



## Pressure-induced structural transformations of the Zintl phase sodium silicide

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### ABSTRACT

The high-pressure behaviour of NaSi has been studied using Raman spectroscopy and angle-dispersive synchrotron X-ray diffraction to observe the onset of structural phase transformations and potential oligomerisation into anionic Si nanoclusters with extended dimensionality. Our studies reveal a first structural transformation occurring at 8–10 GPa, followed by irreversible amorphisation above 15 GPa, suggesting the formation of Si–Si bonds with oxidation of the Si<sup>−</sup> species and reduction of Na<sup>+</sup> to metallic sodium. We have combined our experimental studies with DFT calculations to assist in the analysis of the structural behaviour of NaSi at high pressure.

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### 1. Introduction

Alkali and alkaline earth silicides and germanides belong to the family of Zintl phases, in which polyanionic species, formed of the tetrelide atoms counterbalance the electropositive metal cations [1–3]. These compounds are interesting because the Si and Ge atoms occur with negative oxidation states and low coordination numbers, and they form unusual low-dimensional structures such as sheets, chains or isolated polyhedra. They are also important precursors for the synthesis of bulk amorphous semiconductors, including Si nanoparticles prepared by solution-phase routes or in the solid state [4], and also the expanded framework solids known as semiconductor clathrates that are isotopic with ice clathrate hydrates [5–7]. There are two main features of interest for high-pressure studies of Zintl phases containing anionic Si<sub>n</sub><sup>−</sup> units. First, the application of high pressure might cause a change of connectivity via redistribution of the Si–Si bonds within the anionic framework. Second, Si<sup>−</sup> oxidation reactions might occur resulting in additional Si–Si bond formation with corresponding reduction of Na<sup>+</sup> and elimination of the elemental sodium (Na<sup>0</sup>). Either of these outcomes would

result in a new family of methods for producing Si<sub>n</sub> clusters and nanoparticles with unusual coordination states, polymerisation and electronic properties, via solid state processing at low temperatures.

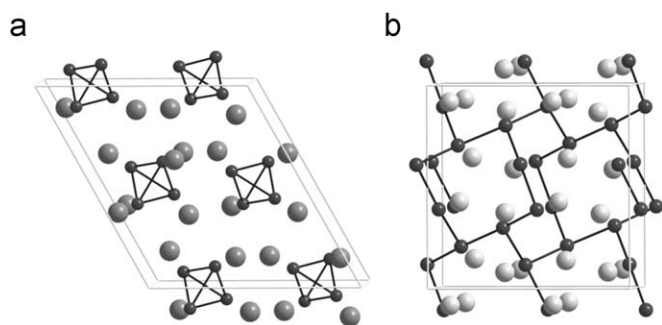
The alkali metal monosilicides and monogermanides (MSi, MGe) structures have been described previously [8–10]. The larger alkali silicides (KSi, RbSi, CsSi) exhibit a cubic arrangement of [Si<sub>4</sub>]<sup>4−</sup> tetrahedra and alkali metal cations, with space group *P*-43*n*. Sodium silicide (NaSi) crystallises with a monoclinic structure (space group *C*2/*c*) containing isolated [Si<sub>4</sub>]<sup>4−</sup> tetrahedra surrounded by Na<sup>+</sup> ions (Fig. 1) [8,9]. The [Si<sub>4</sub>]<sup>4−</sup> anions present in the structure are analogous to the P<sub>4</sub> groups found in white phosphorus, reflecting the fact that Si<sup>−</sup> is isoelectronic with P. By contrast, lithium silicide (LiSi) has a different structure to the other alkali metal silicides, in that the pyramidal 3-coordinated Si atoms are connected to provide alternating zig-zag chains, analogous to one of the black, high-pressure structures of phosphorus (Fig. 1).

Earlier studies aimed to prepare new alkali metal silicide or germanide compounds synthesised at high-pressure and high-temperature conditions followed by quenching to ambient conditions for analysis of the structures [11–16]. However, no high-density structural modifications have been reported for NaSi so far. Here we describe the room temperature compression of NaSi and the recovery of the resulting amorphous/nanocrystalline materials to ambient conditions.

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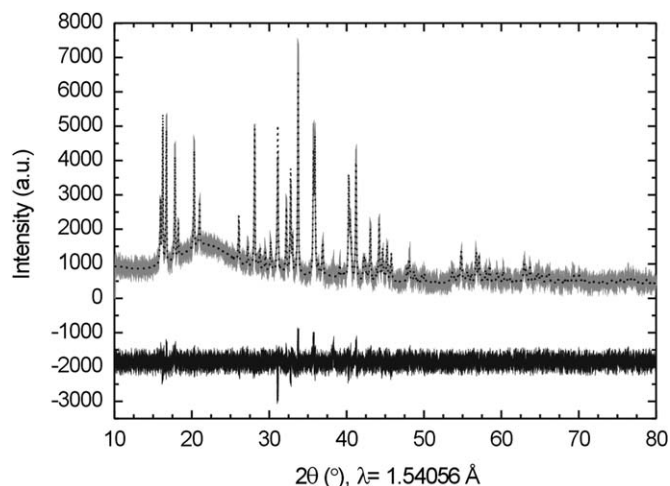
**Fig. 1.** (a) Representation of the crystal structure of NaSi at ambient pressure. NaSi is isoelectronic with white phosphorus, containing  $[\text{Si}_4^-]$  ions arranged in isolated distorted tetrahedra. (b) The crystal structure of LiSi at ambient pressure, related to black phosphorus *Cmca* structure, is formed by three-fold coordinated  $\text{Si}^-$  anions arranged along chains, forming eight-membered rings.

