4-3/ Nef-IRES-GFP plasmid was diluted in salmon sperm DNA solution (20 ng ml-1) to create dilution standards to calculate relative cDNA copy numbers and confirm the linearity of the assays.

siRNA-mediated knockdown. U87-MG CD4+ CXCR4+ cells were plated at  $1.2\times10^4$  per well in a 96-well plate and were reverse transfected with 10 nM

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SMARTpool siRNAs (Dharmacon) targeting TRIM5 $\alpha$  (M-007100-00-0005), PSMB8 (M-006022-01-0005), PSMB9 (M-006023-02-0005), PSMB10 (M-006019-01-0005), PA28A (M-012254-00-0005), PA28B (M-011370-00-0005) or individual siRNAs targeting TRIM5 $\alpha$  (D-007100-01-0002, D-007100-02-0002, D-007100-03-0002, D-007100-04-0002). A nontargeting siRNA (D-001210-03-05) was used as a negative control. At 24h after the first transfection, cells were treated with or without IFN- $\alpha$  (500 U ml<sup>-1</sup>), and a second siRNA transfection was performed 12h after treatment. At 24h after IFN- $\alpha$  treatment, cells were challenged with the indicated viruses, and infectivity was determined 48h later. To confirm knockdown efficiency, cell lysates were harvested 24h after IFN- $\alpha$  treatment and were subjected to SDS-PAGE and immunoblot assays.

**Immunoblotting.** Cells were washed with PBS, lysed in sample buffer, boiled for 3 min, resolved by SDS–PAGE and analysed by immunoblotting using primary antibodies specific for human MX2 (sc-47197 (N-17); Santa Cruz Biotechnology), human TRIM5 $\alpha$  (ab4389; Abcam), human PSMB8 (ab3329; Abcam), human PSMB9 (ab3328; Abcam), human PSMB10 (ab77735; Abcam), human PA28A (ab155091; Abcam), human PA28B (ab183727; Abcam), FLAG (horseradish peroxidase (HRP)-conjugated M2; Sigma), HA (HRP-conjugated 3F10; Sigma) or human  $\alpha$ -tubulin (DM1A; Sigma), and detected using either HRP-conjugated secondary antibodies and chemiluminescence (ECL<sup>+</sup> western blotting substrate; Pierce) or IRDye-800CW-labelled secondary antibodies and the LI-COR infrared imaging technology (LI-COR UK Ltd.).

Immunoprecipitation. A total of  $3 \times 10^6$  U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells were seeded in 10-cm tissue culture plates and transfected with the indicated plasmids expressing FLAG-TRIM5 $\alpha$  and/or HA-Ub at a ratio of 1:0.5, using TransIT-2020 transfection reagent according to the manufacturer's instructions. After 24 h, indicated cells were treated with fresh medium containing or not IFN- $\alpha$  (500 U ml<sup>-1</sup>) and DMSO, MG132 (0.2  $\mu$ M) or ONX-0914 (1  $\mu$ M). At 24h after treatment, cells were washed twice with PBS, harvested with lysis buffer (1× PBS, 0.5% Triton X-100 and protease inhibitor cocktail; Roche) and disrupted by brief sonication. Lysates were cleared by centrifugation at 10,000g for 10 min at 4 °C, FLAG-TRIM5 $\alpha$  was immunoprecipitated using anti-FLAG M2 magnetic beads (M8823-5ML; Sigma) for 2 h at 4°C, and the beads were washed a further four times in lysis buffer before adding sample buffer (200 mM Tris-HCl, pH 6.8, 5.2% SDS, 20% glycerol, 0.1% bromophenol blue, 5%  $\beta$ -mercaptoethanol). HA-tagged proteins (ubiquitinated proteins) were resolved by SDS–PAGE and detected by immunoblotting using HRP-conjugated anti-HA antibodies.

S<sup>35</sup> pulse-chase labelling and radio-immunoprecipitation. U87-MG CD4<sup>+</sup> CXCR4<sup>+</sup> cells were seeded at 2 × 10<sup>5</sup> per well in six-well plates and transfected with pFLAG-TRIM5 $\alpha$  using TransIT-2020 transfection reagent according to the manufacturer's instructions. After 24 h, indicated cells were treated with fresh medium containing or not IFN- $\alpha$  (500 U ml<sup>-1</sup>). Twenty-four hours later, cells were washed twice with PBS and incubated for 20 min in cysteine-methioninedepleted DMEM (Gibco) at 37 °C. The medium was replaced by depletion medium containing 0.25 mCi ml<sup>-1</sup> S<sup>35</sup>-labelled cysteine-methionine, and the cells maintained for 10 min at 37 °C. Cells were then washed twice with PBS and incubated in DMEM. The cells were harvested at various times with lysis buffer (1× PBS, 0.5% Triton X-100 and protease inhibitor cocktail), incubated on ice for 10 min and clarified by centrifugation at 10,000g for 10 min at 4°C. FLAG-TRIM5 $\alpha$  was immunoprecipitated using anti-FLAG M2 magnetic beads, resolved by SDS-PAGE and exposed on a phosphor image screen over several days before development on a Typhoon Trio PhosphorImager (GE Healthcare).

**Statistical analysis.** Results in bar charts are expressed as means  $\pm$  s.d. for experimental replicates in each case. Differences between the experimental groups were evaluated, where indicated, by paired or unpaired two-tailed *t*-tests.