## 2. Experimental

NaSi is highly reactive to moisture, and therefore all syntheses and DAC loading experiments were carried out in either a Schlenk vacuum line or a glove box with  $\text{H}_2\text{O}/\text{O}_2$  content typically of less than 1 ppm. For NaSi syntheses from sodium and silicon, an excess of Na metal of about 6 at% comparing to the equiatomic composition of the final NaSi product was added to counteract loss due to evaporation and reaction with the inner surface of the reaction vessel. The elements were placed together in a Ni crucible and placed inside a steel autoclave fitted with a screw top and annealed Cu gasket [17]. The sealed autoclave was placed inside a furnace for 30–40 h at 650 °C. After the reaction was complete, the autoclave was cooled to room temperature and opened inside a dry-box. The sample was crushed in a mortar and placed in into a Pyrex sublimation tube equipped with a Young's valve. The sublimation tube was then attached to a vacuum system and evacuated using a turbomolecular pump. The sample was then heated to 240 °C for 15–20 h to remove the excess of Na from the sample. The purity of the sample was checked by X-ray diffraction using a Stoe diffractometer ( $\text{CuK}\alpha_1$  radiation). The diffraction patterns indicated that complete reaction to form NaSi had occurred [9] (Fig. 2).

NaSi samples were loaded into the DAC in a glove box, prior to the high-pressure experiments. The diamond culet faces were typically 300  $\mu\text{m}$  in diameter, and 80- $\mu\text{m}$ -diameter holes were drilled in pre-indented rhenium gaskets (30–50  $\mu\text{m}$  indent thickness) to contain the sample. Raman experiments were carried out using a mechanically driven opposed 4-screw symmetric diamond anvil cell [18]. Synchrotron X-ray diffraction studies were carried out using a  $\text{N}_2$ -driven membrane cell from Diacell (now EasyLab). No pressure-transmitting medium was added to avoid potential reactions with the sample. Ruby chips were added for pressure measurement by the ruby fluorescence method [19]. The narrow width of the ruby fluorescence peaks indicated approximately hydrostatic pressure conditions below 10 GPa. Above this pressure the ruby lines became broadened, but differences in the pressure indicated by different ruby chips within the sample chamber did not exceed 2 GPa.

The in-house Raman system at UCL consisted of an optical system based on Kaiser supernotch filters, an Acton spectrograph and a liquid- $\text{N}_2$  cooled back-thinned CCD detector. Spectra were excited using the 514.5 nm line of an  $\text{Ar}^+$  laser focused onto the sample using a 50  $\times$  long working distance Mitutoyo objective (numerical aperture = 0.42). Raman scattering was collected in



**Fig. 2.** X-ray diffraction pattern obtained at ambient conditions after the synthesis of NaSi. Rietveld refinement confirms the monoclinic *C2/c* structure. Reliability factors are  $R_p = 16.1$ ,  $R_{wp} = 16.0$ ,  $R_{exp} = 8.58$ , Bragg *R*-factor = 3.56.

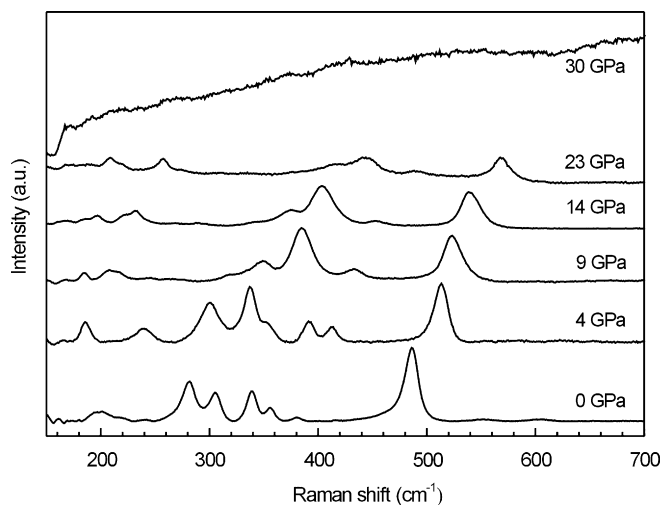
180° geometry through the same lens. The laser power was maintained at  $\sim 1$  mW to avoid excessive heating of the sample. Subsequent data analysis and peak fitting were carried out using the PeakFit v4 software. Angle-dispersive X-ray diffraction data were collected at the UK Synchrotron Radiation Source (SRS, Daresbury Laboratory, station 9.5HP). Two-dimensional (2D) X-ray patterns were obtained and then integrated around the diffraction rings and converted into 1D plots using the FIT2D software [20]. FullProf [21] was used with the WinPlotr GUI interface [22] to carry out LeBail and Rietveld analyses of the data. The known structure of NaSi at ambient pressure and temperature conditions was used as a starting model for refining the high-pressure structure. Cell parameters were first approximately refined manually before performing subsequent LeBail and Rietveld refinements. Further Rietveld refinements of the high-pressure structure were also performed using the DFT calculated results as starting models.

Initial DFT optimisations were performed using the DMol<sup>3</sup> code with a double numeric basis set and the PWC functional [23,24]. The unit cell parameters were fixed at the initial values suggested from refinements of the experimental data and the atom positions optimised. We then undertook an initial series of compressions and expansions around these initial cell sizes to explore the potential energy surface (those results are not reported here, but are available from the authors on request). In each case, the cell was compressed (or expanded) by 5% along each cell dimension, initially with a fixed  $\beta$  angle and then by scanning the angle in steps of 5°. These studies were carried out to provide suitable starting geometries for the subsequent full structural optimisations. In order to achieve these, the CASTEP code was used, with ultrasoft norm-conserving pseudopotentials, the PWC functional and a plane-wave cut-off of 330 eV [25]. Each structure was fully optimised (cell parameters and atomic positions) with a specified applied external pressure. We considered two starting geometries obtained from the (constant volume) DMol<sup>3</sup> calculations: one obtained starting from the ambient pressure experimental unit cell (with a monoclinic angle of 117°), and another obtained from the high-pressure phase, where we found a local minimum with a monoclinic angle of 105°. The first model was fully optimised under applied external pressures ranging from 0 to 40 GPa, and the second was fully optimised over a more limited sample of the pressure range.

### 3. Results

#### 3.1. Raman spectroscopy

The isolated  $\text{Si}_4^{4-}$  anion is isostructural with the  $\text{P}_4$  molecule and exhibits  $T_d$  point group symmetry ( $-43m$ ). The vibrational modes of the cluster anion and their Raman ( $R$ ) or infrared ( $IR$ ) activities are  $A_1(R)+E(R)+T_2(R, IR)$ . The  $A_1$  mode corresponds to a symmetric breathing vibration of the tetrahedral molecule, the  $E$  mode is a doubly degenerate symmetric deformation, and the  $T_2$  vibration involves both stretching and bending components. These modes give rise to three peaks of approximately equal intensity at  $474\text{--}482\text{ cm}^{-1}$  ( $A_1$ ),  $351\text{--}356\text{ cm}^{-1}$  ( $T_2$ ), and  $285\text{ cm}^{-1}$  ( $E$ ) in the Raman spectra of the solid silicides with cubic symmetry,  $\text{CsSi}$ ,  $\text{K}_3\text{LiSi}_4$  and  $\text{K}_7\text{LiSi}_8$  [26]. In these materials, the  $\text{Si}_4^{4-}$  groups are slightly distorted from tetrahedral symmetry, and

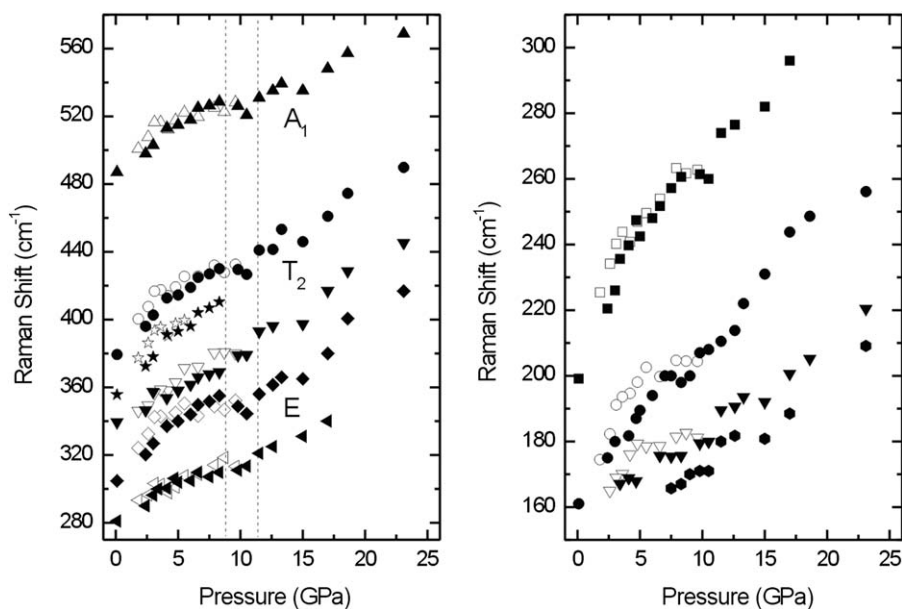


**Fig. 3.** Raman spectra of NaSi upon compression at room temperature. The distortion of  $\text{Si}_4^{4-}$  groups in the  $C2/c$  structure gives rise to Raman-active vibrational bands at  $480\text{ cm}^{-1}$  ( $A_1$ ),  $280$  and  $300\text{ cm}^{-1}$  ( $E$ ) and  $339.5$ ,  $355.7$  and  $380.5\text{ cm}^{-1}$  ( $T_2$ ) at normal pressure.

this gives rise to weak additional peaks and small splitting of the main bands. Lattice modes and vibrations associated with the heavy metal cations occur at low frequency below  $150\text{ cm}^{-1}$ , although it has been suggested that  $\text{Li}^+$  cations may contribute to the higher frequency modes for  $\text{K}_3\text{LiSi}_4$  and  $\text{K}_7\text{LiSi}_8$  samples [26].

The Raman spectrum for NaSi at ambient conditions is quite different from those of the other alkali monosilicides (Fig. 3). The strong  $A_1$  mode is easily recognised at  $480\text{ cm}^{-1}$ ; however, the  $E$  mode is split to give a pair of medium intensity peaks at  $280$  and  $300\text{ cm}^{-1}$ , and the  $T_2$  mode gives rise to a group of three peaks centred near  $350\text{ cm}^{-1}$ . This is a result of the site distortion of the  $\text{Si}_4^{4-}$  anions within the monoclinic crystal structure. Carrying out a symmetry analysis for the  $C2/c$  structure (point group  $C_{2h}$ ) with  $Z = 8$   $\text{Na}_4\text{Si}_4$  units in the equivalent primitive cell leads to a prediction of  $\Gamma_{\text{normal}} = 12A_g + 12A_u + 12B_g + 12B_u$ , where the  $A_g$  and  $B_g$  species are Raman active. Each  $\text{Si}_4^{4-}$  unit is associated to 3 internal modes,  $\nu_1$  ( $480\text{ cm}^{-1}$ ),  $\nu_2$  ( $280\text{ cm}^{-1}$ ) and  $\nu_3$  ( $350\text{ cm}^{-1}$ ) and 6 external modes (3 rotations and 3 translations). In our Raman spectra, we expect 6 bands associated to the internal modes: 1 at  $480\text{ cm}^{-1}$ , 2 around  $280\text{ cm}^{-1}$  and 3 around  $350\text{ cm}^{-1}$ . Rotations and translations are expected at low wavenumbers and only a few are often observed with our spectrometer. This is also the case for the modes related to translation of Na atoms ( $6A_g + 6A_u + 6B_g + 6B_u$ ).