**Reporting Summary.** Further information on research design is available in the Nature Research Reporting Summary linked to this article.

### Data availability

The data that support the findings of this study are available from the corresponding author upon request.

Received: 26 June 2018; Accepted: 5 February 2019; Published online: 18 March 2019

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### Acknowledgements

We thank D. Pollpeter, M. Dicks, S. Papaioannou, C. Wells and S. Wolinsky for the generous provision of reagents and helpful discussions. The work was supported by the UK Medical Research Council (grant no. G1000196), the Wellcome Trust (grant no. 106223/Z/14/Z), and the Department of Health via a National Institute for Health

Research comprehensive Biomedical Research Centre award to Guy's and St. Thomas' NHS Foundation Trust in partnership with King's College London and King's College Hospital NHS Foundation Trust. J.M.J.-G. is a Long-Term Fellow of the European Molecular Biology Organization (EMBO) (ALTF 663-2016).

### Author contributions

J.M.J.-G., L.A., and M.H.M. conceived the siRNA screen. J.M.J.-G. and M.H.M. designed the study and wrote the manuscript with input from all co-authors. J.M.J.-G. carried out the experiments and analysed the data. L.A. and G.B. contributed to the execution of experiments and provided reagents. M.H.M. supervised all aspects of the project.

### Competing interests

The authors declare no competing interests.

### Additional information

Supplementary information is available for this paper at https://doi.org/10.1038/ s41564-019-0402-0.

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$\boxtimes$	A description of all covariates tested
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$\boxtimes$	For hierarchical and complex designs, identification of the appropriate level for tests and full reporting of outcomes
$\boxtimes$	Estimates of effect sizes (e.g. Cohen's <i>d</i> , Pearson's <i>r</i> ), indicating how they were calculated
	Clearly defined error bars State explicitly what error bars represent (e.g. SD, SE, CI)
	Our web collection on statistics for biologists may be useful.

### Software and code

Policy information about availability of computer code

Data collection	N/A
Data analysis	GraphPad Prism 6.0 was used to represent data and for statistical analysis. FlowJo 7.6 was used to analyze flow cytometry data. ImageJ32 was used for quantification of western blots.

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The datasets supporting the findings of this study are available from the corresponding author on reasonable request

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## Life sciences study design

All studies must disclose on these points even when the disclosure is negative.

Sample size	Sample size were chosen to provide a minimum of n=3 and a maximum of n=9 to generate a convincing and reliable result. Exception was the siRNA-based library screening containing 600 siRNAs and the S35 pulse chase labelling . In the siRNA-based library screening we show one experiment and the effect of the most relevant gene (TRIM5a) was confirmed in different experiments described in the paper using different approaches. With the S35 pulse chase labelling, two independent experiments were performed obtaining similar results.
Data exclusions	No data sets were excluded.
Replication	The majority of experiments were repeated at least three times to ensure reproducibility. All attempts at replication were successful.
Randomization	Not relevant to this study. Samples and corresponding controls were always processed at the same time.
Blinding	No blinding.

Ecological, evolutionary & environmental sciences

## Reporting for specific materials, systems and methods

Methods

### Materials & experimental systems

n/a Involved in the study	n/a Involved in the study
Unique biological materials	ChIP-seq
Antibodies	Flow cytometry
Eukaryotic cell lines	MRI-based neuroimaging
Palaeontology	1
Animals and other organisms	
Human research participants	

### Unique biological materials

Policy information about <u>availability of materials</u>		
Obtaining unique materials	No restrictions on availability of reagents.	

### Antibodies

Antibodies used	Information about antibodies can be found in the methods section.
Validation	All antibodies were validated by manufacturers and in previous publications.

### Eukaryotic cell lines

Policy information about <u>cell lines</u>	
Cell line source(s)	293T from ATCC and U87-MG CD4+ CXCR4+ cells have been described in method section.
Authentication	No authentication.
Mycoplasma contamination	All cell lines were tested and judge free of mycoplasma contamination using a commercial kit.

N/A

### Human research participants

Policy information about studies involving human research participants		
Population characteristics	Human participants were 5 healthy donors.	
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### Flow Cytometry

### Plots

Confirm that:

The axis labels state the marker and fluorochrome used (e.g. CD4-FITC).

The axis scales are clearly visible. Include numbers along axes only for bottom left plot of group (a 'group' is an analysis of identical markers).

 $\bigotimes$  All plots are contour plots with outliers or pseudocolor plots.

A numerical value for number of cells or percentage (with statistics) is provided.

### Methodology

Sample preparation	Cell cultures were treated as indicated and harvested by trypsinization. Afterwards, cells were fixed with 2% formaldehyde for 20 minutes and and washed in 1x PBS.
Instrument	Flow cytometry was performed using a BD Biosciences FACS Canto II Flow Cytometry System.
Software	All data was analyzed using the FlowJo software.
Cell population abundance	For experiments using primary human CD4+ T cells, more than 200000 cells were sorted. For infection experiments using cell lines 10,000 events were analysed.
Gating strategy	Using the FSC/SSC gating, debris was removed by gating on the main cell population. GFP or E2 crimson positive cells were defined on the basis of uninfected or untransduced cells.

Tick this box to confirm that a figure exemplifying the gating strategy is provided in the Supplementary Information.