During the first compression steps, changes in the Raman spectra indicate how the sample accommodates to pressure in non-hydrostatic conditions. This is evidenced by the appearance of two modes at  $350$  and  $185\text{ cm}^{-1}$  at  $4\text{ GPa}$  (Fig. 3). However, noticeable structural transformations occurring within the  $\text{Si}_4^{4-}$  units during compression result in a rapid increase in the intensity of a band near  $370\text{ cm}^{-1}$ , in the region of the  $T_2$  vibration, above  $8\text{ GPa}$ . This observation coincides with a reduction in the number of peaks observed in the spectrum. Both changes are consistent with a transition into a phase with higher symmetry. The spectral features of crystalline NaSi remain recognisable up to  $23\text{ GPa}$ . However, further compression results in a dramatic broadening of all modes, indicating pressure-induced amorphisation (Fig. 3). The onset of the amorphisation process is preceded by a noticeable reduction in intensity of the  $\text{Si}_4^{4-}$  stretching and bending vibrations, suggesting that the amorphisation may be associated with changes in the Si–Si bonding. During further compression to



**Fig. 4.** Raman shifts plotted vs. pressure for crystalline NaSi. Full and empty symbols stand for compression and decompression runs respectively. Softening of certain bands is observed in between  $8$  and  $12\text{ GPa}$  (highlighted by vertical dashed lines).

50 GPa the Raman scattering signal from the sample consists of a broad featureless signal extending to above  $600\text{ cm}^{-1}$  that could barely be distinguished from the background (Fig. 3).

On examination of the Raman data at elevated pressures (Fig. 4), a linear increase of about  $4\text{ cm}^{-1}\text{ GPa}^{-1}$  is observed for most modes up to 8 GPa. The Si–Si stretching mode at  $482\text{ cm}^{-1}$  is the most resistant to compression, with a coefficient of  $2.81\text{ cm}^{-1}\text{ GPa}^{-1}$ . Between 8 and 12 GPa, some of the lattice and vibrational modes show a small shift to lower wavenumber. The softening is largest in the case of the  $A_1$  and  $E$  symmetry modes:  $-3.24$  and  $-4.71\text{ cm}^{-1}\text{ GPa}^{-1}$  respectively and it indicates the occurrence of a phase transition. The transformation is fully reversible upon decompression but it occurs with some hysteresis, indicating a first order transition (Fig. 4). A second vibrational mode softening at around 15 GPa suggests the initiation of the pressure-induced amorphisation observed. This will be discussed further below in the context of X-ray diffraction data.

During decompression from 50 GPa (Fig. 5), the sample remained mainly amorphous with a broad maximum near  $470\text{ cm}^{-1}$  at 1 GPa. Some regions of the sample probed within the cell exhibited broad features that are similar (but not identical) to Raman bands reported for metastable silicon phases such as Si-III [27]. It is not known whether the partial metastable recrystallisation occurs spontaneously during decompression or whether it is due to the laser irradiation.

Once the sample was recovered to ambient conditions and the DAC opened, a vigorous reaction with atmospheric  $\text{O}_2$  or  $\text{H}_2\text{O}$  took place, either with the highly reactive amorphous NaSi phase or with perhaps nanocrystalline Na metal produced during the amorphisation process.

### 3.2. X-ray diffraction studies and density functional theory calculations

Our Raman studies were complemented with *in situ* X-ray diffraction. Upon initial compression, all the peaks are observed to broaden due both to internal strains developed at grain boundaries (in the absence of a pressure-transmitting medium) within the compressed polycrystalline sample, and also the 1–2 GPa pressure gradients, indicated by the different ruby chips placed within the sample chamber (Fig. 6). The monoclinic NaSi structure

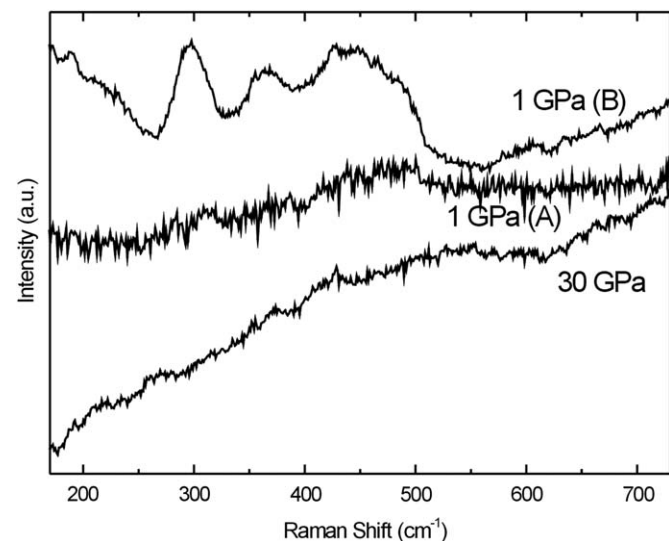


Fig. 5. Raman spectra of the amorphous sample obtained in the diamond anvil cell at 30 GPa and following decompression to 1 GPa. Two different spectra were obtained from regions of the sample recovered to 1 GPa. Spectra (A) and (B) correspond to different spots across the sample in the DAC.

remains recognisable up to about 8 GPa (Fig. 6). Changes in the pressure variation of individual reflections reveal evidence for a structural or phase transformation occurring in the 8–12 GPa range (Fig. 7). The new diffraction pattern is dominated by two strong reflections at 2.4 and  $2.9\text{ \AA}$  ( $2\theta = 10.6^\circ$  and  $11.9^\circ$ ) at 14 GPa (Fig. 6). Substantial peak broadening did not allow an accurate structural refinement of the high-pressure phase structure, so we carried out DFT calculations in order to obtain models to be used in our LeBail and Rietveld refinements (Table 1).

The calculated cell volume matched that obtained by LeBail extraction (Fig. 8). Changes in the tetrahedral Si–Si distances and angles calculated upon compression are also shown in Fig. 8. Our initial constrained minimisations indicated that, as the cell was compressed, the monoclinic angle would be reduced. Full optimisation of the approximate high-pressure phase at 12 GPa resulted in a structure with  $\beta = 106^\circ$ , compared with  $\beta = 120^\circ$  at ambient conditions. This structure is close to one obtained by

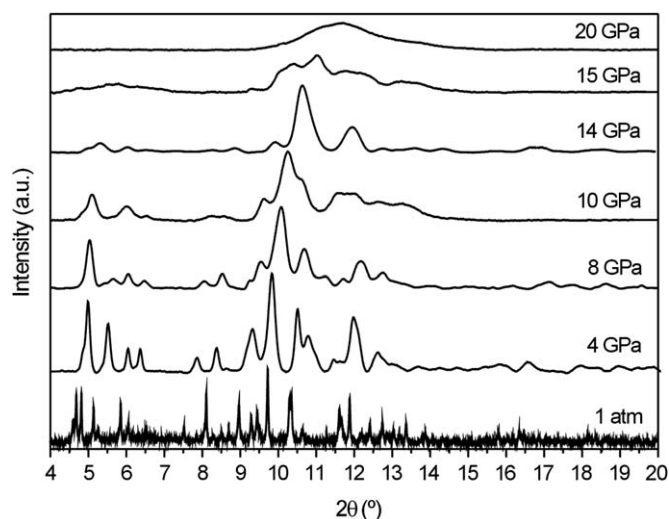


Fig. 6. Synchrotron X-ray diffraction patterns on NaSi upon compression at room temperature. The pattern at 1 atm was obtained using a Stoe diffractometer ( $\text{CuK}\alpha_1$ ), however, it has been calculated for the synchrotron radiation at SRS 9.5HP ( $\lambda = 0.443970\text{ \AA}$ ) to be compared with the patterns at high pressure. A first crystal-to-crystal transformation of NaSi is observed above 8 GPa followed by pressure-induced amorphisation of the sample at 15 GPa.

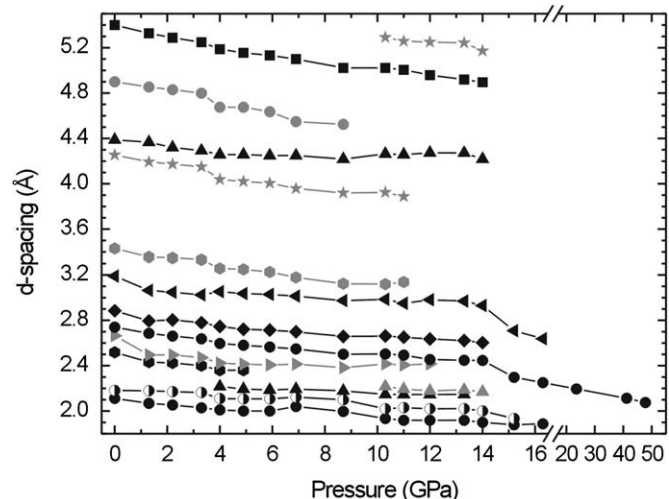


Fig. 7. Variation of interplanar spacings ( $d$ ) of NaSi upon compression. The reflections affected by the transformation occurring between 8 and 12 GPa are highlighted (grey symbols).

taking the ambient phase and re-optimising it at an applied pressure of  $P = 12$  GPa. However, it was not possible to optimize the high pressure structure directly from that at ambient conditions directly. Rather it was necessary to increase the pressure in steps of 2 GPa, re-optimizing the structure each time, in order to obtain a reliable high pressure structure. This behaviour is consistent with the presence of an energy barrier in the system that is likely associated with a first order phase transition into a high-pressure NaSi phase. One example of a Rietveld refinement using the calculated structure of NaSi at 12 GPa as a starting model is shown in Fig. 9. Experimental and calculated cell and atomic parameters are given in Table 2. The

**Table 1**  
Correlation analysis for NaSi.

Ion movement			$G_M \rightarrow G_S \rightarrow G_F$		
Transl.	Libr.	Int.	$T_d$	$C_2$	$C_{2h}$
<b>Si<sub>4</sub></b>					
		1	A <sub>1</sub>	A	A <sub>g</sub> v <sub>1</sub> , 2v <sub>2</sub> , v <sub>3</sub> , lib., trans.
	1	1	E		B <sub>g</sub> 2v <sub>3</sub> , 2lib., 2trans.
			T <sub>1</sub>	B	A <sub>u</sub> v <sub>1</sub> , 2v <sub>2</sub> , v <sub>3</sub> , lib., trans.
1		1	T <sub>2</sub>		B <sub>u</sub> 2v <sub>3</sub> , 2lib., 2trans.
<b>Na(1) and Na(2)</b>					
				C <sub>1</sub>	
				A	A <sub>g</sub> 6 trans.
					B <sub>1g</sub> 6 trans.
6					A <sub>u</sub> 6 trans.
					B 6 trans.

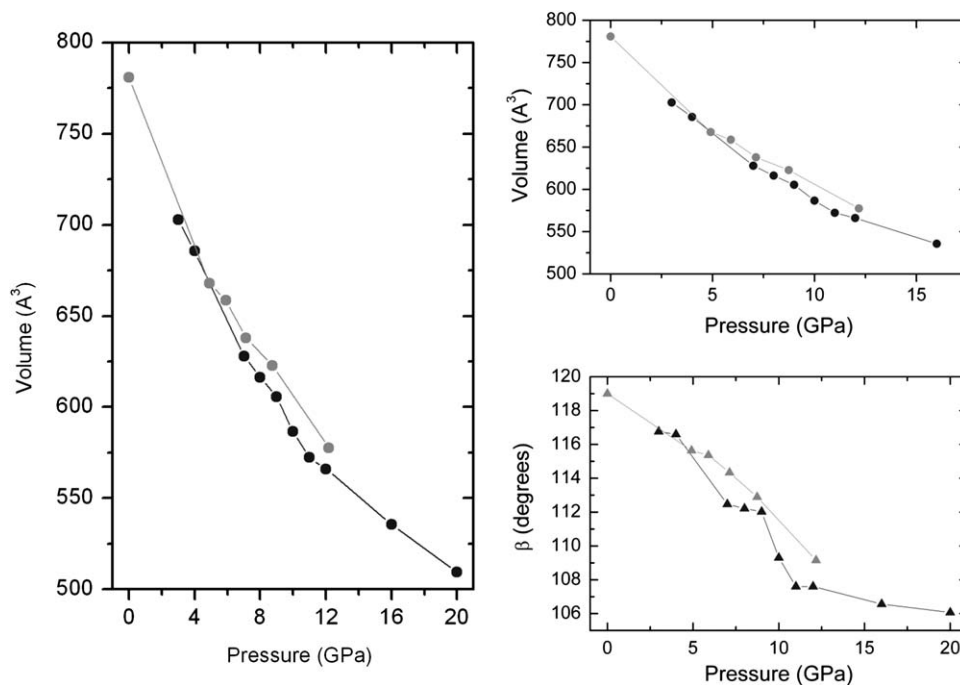
There are three internal modes for each Si<sub>4</sub> group,  $\nu_1$  (482 cm<sup>-1</sup>),  $\nu_2$  (285 cm<sup>-1</sup>) and  $\nu_3$  (356 cm<sup>-1</sup>) and 6 external modes (3 rotations and 3 translations). A<sub>g</sub> and B<sub>g</sub> modes are Raman active.

observed data (dots) fit quite well with the calculated curve (line), with reliability factors of  $R_p = 9.21$ ,  $R_{wp} = 10.9$ ,  $R_{exp} = 2.27$  and the Bragg  $R$ -factor = 2.20.

Diffraction evidence for pressure-induced amorphisation (PIA) of the sample, as recorded by the Raman scattering measurements is shown by peak broadening and disappearance of most of the diffraction peaks beyond ~15 GPa. Although this pressure is much lower than that determined for the onset of PIA in the Raman experiments, this is not surprising in view of the longer correlation length required for crystalline X-ray diffraction features to be observed. The diffraction pattern corresponding to the amorphous modification is dominated by a single broad reflection. The initiation of PIA in the X-ray diffraction data indicates that the onset of the amorphisation process is associated with loss of long range order beginning at 15 GPa but the Raman data indicate that the local order of the [Si<sub>4</sub>]<sup>4-</sup> units is maintained until 23 GPa. No recrystallisation of the amorphous modification occurs during further pressurisation to 50 GPa (Fig. 7). On decompression, it was possible to follow a continuous shift in the position of the broad amorphous reflection down to nearly ambient pressure, but the diffraction pattern changed abruptly in the last stages just before opening the DAC, with the appearance of two very weak broad reflections at 2.3 and 3.7 Å<sup>-1</sup> that are reminiscent of the pattern produced by amorphous silicon [28] (Fig. 10). We carried out a further experiment at high pressure using CO<sub>2</sub> laser heating in the diamond anvil cell to anneal the amorphous sample held at 30 GPa. To our surprise, this resulted in the appearance of sharp crystalline peaks due to *bcc* Na metal (Fig. 11). However, the broad features of the amorphous Na<sub>1-x</sub>Si material remained in the patterns.

#### 4. Discussion

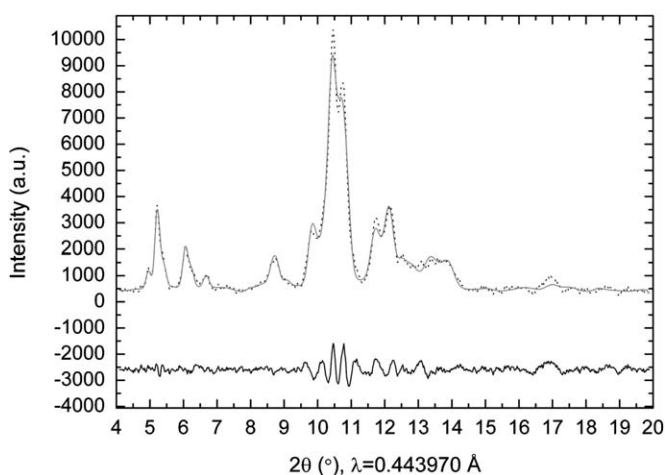
The ambient pressure structure of NaSi is analogous to that of white phosphorus that forms a body-centered cubic lattice with discrete P<sub>4</sub> tetrahedra with intra-tetrahedral P–P distances of 2.21 Å [29]. The black orthorhombic modification that is



**Fig. 8.** Experimental (grey symbols) and calculated (black symbols) changes in unit cell volume as a function of pressure (left). Changes with pressure in Si–Si distances and angles calculated for [Si<sub>4</sub>]<sup>4-</sup> tetrahedra in the NaSi structure during compression (right).

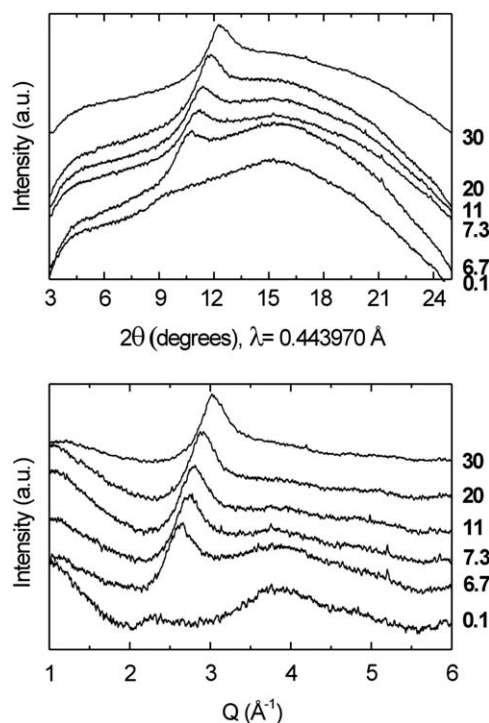
analogous to the Si sublattice arrangement in LiSi is formed by puckered layers of phosphorus atoms, with P–P distances of 2.22 and 2.24 Å within the same layer, which fall within the same range as in the white modification [30,31]. The longest Si–Si bonds in the 3D LiSi structure are at around 2.4–2.5 Å, which are shorter than the first-nearest neighbour distance between tetrahedra calculated in this study for NaSi at 15 GPa (3.39 Å). The latter result indicates it is unlikely that the high-pressure transition observed for NaSi is simply a transformation into a LiSi-related 3D network. Instead, our experimental results and calculations suggest that the two NaSi structures are related through a translation of the  $[\text{Si}_4]^{4-}$  tetrahedral layers accompanied by a rearrangement of the  $\text{Na}^+$  positions and a relaxation of the  $[\text{Si}_4]^{4-}$  tetrahedra towards a more highly symmetric structure (Fig. 12).

It has been reported that NaGe, which is also monoclinic at ambient conditions, undergoes a phase transition into the tetragonal *NaPb* structure at 4 GPa and 700 °C [13]. This structure contains isolated  $[\text{Si}_4]^{4-}$  tetrahedral anions and it is also adopted by the cubic alkali monosilicides and monogermanides containing large cations (K, Rb, Cs) at conditions around 4 GPa and 600–700 °C [16]. However, the Rietveld refinements carried out using the *NaPb* structure as a starting model did not fit the diffraction patterns accurately and the minimum value for the monoclinic  $\beta$ -angle in NaSi was calculated to be 106°.



**Fig. 9.** Rietveld refinement carried out for an X-ray diffraction pattern of the high-pressure phase of NaSi at 12 GPa ( $\lambda = 0.443970$  Å). The NaSi *C2/c* structure calculated at 16 GPa was used as a reference model for the refinement. Reliability factors are  $R_p = 9.21$ ,  $R_{wp} = 10.9$ ,  $R_{exp} = 2.27$ , Bragg  $R$ -factor = 2.20.

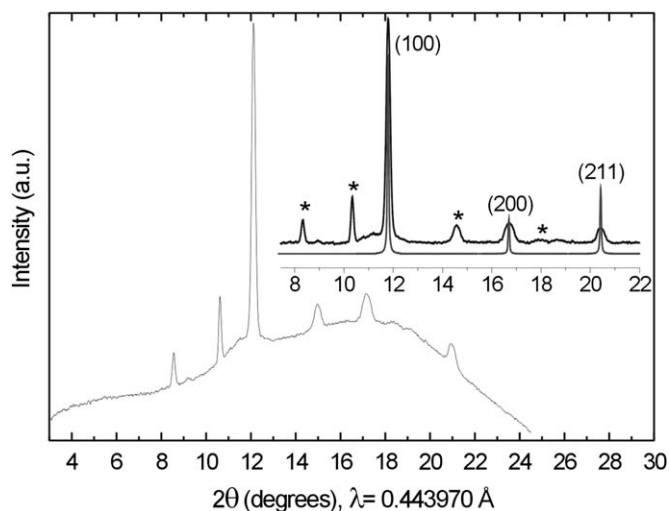
The formation of the amorphous ( $\text{Na}_{1-x}\text{Si}$ ) material at high pressure is an intriguing observation. The featureless Raman spectra and broad X-ray diffraction pattern resemble those recorded for the metallic high-density amorphous phase (HDA) of elemental  $\alpha$ -Si [28,32,33]. It is therefore possible that a high-density metallic amorphous form of NaSi has been produced in our experiments. Such an amorphous phase could contain a mixture of separated  $\text{Si}_4^{4-}$  units as well as tetrahedra linked by Si–Si bonds, by analogy with those that occur among varieties of “red” phosphorus. However, the appearance of diffraction peaks due to metallic Na following heating experiments at high pressure indicates that redox reactions can occur, producing  $\text{Na}^0$  by  $\text{Na}^+ + e^-$ . The corresponding oxidation of silicon species involves  $\text{Si}^- = \text{Si}^0 + e^-$ , with the formation of new Si–Si bonds. The result is a progressive cross-linking of the silicide network to form nanoclusters of partially polymerised  $(\text{Si}_x)^{y-}$  units, that can



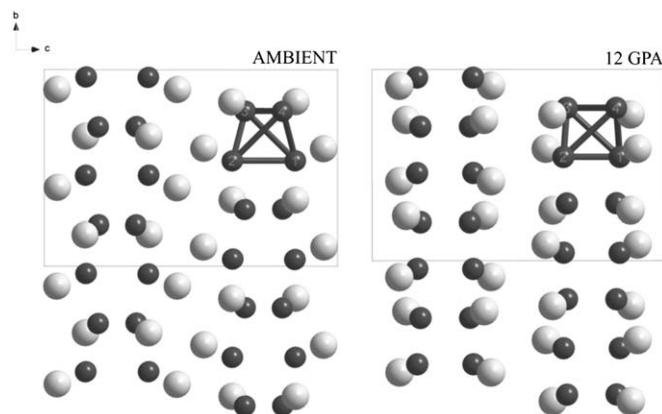
**Fig. 10.** X-ray diffraction patterns of NaSi obtained upon decompression from 30 GPa. Raw data (above) and corresponding baseline-subtracted data in  $Q$  space (below). Corresponding pressure values are given on the right-hand side of the plot in bold.

**Table 2**  
Experimental and calculated cell parameters and atomic positions for NaSi at high pressure.

Cell parameters			
a	9.62272	10.18991	0.00846
b	6.08230	6.07541	0.00479
c	9.62272	9.96378	0.00739
$\alpha$	90.00000	90.00000	0.00000
$\beta$	105.76357	109.38072	0.04078
$\gamma$	90.00000	90.00000	0.00000
DFT			
	x	y	z
Ref.			
	x	y	z
Atomic positions			
Na(1)	0.11991	−0.76442	−0.38827
Na(2)	0.82457	−0.58583	−0.40665
Si(1)	0.11334	−1.04175	−0.15849
Si(2)	−0.07563	−1.30006	−0.16588
	0.12336 (3)	−0.80632 (2)	−0.37622 (2)
	0.84174 (2)	−0.55910 (2)	−0.42675 (1)
	0.10155 (1)	−1.04640 (2)	−0.13803 (1)
	−0.08363 (1)	−1.31807 (2)	−0.16523 (1)



**Fig. 11.** X-ray diffraction pattern obtained after laser annealing of the amorphous NaSi sample at 30 GPa. The sample was loaded in dried NaCl and heated indirectly with a CO<sub>2</sub> laser, using ruby chips to transmit heat to the sample. The inset shows the pattern obtained after baseline subtraction, with characteristic lines from bcc Na metal (100), (200) and (211) reflections (grey) and peaks corresponding to the B2 phase of NaCl used as the pressure-transmitting medium (marked by asterisks).



**Fig. 12.** Representation of the crystal structure of NaSi at ambient and high pressure (view along [100] plane). The structures are related by a slip of the [Si<sub>4</sub>]<sup>4-</sup> tetrahedral layers along with a rearrangement of the Na<sup>+</sup> cations and reduced distortion of the [Si<sub>4</sub>]<sup>4-</sup> units.

contain both Si(Si)<sub>3</sub> and Si(Si)<sub>4</sub> sites. These could have interesting and unusual electronic and optical properties. High-pressure treatment of alkali metal silicides may provide a new route to synthesise and control the polymerisation state of silicon-based nanoclusters.

Our results also have implications for the occurrence of high-density/low-density (HDA, LDA) polyamorphic transitions in Si- and Ge-based systems [28,32–35]. Amorphous Si and Ge are known to undergo a semiconductor–metallic transformation at high pressure that maps onto an expected density-driven liquid–liquid phase transition that appears quite general among elemental and other simple liquids [36]. It is interesting to explore how the observed PIA in NaSi and other alkali metal-containing alloys and compounds relate to the HDA–LDA behaviour. Recently, Machon et al. [37] studied amorphisation of the semiconductor clathrate phase Rb<sub>6</sub>Si<sub>46</sub>, and suggested that the large Rb atoms remain trapped within the a-Si structure at high pressure. They indicated that the high-density amorphous

structure resembled that of HDA a-Si, and that a polyamorphic transition to an LDA phase resembling a-Si occurred during decompression. Our diffraction data indicate that the situation for NaSi is different, and that chemical diffusion accompanied by Na<sup>+</sup>/Na<sup>0</sup> and Si<sup>-</sup>/Si<sup>0</sup> redox processes occurs at high pressure or during decompression. In contrast to Rb, the smaller Na atoms appear to diffuse more easily through an a-Si network; as occurs during the formation of Si clathrates from the thermal decomposition of NaSi [38]. We expect that nanocrystalline bcc Na may form readily at high pressure causing the production of new Si–Si bonds between [Si<sub>4</sub>]<sup>4-</sup> units and forming nanoscale clusters. Our computational studies indicate that the amorphisation process could originate in the ready distortion of the tetrahedral [Si<sub>4</sub>]<sup>4-</sup> groups, indicated by our vibrational spectroscopy results at high pressure.

Consideration of the molar volumes gives useful insights into the nature of the chemical processes occurring in these systems. Under ambient conditions, the molar volume of NaSi is calculated to be 29.34 cm<sup>3</sup> mol<sup>-1</sup>, whereas the sum of the molar volumes of elemental Na and Si is 35.74 cm<sup>3</sup> mol<sup>-1</sup>. When the pressure is increased to 15 GPa, the molar volume calculated for NaSi decreases to 20.69 cm<sup>3</sup> mol<sup>-1</sup>. At this pressure, the volume of Na metal is 12.05 cm<sup>3</sup> mol<sup>-1</sup> [39]. On the other hand, under these conditions, silicon can exist in either of three possible phases: in its high-density amorphous (HDA) modification, as the β-Sn type, or simple hexagonal (sh) phase. The molar volumes for β-Sn and sh-Si are known to be 20.36 [40] and 20.15 cm<sup>3</sup> mol<sup>-1</sup> [41], respectively. The molar volume of HDA-Si, however, is unknown, but must be smaller than that of LDA-Si, 24.36 cm<sup>3</sup> mol<sup>-1</sup> [42]. What this implies is that the molar volume of NaSi at 15 GPa must be slightly greater than the sum of volumes of its elemental components, a situation that therefore favours the otherwise unexpected decomposition of the intermetallic to its elements upon compression. Furthermore, we note that diffraction peaks of sodium become visible, whereas no evidence is observed for crystalline silicon. This is in stark contrast to our previous experiments in which β-Sn silicon crystallises spontaneously in the X-ray beam at 17 GPa and suggests that the presence of sodium may play a role in suppressing crystallisation, thereby extending the stability range of the amorphous silicon.

## 5. Conclusions

We have described the high-pressure behaviour of NaSi up to 50 GPa. As evidenced by both Raman and X-ray diffraction results, the solid adopts a higher-symmetry crystal structure in the range between 8 and 12 GPa. Density functional theory calculations showed that the ambient and high-pressure crystal structures are related by slipping of the planes of Si tetrahedra and rearrangement of the Na atoms. No evidence of a transformation of NaSi into a crystalline non-molecular network was recorded. However, random cross-linking between Si subunits has been suggested to result in irreversible loss of translational order. The nature of this amorphous modification has been discussed in terms of pressure-induced decomposition of the sample.

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